



FIRST 5 ALAMEDA COUNTY COMMISSION MEETING AGENDA

Thursday, February 27, 2014

**First 5 Alameda County
1115 Atlantic Ave.
Alameda, CA 94501
Conference Room A**

10:00 AM – 11:30 PM

Commissioners: *Chair:* Pamela Simms-Mackey M.D., *Vice Chair:* Helen Mendel, CMD, Alex Briscoe, Wilma Chan, Ricky Choi, M.D., Renee Herzfeld, Lori Cox, Deborah Roderick Stark

- 1. Public Comment (for items not listed on the agenda) - Limited to 3 minutes per speaker**
- 2. Approval of Minutes from December 12, 2013**
- 3. Election of Officers**

CONSENT

- 4. FY 2013-14 (2nd Quarter) Financial Report**
- 5. FY 2013-14 (2nd Quarter) Investment Report**
- 6. FY 2013-14 Mid-Year Budget Modification**

INFRASTRUCTURE

- 7. Ethics Presentation-James Harrison, Remcho, Johansen & Purcell, LLP**
- 8. Contract Authorizations**

PROGRAM

- 9. First 5 Alameda County Annual Report**
- 10. A Conversation with Help Me Grow: "Will They Grow Out Of It?" Campaign and AlamedaKids.org Early Childhood Website**
- 11. Approval of First 5 CA Annual Report**
- 12. State Commission-Camille Maben, First 5 California**
- 13. Legislation and Public Policy Updates**
 - SB837**

MISCELLANEOUS

- 14. Staff Announcements**
- 15. Communication from Commissioners**
- 16. Adjournment**

Information about the First 5 Conference Center

- The First 5 Conference Center is wheelchair accessible. Please notify us 3 business days in advance if you need special assistance so we can make reasonable arrangements to ensure accessibility.
- Please refrain from wearing strongly scented products to the Conference Center in consideration of those who may experience chemical sensitivities.



FIRST 5 ALAMEDA COUNTY COMMISSION MEETING MINUTES

Thursday, December 12, 2013

**First 5 Alameda County
1115 Atlantic Ave.
Alameda, CA 94501
Conference Room A**

9:00 AM – 11:30 AM

Commissioners Present: *Chair:* Pamela Simms-Mackey M.D., *Vice Chair:* Helen Mendel, CMD, Alex Briscoe, Wilma Chan, Ricky Choi, M.D., Renee Herzfeld, Deborah Roderick Stark

Commissioner Absent: Lori Cox

Meeting to began at 9:07 AM.

Meeting began with 15th Anniversary Opening Comments from Commissioners:

Chair Pamela Simms-Mackey introduced Supervisor Wilma Chan who spoke about her history and experience as a First 5 Commissioner.

Chair Simms-Mackey introduced Vice Chair Helen Mendel. Vice Chair Mendel stated that she worked very closely with Rob Reiner in the beginning and that she is very proud to have served First 5 for 15 years.

1. Public Comment (for items not listed on the agenda) - Limited to 3 minutes per speaker

There was no public comment.

CONSENT

Chair Simms-Mackey stated that agenda items 2 through 5 were thoroughly discussed with the Executive Committee and therefore will not be reviewed by the full Commission.

2. Approval of Minutes from September 26, 2013

Commission Action: The Commission approved the September 26th minutes, with corrections noted, upon motion by Vice Chair Mendel, seconded by Commissioner Stark and unanimously carried (7 in favor, 0 opposed).

3. FY 2013-14 (1st Quarter) Financial Report

4. FY 2013-14 (1st Quarter) Investment Report

5. Salary Guidelines Revision Recommendation

Commission Action: The Commission approved the Salary Guidelines Revision noted upon motion by Vice Chair Mendel, seconded by Commissioner Stark and unanimously carried (7 in favor, 0 opposed).

6. Community Stories

- Kristine Petterson-Rosen from Ruby's Place shared programmatic experiences with the commissioners.
- Robin Michel from San Leandro Unified School District shared programmatic experiences with the commissioners.
- Fran Merriweather from Children's Hospital Oakland shared programmatic experiences with the commissioners.

INFRASTRUCTURE

7. 2014 COLA Recommendation

Mark Friedman, CEO stated that annually it is the Commission's decision to grant a COLA to employees. Typically, COLAs are implemented to ensure that employees' wages do not lose real value due to increases in the costs of goods and services. He stated that the Consumer Price Index (CPI) for the San Francisco – Oakland – San Jose metropolitan area has increased 1.6% over the last 12 months. Given the long range financial plan and the fact that 61 % of staff are capped out and ineligible to receive any merit raises, we think a fair recommendation is to grant all employees a 2% COLA.

He stated that the fiscal impact of granting a 2% COLA for the six month period of the current fiscal year and the first six months of the next fiscal year will be \$101,278. With several unfilled positions that are on the books we should be able to absorb the impact on the 2013-14 budget easily.

Commissioner Briscoe asked if there is a policy or plan to provide a COLA for contractors as well. Mr. Friedman stated that this is something that we would evaluate depending on what funds are available. He stated that we do not have a policy to provide COLA's for contractors.

Commission Action: The Commission approved the 2014 cost of living recommendation upon motion by Vice Chair Mendel, seconded by Commissioner Stark and unanimously carried (7 in favor, 0 opposed).

PROGRAM

8. Quality Rating and Improvement System (QRIS) Presentation

Malia Ramler Senior Administrator, Community and Provider Capacity Building presented to the Commission on the Quality Rating and Improvement System program.

Ms. Ramler introduced Angie Garling, Alameda County Child Care Planning Council who co-manages the QRIS efforts and works with First 5 to align systems at the local level, Veronica Ufoegbune, Director of Woodstock Child Development Center AUSD, and Richard Winefield from BANANAS.

Commissioner Chan asked if there is 100% consensus and agreement on the standards that have been set. Ms. Ramler stated that there is quite a process to get to these standards and that the state level study is to validate these standards. She stated that there is agreement that the standards are imperfect.

9. Community Stories

- Eileen Crumm and Rocia Peralta from Family Resource Network shared programmatic experiences with the commissioners.
- Barbara McCullough from Brighter Beginnings shared programmatic experiences with the commissioners.
- Molly Wertz from Raising a Reader shared programmatic experiences with the commissioners.
- Jillian Fin from Lifelong Family Practice-Centering Parenting shared programmatic experiences with the commissioners.

10. F5AC Annual Report to First 5 California

Mr. Friedman stated that First 5 Alameda County is required to submit FY 2012-13 data, fiscal and narrative sections of the State Annual Report to First 5 California by November 1, 2013. He stated that the report also contains a narrative summary of evaluations completed during FY 2012-13.

Commission Action: The Commission approved the F5AC Annual Report to First 5 California upon motion by Commissioner Herzfeld, seconded by Commissioner Choi and unanimously carried (7 in favor, 0 opposed).

11. State Commission and Association Updates

Mr. Friedman stated that it's been really helpful for us to be collocated with the First 5 Association. He stated that one of the benefits is being able to collaborate with them on important issues. He stated that there is a collective effort to expand and create more opportunities for statewide developmental screening. He stated that there was also a meeting with George Halverson, the new Chair of the First 5 California Children and Families Commission. Halvorson has been Chairman and Chief Executive Officer of Kaiser Permanente since 2002. Mr. Friedman stated that we are engaging him to have a meeting around expanding developmental screening. He stated that it is great to have his leadership to take First 5

California to another level of public awareness engaging policy makers on early childhood issues.

12. Legislation and Public Policy Updates

Mr. Friedman stated that right now we are facing the aftermath of the austerity of the state budgets for the last 4 or 5 years and the cuts to services for children. He stated that now the legislative analysts say that there will be a surplus of funds in Sacramento. Naturally, all of the children's advocates who care about children would like to see the restoration of some of those funds in particular in the Help Me Grow and developmental screening programs. He stated that there are efforts to restore some of the funding and also to look at look at creative initiatives like QRIS and expansion of transitional kindergarten. He stated that Senator Steinberg, the President Pro tem of the State Senate is willing to sponsor the legislation but it is unclear if this will pass and if the funding is available. He stated that the good news is that early learning advocates throughout the state are starting to get involved and progressing to undo some of the damage that's been done to early learning programs and look at creative ways of moving our state in the right direction.

Ms. Burger stated that SB 402, De León, breastfeeding bill about baby friendly hospitals was approved. She stated that this bill would require all general acute care hospitals and special hospitals that have a perinatal unit to adopt, by January 1, 2025, the "Ten Steps to Successful Breastfeeding," as adopted by Baby-Friendly USA, per the Baby-Friendly Hospital Initiative.

Mr. Friedman stated that another opportunity on the horizon is the Local Control Funding Formula which is coming to local urban school districts. This process could significantly increase funding within Oakland Unified School District for early learning. He stated that we are working with Children Now who would like to get the school districts to put a significant portion of these funds into early learning. We are also working Melinda Martin.

Vice Chair Mendel asked if there is a concerted advocacy effort with one united voice in terms of budget restoration. Mr. Friedman responded that we are closer to that and in discussion about synthesizing some common themes and messages. He stated that the legislature has voted in the past in support of the restoration but the Governor has not been in support. He stated that it will require some very strategic thinking to get the Governor to restore the funds and to expand.

13. Community Stories

- Henry Hintz from Oakland Parents Together shared programmatic experiences with the commissioners.
- Barbara Bernstein from Eden I&R shared programmatic experiences with the commissioners.

- Cindy Nelson Purdy from Children's Hospital Oakland shared programmatic experiences with the commissioners.

MISCELLANEOUS

14. Staff Announcements

Janis Burger, Deputy Director introduced Jeff Gillenkirk, Communications Administrator. Mr. Gillenkirk announced the Fathers Corps event, the showing of Fatherhood 101, at the Grand Lake Theater on February 6th at 7 pm. He stated that the event is a joint effort with Alameda County Health Care Services Agency and that Commissioner Briscoe has helped to fund this event.

Janis Burger announced that the Children's Outcomes Project case study that was done by the Finance Project and Nemours is complete. She stated that First 5 staff worked with The Finance Project and that this case study has received a lot of national recognition.

Mr. Friedman announced that an annual contribution was made to the Alameda County Food Bank. He stated that this year we made a contribution of \$5,000 to serve children in Alameda County ages zero to five.

15. Adjournment

Meeting adjourned 11:20 AM.



To: First 5 Alameda County Commission

From: Mark R Rasiah, Financial Controller

Date: February 27, 2014

Subject: Half Yearly Financial Report for July 1 – December 31, 2013

REQUESTED ACTION

To review the Financial Report for the first six months of FY2013-14.

BACKGROUND

This narrative and the attached statements report Revenues and Expenses for the period July 1 – December 31, 2013. With half the fiscal year complete, it is reasonable to expect both revenues and expenses to be at 50% of the budget projection, subject to timing lags. While this is the case with most of the budgeted items, material exceptions are noted below.

Revenue

Total revenues for the six months ended December 31, 2013 were \$8.2m, or 44% of the revenue projection for the year. Of this amount:

- Tobacco Tax receipts are at 54% of budget projections.
- Inter-agency Income is budgeted at \$1.36 million, all of which is expected to be received in the last half of the fiscal year.
- The Federal Grants Budget represents the reimbursable amount from the Race To The Top Early Learning Challenge Grant, from the CA Department of Education. To-date we have received half the funds budgeted for the current fiscal year.

A Private Grant from the Long Foundation to expand some school readiness sites was also budgeted, and all funds were received during the quarter. The Packard Foundation awarded us \$50,000 during the second quarter to fund efforts to map resources and opportunities for ECE students, in conjunction with CSU EastBay.

- Revenues for Medi-Cal Administrative Activities (MAA) were budgeted at \$0.7m for FY 2013-14, in keeping with prior years. The reimbursement is based on expenditures incurred in FY 2012-13 and the invoicing is expected to be completed in February 2014.
- Investment revenue of nearly \$0.22m represents nearly 45% of conservative budget projections.
- The budget for Miscellaneous Income consists of rental income from our tenants - First 5 Association. The rent was budgeted at \$17,000 for the fiscal year. Actual receipts include rent received to-date, plus other un-foreseen sources such as property tax refunds, recycled water rebates and insurance claims, which have given rise to the variance.
- Sustainability funds from the First 5 Alameda County Sustainability Fund reserve will be used to bridge the gap between actual revenues and expenses at year-end, to the extent authorized by the Commission. None was needed in the first quarter of this year.

Expenses

At \$5.1m, total Expenditure for the quarter was 27% of the budgeted amount of \$18.8m. This is slightly lower than the amount spent during the corresponding period last year.

Most line item expenditures are tracking well within 50% of budget. Grant payments to Community Grantees are made according to a schedule of payments. At the end of the second quarter nearly 39% of scheduled payments had been made. Infrastructure costs are much lower than in previous years, primarily due to the absence of lease payments of nearly \$60,000 per month for the old office building. Costs from the Infrastructure Budget will be allocated to all programs at year-end and will reflect a more complete picture of program costs at that point.

Summary

Revenues are in line with budget and expenses are expected to trend closer to budget as the year progresses.

Fiscal Impact

None.

REQUESTED ACTION

To review the Financial Report for the first half of FY2013-14.

Submitted by:

Reviewed by:

Mark R Rasiah ,
Financial Controller

Mark Friedman, Chief Executive Officer

First 5 Alameda County
Revenue and Available Funds
For the Period July 1, 2013 - December 31, 2013

Revenues	Budget	Actual	Variance	Percentage Received
Prop 10 Tobacco Tax	13,037,150	6,999,934	(6,037,216)	54%
Interagency Income				
- ACBHCS Early Connections(SAMHSA)	278,000	0	(278,000)	0%
- ACPHD Project LAUNCH (SAMHSA)	608,237	0	(608,237)	0%
- ACPHD ECCHANGE Hosting & Mtce	86,674	0	(86,674)	0%
- SART Linkage Line	250,461	80,573	(169,888)	0%
- Hayward Promise Neighborhood	0	34,258	34,258	
- First 5 Contra Costa	139,072	36,464	(102,608)	0%
Total Income from Alameda Co. Agencies	1,362,444	151,295	(1,211,149)	11%
Grants				
Federal- Race To The Top	607,000	303,500	303,500	50%
State - CARES PLUS	300,000	0	(300,000)	0%
Private - Long Foundation	450,000	445,500	(4,500)	99%
- Packard Foundation	0	50,000	(50,000)	
Total Grants	1,357,000	799,000	(51,000)	59%
Fiscal Leveraging				
MAA	700,000	0	(700,000)	0%
Total Fiscal Leveraging	700,000	0	(700,000)	0%
Investment Revenue	500,000	226,299	(273,701)	45%
Misc.Income	17,000	27,720	10,720	163%
TOTAL REVENUE	16,973,594	8,204,248	(8,262,346)	48%
Available Funds				
Sustainability Funds	1,826,406	0	(1,826,406)	0%
TOTAL REVENUES & AVAILABLE FUNDS	18,800,000	8,204,248	(10,595,752)	44%

First 5 Alameda County
Budget Vs Actual Expenses
For the Period July 1, 2013 - December 31, 2013

Expenditures	Budget	Actuals	Variance	Percentage Spent
Personnel Costs	6,699,190	2,471,189	(4,228,001)	37%
Contracts	8,357,981	1,474,493	(6,883,488)	18%
Grants	2,065,000	797,900	(1,267,100)	39%
Professional Services Contracts	179,250	3,335	(175,915)	2%
Program Operating Costs	972,449	153,762	(818,687)	16%
Infrastructure Costs	526,130	179,352	(346,778)	34%
TOTAL EXPENDITURES	18,800,000	5,080,031	(13,719,969)	27%



To: First 5 Alameda County Commission
From: Mark R Rasiah, Financial Controller
Date: February 27, 2014
Subject: Second Quarter Investment Report, July 1 – December 31, 2014

REQUESTED ACTION

To review the Investment Report for the first six months of FY2013-14.

BACKGROUND OF ACTIVITIES

The investment objectives of the First 5 Alameda County Agency are first, to provide safety of principal to ensure the preservation of capital in the overall portfolio; second, to provide sufficient liquidity to meet all requirements that may be reasonably anticipated; and third, to earn a commensurate rate of return consistent with the constraints imposed by the safety and liquidity objectives.

The performance objective of the First 5 Alameda County Agency portfolio is to earn a total rate of return that exceeds the total rate of return on a market benchmark index of 1-5 Year Government securities.

In 2005-06, First 5 Alameda County transferred \$34,000,000 from the Sustainability Fund held by the Alameda County Treasurer's investment pool to outside money managers. This report summarizes the activity and status of the investment portfolio as of December 31, 2013.

PORTFOLIO HIGHLIGHTS

The Investment Report shows the performance of funds that are invested in the Alameda County pool and with two money managers, Cutwater Asset Management and Chandler Asset Management. At the end of the last fiscal year, the total market value of the funds with Cutwater and Chandler was \$30,029,093. There were no withdrawals during the first quarter ending December 31st and the market value of the portfolio was \$30,192,683 at a cost of \$30,432,580.

U.S. retail sales increased 0.2 percent in December compared to an expected gain of 0.1 percent. Excluding automobile purchases, sales were up 0.7 percent for the month. Bad weather in November resulted in more online shopping with Internet sales up 1.4 percent in December. This followed a 1.6 percent gain in November, the best two-month advance in over a year. Despite the decline in vehicle sales in December, 2013 was the best year for automakers since 2007, before the Great Recession. Consumer confidence remains upbeat despite the weak job report that may have also been impacted by the bad weather. The unemployment rate in December was 6.7% and is likely to continue at this level for the foreseeable future. Treasury rates increased over the September – December quarter. The 10-year note yielded 2.93%. The yield curve is expected to remain relatively steep for the foreseeable future, since the rates on long term Treasuries continue to be higher than the short term ones. The Federal Reserve Bank continues to maintain its federal funds target rate between zero and 0.25% and has signaled its intentions to taper its asset purchases starting January by a further \$10 billion a month from the current \$85 billion. Despite this challenging economic environment, the portfolio managed to yield a little over 0.7 % on an annualized basis.

INVESTMENT REPORT

Investment Income

Investment income is primarily derived from interest or yield payments on securities held in the investment portfolio. Typically, interest income from each security is received semi-annually. The money managers buy, sell and exchange securities consistent with the First 5 Alameda County Investment Policy in order to optimize overall yields.

Net investment earnings for the six months ended December 31, 2013 was \$226,299 including \$1,312 from the Alameda County Treasurer's pool.

Investment Fees

Fees include those levied by the money managers (Cutwater and Chandler) and the fees levied by the account custodian (Union Bank of California). The total fees paid during this period were \$22,742, slightly less than the \$23,858 paid last year.

Investment Activity

The Investment Activity shows all transactions affecting our portfolio. A purchase of securities increases the value of the portfolio whereas the sale of securities decreases the total portfolio value and may result in a gain or loss on the transaction.

Market Value and Unrealized Gains and Losses

There was an unrealized loss of \$239,897 at the end of December. This is determined by comparing the Cost and the Market Value of the portfolio on that date. It implies that a loss would have been realized, had the portfolio been liquidated on December 31st. Since the portfolio was not liquidated, this section is for informational purposes only. Government entities are legally required (GASB 31) to report unrealized gains and losses on investments.

Yield Benchmarks

Investment yields are compared to the Local Agency Investment Fund (LAIF) yields in order to benchmark investment manager performance. Cutwater and Chandler’s yields (0.75% and 0.72%, respectively) are higher than the LAIF benchmark (.26%) for the same period.

The Alameda County Treasury Investment Pool is net of fees and has yielded a 0.55% annualized cash basis rate of return for the month of December.

FISCAL IMPACT

The total realized investment earnings at the end of the 2nd quarter was \$226,299.

REQUESTED ACTION

To review the Investment report.

Submitted by:

Reviewed by:

Mark R Rasiah,
Financial Controller

Mark Friedman,
Chief Executive Officer

**First 5 Alameda County
Investment Report
For the Period July 1, 2013 - December 31, 2013**

	<u>Union Bank</u>	<u>Cutwater Asset Management</u>	<u>Chandler Asset Management</u>	<u>Total</u>
INVESTMENT INCOME:				
Interest Received		121,140	126,589	247,729
Income from Alameda County Treasurer				1,312
Total Investment Earnings				<u>249,041</u>
Investment Fees Paid	(3,117)	(7,483)	(12,142)	(22,742)
Net Investment Income (Net of Fees)				<u>226,299</u>
INVESTMENT ACTIVITY:				
Portfolios - Cost Basis at 7/1/2013		15,064,940	15,214,038	30,278,978
Purchases		4,950,108	6,382,983	11,333,091
Sales		(4,336,719)	(5,369,054)	(9,705,773)
Maturities		(535,100)	(938,616)	(1,473,716)
Cash Disbursement		0	0	0
Net Cash Management		0	0	0
Portfolios - Cost Basis at 12/31/2013		<u>15,143,229</u>	<u>15,289,351</u>	<u>30,432,580</u>
COST VS. MARKET VALUE:				
Portfolios at Market 12/31/2013		15,002,937	15,189,745	30,192,683
Portfolios at Cost 12/31/2013		15,143,229	15,289,351	30,432,580
Unrealized Gain (Loss) at 12/31/2013		<u>(140,292)</u>	<u>(99,605)</u>	<u>(239,897)</u>
YIELD AND BENCHMARKS:				
Cutwater Asset Management (current yield)				0.75%
Chandler Asset Management (current yield)				0.72%
Local Agency Investment Fund (LAIF)				0.26%
Alameda County Treasurer's Pool				0.55%
1-5 Government Index (total rate of return)				-0.16%

**First 5 Alameda County
Combined Holdings Reports
As of December 31, 2013**

<u>Holder</u>	<u>Asset Name</u>	<u>Shares</u>	<u>Cost</u>	<u>Market Value</u>	<u>Investment Type</u>	<u>S & P</u>	<u>Moody</u>
CUTWATER	FHLB	\$ 180,000.00	\$ 180,844.20	\$ 180,280.80	Other Fed Govt	AA+	Aaa
CUTWATER	FHLB	\$ 500,000.00	\$ 499,300.00	\$ 500,105.00	Other Fed Govt	AA+	Aaa
CUTWATER	FHLB	\$ 300,000.00	\$ 305,976.00	\$ 297,426.00	Other Fed Govt	AA+	Aaa
CUTWATER	FHLMC	\$ 1,000,000.00	\$ 1,031,000.00	\$ 1,001,220.00	Other Fed Govt	AA+	Aaa
CUTWATER	FHLMC	\$ 2,250,000.00	\$ 2,231,718.75	\$ 2,252,677.50	Other Fed Govt	AA=	Aaa
CUTWATER	FHLMC	\$ 1,000,000.00	\$ 1,000,000.00	\$ 1,000,920.00	Other Fed Govt	AA+	AAA
CUTWATER	FHLMC	\$ 500,000.00	\$ 501,940.00	\$ 500,470.00	Other Fed Govt	AA+	AAA
CUTWATER	FHLMC	\$ 500,000.00	\$ 498,375.00	\$ 485,695.00	Other Fed Govt	AA+	Aaa
CUTWATER	FNMA	\$ 325,000.00	\$ 328,412.50	\$ 325,331.50	Other Fed Govt	AA+	Aaa
CUTWATER	FNMA	\$ 1,000,000.00	\$ 1,002,910.00	\$ 987,550.00	Other Fed Govt	AA+	Aaa
CUTWATER	FNMA	\$ 1,000,000.00	\$ 1,002,910.00	\$ 987,550.00	Other Fed Govt	AA+	Aaa
CUTWATER	FNMA	\$ 500,000.00	\$ 501,455.00	\$ 493,775.00	Other Fed Govt	AA+	Aaa
CUTWATER	Colgate Palmolive NT	\$ 910,000.00	\$ 930,511.40	\$ 923,067.60	Corporate Bonds	AA-	Aa3
CUTWATER	Johnson & Johnson SR	\$ 791,000.00	\$ 833,168.21	\$ 817,601.33	Corporate Bonds	AAA	Aaa
CUTWATER	IBM	\$ 250,000.00	\$ 260,835.00	\$ 256,837.50	Corporate Bonds	AAA	Aaa
CUTWATER	Merck & Co Inc	\$ 720,000.00	\$ 797,918.40	\$ 756,475.20	Corporate Bonds	AAA	Aa1

**First 5 Alameda County
Combined Holdings Reports
As of December 31, 2013**

<u>Holder</u>	<u>Asset Name</u>	<u>Shares</u>	<u>Cost</u>	<u>Market Value</u>	<u>Investment Type</u>	<u>S & P</u>	<u>Moody</u>
CUTWATER	Fed Govt MMKT	\$ 3,221,820.12	\$ 3,235,954.94	\$ 3,235,954.94	Money Market		
CHANDLER	FAMC	\$ 160,000.00	\$ 161,227.20	\$ 158,988.80	Other Fed Govt	Aaa	AAA
CHANDLER	FFCB BDS	\$ 160,000.00	\$ 160,000.00	\$ 157,430.40	Other Fed Govt	Aaa	AAA
CHANDLER	FFCB BDS	\$ 80,000.00	\$ 80,946.40	\$ 81,640.80	Other Fed Govt	Aaa	AAA
CHANDLER	FFCB BDS	\$ 460,000.00	\$ 458,882.20	\$ 463,390.20	Other Fed Govt	Aaa	AAA
CHANDLER	FFCB BDS	\$ 60,000.00	\$ 59,965.80	\$ 60,027.60	Other Fed Govt	Aaa	AA+
CHANDLER	FFCB BDS	\$ 250,000.00	\$ 249,782.50	\$ 250,107.50	Other Fed Govt	Aaa	AAA
CHANDLER	FHLB Note	\$ 320,000.00	\$ 321,139.20	\$ 321,740.80	Other Fed Govt	Aaa	AA+
CHANDLER	FHLB Note	\$ 320,000.00	\$ 320,646.40	\$ 318,806.40	Other Fed Govt	Aaa	AA+
CHANDLER	FHLB Note	\$ 310,000.00	\$ 318,680.00	\$ 317,793.40	Other Fed Govt	Aaa	AA+
CHANDLER	FHLB Note	\$ 150,000.00	\$ 156,219.00	\$ 153,444.00	Other Fed Govt	Aaa	AA+
CHANDLER	FHLB Note	\$ 160,000.00	\$ 160,147.20	\$ 159,841.60	Other Fed Govt	Aaa	AA+
CHANDLER	FHLB Note	\$ 300,000.00	\$ 297,783.00	\$ 297,426.00	Other Fed Govt	Aaa	AA+
CHANDLER	FHLMC NTS	\$ 140,000.00	\$ 150,141.32	\$ 144,093.60	Other Fed Govt	Aaa	AA+
CHANDLER	FHLMC NTS	\$ 105,000.00	\$ 108,744.83	\$ 108,581.55	Other Fed Govt	Aaa	AA+
CHANDLER	FHLMC NTS	\$ 200,000.00	\$ 207,133.02	\$ 206,822.00	Other Fed Govt	Aaa	AA+

**First 5 Alameda County
Combined Holdings Reports
As of December 31, 2013**

<u>Holder</u>	<u>Asset Name</u>	<u>Shares</u>	<u>Cost</u>	<u>Market Value</u>	<u>Investment Type</u>	<u>S & P</u>	<u>Moody</u>
CHANDLER	FHLMC NTS	\$ 110,000.00	\$ 116,974.77	\$ 115,124.90	Other Fed Govt	Aaa	AA+
CHANDLER	FHLMC NTS	\$ 20,000.00	\$ 21,268.14	\$ 20,931.80	Other Fed Govt	Aaa	AA+
CHANDLER	FHLMC NTS	\$ 310,000.00	\$ 312,874.63	\$ 312,126.60	Other Fed Govt	Aaa	AA+
CHANDLER	FHLMC NTS	\$ 315,000.00	\$ 304,560.90	\$ 306,580.05	Other Fed Govt	Aaa	AA+
CHANDLER	FNMA	\$ 65,000.00	\$ 66,771.25	\$ 65,662.35	Other Fed Govt	Aaa	AAA
CHANDLER	FNMA NTS	\$ 125,000.00	\$ 124,708.75	\$ 124,808.75	Other Fed Govt	Aaa	AA+
CHANDLER	FNMA NTS	\$ 150,000.00	\$ 150,130.12	\$ 148,098.00	Other Fed Govt	Aaa	AA+
CHANDLER	FNMA NTS	\$ 155,000.00	\$ 155,134.46	\$ 153,034.60	Other Fed Govt	Aaa	AA+
CHANDLER	FNMA NTS	\$ 310,000.00	\$ 306,983.50	\$ 303,517.90	Other Fed Govt	Aaa	AA+
CHANDLER	FNMA NTS	\$ 150,000.00	\$ 151,425.00	\$ 150,195.00	Other Fed Govt	Aaa	AA+
CHANDLER	FNMA NTS	\$ 315,000.00	\$ 305,596.58	\$ 304,702.65	Other Fed Govt	Aaa	AA+
CHANDLER	TVA BD	\$ 275,000.00	\$ 277,233.25	\$ 273,292.25	Other Fed Govt	Aaa	AA+
CHANDLER	Apple Inc Bonds	\$ 190,000.00	\$ 188,225.40	\$ 183,720.50	Corporate Bonds	Aa3	A+
CHANDLER	Bank of New York Mellon	\$ 110,000.00	\$ 122,385.23	\$ 115,516.50	Corporate Bonds	Aa3	A+
CHANDLER	Bank of New York Mellon	\$ 105,000.00	\$ 116,822.27	\$ 110,265.75	Corporate Bonds	Aa3	A
CHANDLER	Berkshire Hathaway Fin	\$ 220,000.00	\$ 220,662.88	\$ 226,754.00	Corporate Bonds	Aa2	AA+

**First 5 Alameda County
Combined Holdings Reports
As of December 31, 2013**

<u>Holder</u>	<u>Asset Name</u>	<u>Shares</u>	<u>Cost</u>	<u>Market Value</u>	<u>Investment Type</u>	<u>S & P</u>	<u>Moody</u>
CHANDLER	Berkshire Hathaway Fin	\$ 40,000.00	\$ 40,120.52	\$ 41,228.00	Corporate Bonds	Aa2	AA+
CHANDLER	Black Rocking NTS	\$ 110,000.00	\$ 114,897.06	\$ 113,214.20	Corporate Bonds	A1	A+
CHANDLER	Black Rocking NTS	\$ 50,000.00	\$ 52,225.94	\$ 51,461.00	Corporate Bonds	A1	A+
CHANDLER	CHAIT 212-A5 A5	\$ 165,000.00	\$ 165,000.00	\$ 165,018.15	Corporate Bonds	NR	AAA
CHANDLER	Chevron Corp	\$ 55,000.00	\$ 54,437.37	\$ 53,789.45	Corporate Bonds	Aa1	AA
CHANDLER	Chevron Corp	\$ 30,000.00	\$ 29,693.11	\$ 29,339.70	Corporate Bonds	Aa1	AA
CHANDLER	Chevron Corp	\$ 58,000.00	\$ 57,406.68	\$ 56,723.42	Corporate Bonds	Aa1	AA
CHANDLER	Chevron Corp	\$ 12,000.00	\$ 11,877.24	\$ 11,735.88	Corporate Bonds	Aa1	AA
CHANDLER	COCA-Colants	\$ 100,000.00	\$ 99,839.50	\$ 100,316.00	Corporate Bonds	Aa3	A+
CHANDLER	COCA-Colants	\$ 100,000.00	\$ 99,839.50	\$ 100,316.00	Corporate Bonds	Aa3	A+
CHANDLER	Ebay Inc	\$ 125,000.00	\$ 124,781.25	\$ 127,440.00	Corporate Bonds	A2	A
CHANDLER	GECC NTS	\$ 70,000.00	\$ 76,847.63	\$ 71,416.10	Corporate Bonds	Aa2	AA+
CHANDLER	GECC NTS	\$ 165,000.00	\$ 181,140.84	\$ 168,337.95	Corporate Bonds	Aa2	AA+
CHANDLER	General Elec Cap Corp	\$ 55,000.00	\$ 60,380.28	\$ 56,112.65	Corporate Bonds	Aa2	AA+
CHANDLER	Google Inc	\$ 130,000.00	\$ 136,147.80	\$ 134,314.70	Corporate Bonds	Aa2	AA-
CHANDLER	HAROT	\$ 250,000.00	\$ 249,986.88	\$ 250,070.00	Corporate Bonds	Aa2	AA-

**First 5 Alameda County
Combined Holdings Reports
As of December 31, 2013**

<u>Holder</u>	<u>Asset Name</u>	<u>Shares</u>	<u>Cost</u>	<u>Market Value</u>	<u>Investment Type</u>	<u>S & P</u>	<u>Moody</u>
CHANDLER	IBM Corp	\$ 210,000.00	\$ 210,749.70	\$ 205,571.10	Corporate Bonds	Aa3	A+
CHANDLER	Intel Corp	\$ 190,000.00	\$ 190,566.45	\$ 187,784.60	Corporate Bonds	A1	A+
CHANDLER	John Deere	\$ 35,000.00	\$ 34,978.30	\$ 35,198.10	Corporate Bonds	A2	A
CHANDLER	John Deere	\$ 120,000.00	\$ 124,813.20	\$ 122,034.00	Corporate Bonds	A2	A
CHANDLER	JDOT (John Deere) Pass Through	\$ 155,000.00	\$ 154,995.21	\$ 155,032.55	Corporate Bonds	A2	A
CHANDLER	JDOT (John Deere) Pass Through	\$ 65,000.00	\$ 64,991.14	\$ 65,199.55	Corporate Bonds	A2	A
CHANDLER	JP Morgan Chase & Co	\$ 110,000.00	\$ 112,697.63	\$ 113,432.00	Corporate Bonds	Aa3	A+
CHANDLER	JP Morgan Chase & Co	\$ 120,000.00	\$ 122,942.87	\$ 123,744.00	Corporate Bonds	Aa3	A
CHANDLER	Occidental Petroleum	\$ 155,000.00	\$ 158,693.65	\$ 155,533.20	Corporate Bonds	A1	A
CHANDLER	Oracle Corp	\$ 210,000.00	\$ 207,763.50	\$ 206,652.60	Corporate Bonds	A1	A+
CHANDLER	Pfizer	\$ 190,000.00	\$ 187,003.70	\$ 187,121.50	Corporate Bonds	A2	A
CHANDLER	TAOT (Toyota Pass Thru)	\$ 155,000.00	\$ 154,985.26	\$ 155,029.45	Corporate Bonds	A2	A
CHANDLER	United Tech Corp	\$ 15,000.00	\$ 14,987.10	\$ 15,231.15	Corporate Bonds	Aa3	A+
CHANDLER	Walmart Stores Inc	\$ 110,000.00	\$ 109,898.80	\$ 106,755.00	Corporate Bonds	Aa2	AA
CHANDLER	Wells Fargo	\$ 175,000.00	\$ 182,674.33	\$ 182,862.75	Corporate Bonds	Aa3	AA-
CHANDLER	Wells Fargo	\$ 50,000.00	\$ 52,192.67	\$ 52,246.50	Corporate Bonds	A1	A+

**First 5 Alameda County
Combined Holdings Reports
As of December 31, 2013**

<u>Holder</u>	<u>Asset Name</u>	<u>Shares</u>	<u>Cost</u>	<u>Market Value</u>	<u>Investment Type</u>	<u>S & P</u>	<u>Moody</u>
CHANDLER	Bank of Tokyo Mitsubishi	\$ 305,000.00	\$ 304,786.50	\$ 304,939.00	Commercial Paper	P-1	A-1
CHANDLER	Toyota Motor Cred Discounted Comm Paper	\$ 300,000.00	\$ 299,593.75	\$ 299,994.00	Commercial Paper	P-1	A-1+
CHANDLER	U.S. Treasury Notes	\$ 100,000.00	\$ 104,738.62	\$ 103,281.00	U.S. Treasury	Aaa	AA+
CHANDLER	U.S. Treasury Notes	\$ 100,000.00	\$ 104,239.64	\$ 102,898.00	U.S. Treasury	Aaa`	AA+
CHANDLER	U.S. Treasury Notes	\$ 205,000.00	\$ 213,691.26	\$ 210,940.90	U.S. Treasury	Aaa`	AA+
CHANDLER	U.S. Treasury Notes	\$ 110,000.00	\$ 117,292.74	\$ 114,391.20	U.S. Treasury	TSY	TSY
CHANDLER	U.S. Treasury Notes	\$ 190,000.00	\$ 202,596.55	\$ 197,584.80	U.S. Treasury	Aaa	AA+
CHANDLER	U.S. Treasury Notes	\$ 320,000.00	\$ 322,626.07	\$ 320,300.80	U.S. Treasury	Aaa	AA+
CHANDLER	U.S. Treasury Notes	\$ 330,000.00	\$ 326,739.78	\$ 323,063.40	U.S. Treasury	Aaa	AA+
CHANDLER	U.S. Treasury Notes	\$ 250,000.00	\$ 248,975.45	\$ 250,157.50	U.S. Treasury	Aaa	AA+
CHANDLER	U.S. Treasury Notes	\$ 310,000.00	\$ 305,036.19	\$ 301,183.60	U.S. Treasury	Aaa	AA+
CHANDLER	Federated Govt Oblig	\$ 2,946,283.78	\$ 2,946,283.78	\$ 2,946,283.78			
TOTAL			<u>\$ 30,432,580.04</u>	<u>\$ 30,192,682.85</u>			



To: First 5 Alameda County Commission
From: Mark R Rasiah, Financial Controller
Date: February 27, 2014
Subject: 2013-14 Budget Modification Recommendation

ACTION REQUESTED

To review and approve the following Budget Modification recommendations.

BACKGROUND

The operating budget for FY 2013-14 was adopted by the Commission in May 2013. As in prior years, First 5 Alameda County staff submit mid-year proposals to modify the adopted budget to address material changes in revenue, seek approval for unanticipated expenses that may have occurred and make necessary transfers and adjustments to reflect changes to program goals, since the adoption of the original budget.

REVENUE AND AVAILABLE FUNDS

The 2013-14 Adopted Budget projects revenue and available funding totaling \$18,800,000. The proposed budget modification changes this amount to \$19,587,000, an increase of \$787,000, funded by grants, reimbursements and Prop 10 tobacco tax savings.

EXPENDITURES

The 2013-14 Adopted Budget projects expenses totaling \$18,800,000. The proposed budget modification changes this amount to \$19,587,000, an increase of \$787,000. Please refer to the following summary of changes and the attached Proposed Budget Modification worksheet for Revenues and Available funds.

SOURCE OF FUNDS	INCREASE / (DECREASE) AMOUNT	IMPACT ON BUDGET FOR FY 2013-2014 (REVENUES & EXPENDITURES)	DESCRIPTION/PURPOSE
California Dept. of Education (CDE)	\$836,000	Increases the budget for contracts by \$836k and revenue by \$760k to match the total amount funded by Race to the Top Early- Learning Challenge (RTT-ELC) grant.	<p>The CDE recently released supplemental funding for the Race to the Top Early-Learning Challenge (RTT-ELC) grant. This additional funding will increase the amount of contracts allocated to the project as follows:</p> <p>GSA- Local Childcare Planning Council (\$38k), First 5 Contra Costa MOU (\$36k), BANDTEC (\$180k), First 5 San Mateo (\$138k), Viva Strategies (\$25k), BANANAS, Inc. (\$249K), 4Cs (\$249K), Child Care Links (\$124k) for a total of \$1,039k.</p>
Prop. 10 savings	\$40,000	Increases the budget line item for contracts. Funded through savings in operating expenses. No increase in revenue.	To meet agency-wide programmatic needs for interpretation and translation services provided by International Contact.
Packard Foundation	\$50,000	Increases revenue and the the budget line item for contracts by \$50k.	To fund efforts to map resources and opportunities for ECE students, in conjunction with CSU EastBay.
CSU EastBay	\$72,000	Increases revenue and the budget line item for contracts by \$72k.	For work associated with Hayward Promise Neighborhood in contract with Alameda County Public Health Department for July – December 2013 and reimbursable through CSU East Bay.
Agency wide - Prop 10 savings	(\$211,000)	Reduces/re-allocates the budgets for supplies, travel and contractual support staff.	The proposed reductions/ re-allocations are based on year-to-date expenditures savings and will result in a net reduction in the use of Sustainability funds by \$95k.
Net Increase in Budget Total	\$787,000		

FISCAL IMPACT

The fiscal impact of the budget modification is an increase of \$787,000 in expenses, funded by grants, reimbursements and Prop 10 savings, bringing the total budget to \$19,587,000 and a reduction in the use of Sustainability funds by \$95,000.

RECOMMENDATION

That the Commission approves the Budget Modification Recommendation.

Submitted by:

Reviewed by:

Mark R Rasiah,
Financial Controller

Mark Friedman,
Chief Executive Officer

**Proposed Modifications to The Adopted Budget Revenues and Available Funds
For the Period July 1, 2013 - June 30, 2014**

Revenues	Adopted Budget FY 2013-14	Proposed Increase FY 2013-14	Revised Budget FY 2013-14
Prop 10 Tobacco Tax	13,037,150		13,037,150
Income from Alameda Co. Agencies			
- ACBHCS Early Connections(SAMHSA)	278,000		278,000
- ACPHD Project LAUNCH (SAMHSA)	608,237		608,237
- ACPHD ECCHANGE Hosting & Mtce	86,674		86,674
- SART LINKAGE LINE	250,461		250,461
- Hayward Promise Neighborhood	0	72,000	72,000
- First 5 Contra Costa	139,072		139,072
Total Income from Alameda Co. Agencies	1,362,444	72,000	1,434,444
Grants			
Federal			
- Race To The Top	607,000	760,000	1,367,000
State			
- CARES PLUS	300,000		300,000
Private			
- Long Foundation	450,000		450,000
- Packard Foundation	0	50,000	50,000
Total Grants	1,357,000	810,000	2,167,000
Fiscal Leveraging			
MAA	700,000		700,000
Total Fiscal Leveraging	700,000		700,000
Investment Revenue	500,000		500,000
Miscellaneous Income	17,000		17,000
TOTAL REVENUE	16,973,594	882,000	17,855,594
Available Funds			
Sustainability Funds	1,826,406	(95,000)	1,731,406
TOTAL REVENUES & AVAILABLE FUNDS	18,800,000	787,000	19,587,000

**First 5 Alameda County
Proposed Modifications to the
Expenditure Budget
For the Period July 1, 2013 - June 30, 2014**

Expenditures	Adopted Budget FY 2013-14	Proposed Changes FY 2013-14	Revised Budget FY 2013-14
Personnel Costs	6,699,190	0	6,699,190
Contracts	8,357,981	948,000	9,305,981
Grants	2,065,000	0	2,065,000
Professional Services Contracts	179,250	0	179,250
Program Operating Costs	972,449	(161,000)	811,449
Infrastructure Costs	526,130	0	526,130
TOTAL EXPENDITURES	18,800,000	787,000	19,587,000



To: First 5 Alameda County Commission
From: Janis Burger, Deputy Director
Date: February 27, 2014
Subject: Contract Authorizations

REQUESTED ACTION

To review and approve the following contract authorizations.

BACKGROUND

Per our Financial Policies, Section VII. Purchasing and Contracting, the Commission must approve contract/award amounts in excess of \$50,000. The following awards require specific authorization from the Commission.

Children’s Hospital and Research Center at Oakland (CHRCO) - \$16,172

First 5 Alameda County is requesting approval of a FY 2013-15 \$16,172 contract with CHRCO to support providers to keep current on and to promote quality and best practices in serving families with young children (2013-17 Strategic Plan, page 14). CHRCO and the Center for the Vulnerable Child will collaborate to develop a group of providers and family members to work on implementing training of clinical mental health interns to improve their abilities to meaningfully engage parents in services for their infants or young children.

The addition of this \$16,172 contract brings the FY 2013-15 aggregate contract amount to *\$308,130 for which Commission approval is needed.

*CHRCO currently has a \$39,998 FY 2013-15 community support grant, a \$120,000 FY 2013-15 targeted grant, a \$80,000 FY 2013-15 contract for Harris Training, a \$16,000 FY 2013-15 contract for Comunidad community consultation, a \$10,000 FY 2013-14 contract for Harris Training registration reimbursements, a \$10,000 FY 2013-14 contract for Early Connections Co-Learning activities, a \$8,000.00 FY2013-15 Early Connections contract to write a brief on Mexican-American family reunification, and a \$7,960 FY 2013-15 contract to implement a reflective supervision framework .

Fiscal Impact: None. Funding is budgeted for the \$16,172 contract and will be received from the federal SAMHSA Early Connections grant.

Action requested: Approve an aggregate FY 2013-15 award amount of \$308,130 for Children's Hospital & Research Center at Oakland.

Through the Looking Glass – \$7,000

First 5 Alameda County is requesting approval of a FY 2013-15 \$7,000 contract with Through the Looking Glass to expand Early Connections co-learning work (2013-17 Strategic Plan, page 7). Through the Looking Glass will develop and facilitate a co-learning planning group with Early Head Start program providers, informed by the information and experience of the Brighter Beginnings Early Head Start Co-learning Planning Team. Through the Looking Glass currently has a FY 2013-15 community grant for \$120,000 to provide home-based infant/early childhood preventative and education services for children and/or parents/caregivers with disability, medical, or developmental issues and a \$5,000 FY 2013-15 contract for Early Connections to pilot a co-learning collaborative. The addition of this \$7,000 contract brings the FY 2013-15 aggregate contract amount to \$132,000 for which Commission approval is needed.

Fiscal Impact: None. Funding is budgeted for the \$7,000 contract amount and will be received from the federal SAMHSA Early Connections grant.

Action Requested: Approve an aggregate FY 2013-15 award amount of \$132,000 for Through the Looking Glass.

Lotus Bloom Child and Family Resource Center - \$30,000

First 5 Alameda County is requesting approval of a FY 2013-14 \$30,000 contract amendment with Lotus Bloom Child and Family Resource Center to increase coordination of services at the Castlemont Early Childhood Hub (Room to Bloom) in East Oakland (2013-2017 Strategic Plan, page 11). Lotus Bloom is currently funded for \$50,000 to develop and implement programs and services at the Hub including conducting outreach to increase family engagement. Additional funding will be used to provide professional development to staff and increase services provided including mental health consultation to families, science and music activities for children and increased security for evening activities. Funds will also be used to cover operational expenses such as setting up internet access within the Hub for families to utilize.

Lotus Bloom currently has a FY 2013-15 \$90,000 community grant to provide family school readiness playgroups, parent workshops, and ASQ screenings for children 3-5 years old and their caregivers in Oakland. The addition of \$30,000 brings the total FY 2013-15 award amount to \$170,000 for which Commission approval is needed.

Fiscal Impact: None. Funding is budgeted.

Action Requested: Approve an aggregate FY 2013-15 award amount of \$170,000 for Lotus Bloom Child and Family Resource Center.

FIRST 5 SAN MATEO COUNTY - \$554,000

First 5 Alameda County is requesting approval of a FY 2013-16 \$554,000 sole source contract with First 5 San Mateo County to develop and implement an early care and education Quality Rating and Improvement System (QRIS) and engage and rate 60 San Mateo County early care and education sites in the Bay Area Regional QRIS (2013-17 Strategic Plan, page 9). First 5 Alameda County is a member of California Department of Education's (CDE) Race to the Top Early Learning Challenge Consortia and is currently receiving funds from the CDE to develop and implement a regional early care and education Quality Rating and Improvement System (QRIS). The Federal Department of Education has awarded CDE supplemental funding, a portion of which is allocated to Alameda County. A condition of that supplemental funding is that First 5 Alameda County facilitate the inclusion of a new partner county in the Bay Area Race to the Top Early Learning Challenge Consortia.

Fiscal Impact: None. All funds are budgeted and will be received from the California Department of Education Child Development Division Race to the Top Early Learning Challenge grant.

Action requested: Approve a FY 2013-16 contract amount of \$554,000 for First 5 San Mateo County.

VIVA STRATEGY + COMMUNICATIONS - \$65,000

First 5 Alameda County is requesting approval of a FY 2013-16 \$65,000 sole source contract with VIVA Strategy + Communications to provide technical assistance to First 5 San Mateo County to develop and implement a Quality Rating and Improvement System (QRIS) and engage early care and education sites in the regional QRIS (2013-17 Strategic Plan, page 9). VIVA Strategy + Communications is currently providing consultation and logistical support to the Bay Area Regional Quality Rating and Improvement System Partnership and is uniquely well positioned to provide intensive orientation and ongoing support to San Mateo County.

Fiscal Impact: None. All funds are budgeted and will be received from the California Department of Education Child Development Division Race to the Top Early Learning Challenge grant.

Action requested: Approve a FY 2013-16 contract amount of \$65,000 for VIVA Strategy + Communications.

RECOMMENDATION

That the Commission discuss and approve the contract authorizations.

Submitted by:

Reviewed by:

Janis Burger,
Deputy Director

Mark Friedman,
Chief Executive Officer

First 5 Alameda County



First 5 Alameda County

Welcome to our Annual Report.

This annual report takes a look at the final year of our 2009-2013 Strategic Plan. It reflects the wide variety of programs, services and supports managed, funded and assisted by First 5 Alameda County. We utilized what we learned over the past four years to inform the development of our 2013-2017 Strategic Plan.

The First 5 Alameda County Commissioners and the Alameda County early childhood community have worked passionately and tenaciously to provide high quality services to young children and their families in our community. As First 5 funding declines, we continue to work on policy and fiscal strategies to maintain and expand the focus on young children in our community.

A renewed national focus on the importance of early childhood is inspiring a supportive climate to think bigger about our work and to push for reforms within our state and county. The Obama administration, business leaders, Ready Nation, Fight Crime—Invest in Kids, and Too Small to Fail are only a few of the national campaigns which support investments in early childhood, and many similar efforts are happening throughout California. We continue to learn from these efforts and look for opportunities to promote best practices that make young children and their families a higher priority at all levels of government and philanthropy.

Please don't hesitate to contact me or our staff at any time if you have questions or suggestions on how we can advance our community's collective work to make sure that all children thrive and succeed.

Sincerely,

Mark Friedman, CEO

www.First5Alameda.org

You will find our results, findings, and more organized in the following categories:



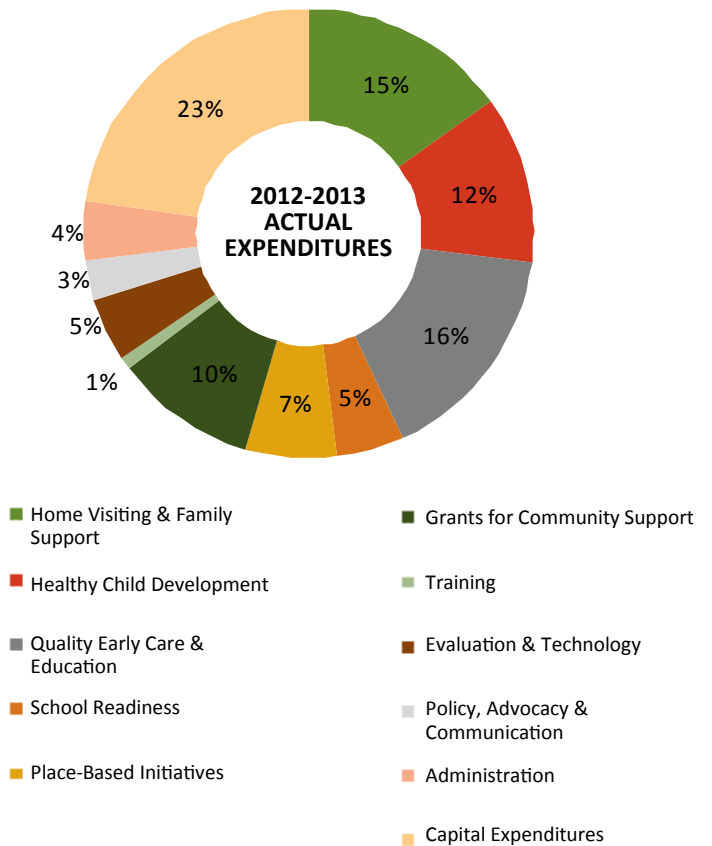
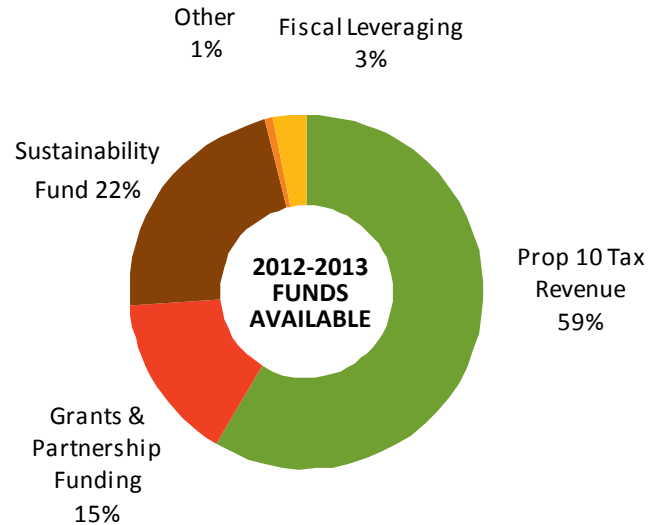
Annual Report 2012-2013

Financial Report

FUNDING SOURCES (IN THOUSANDS)	
Prop 10 Tax Revenue	\$13,646
Grants & Partnership Funding	\$3,528
Sustainability Fund	\$5,219
Other*	\$192*
Fiscal Leveraging	\$706
TOTAL FUNDS	\$23,291

EXPENDITURES (IN THOUSANDS)	
Home Visiting & Family Support	\$3,492
Healthy Child Development	\$2,816
Quality Early Care & Education	\$3,735
School Readiness	\$1,141
Place-Based Initiatives	\$1,532
Grants for Community Support	\$2,358
Training	\$231
Evaluation & Technology	\$1,052
Policy, Advocacy & Communication	\$651
Administration	\$1,010
Capital Expenditures**	\$5,273**
TOTAL EXPENDITURES	\$23,291

Time Period
Financial activities undertaken from July 1, 2012 through June 30, 2013.



* Funding from investments (\$103,719) and miscellaneous revenue (\$87,948)

** Purchase of an office building

Here is how we've impacted

Children

Of the 4 basic building blocks to School Readiness, kindergarten teachers consistently report that students lack the self-regulation skills necessary for school success*. Many F5AC programs focus on building children's **self-regulation and on the early identification of developmental concerns** to help prepare children for school.



HIGHLIGHTS OF OUR WORK WITH CHILDREN

- Offered developmental screenings, information and referrals to children in pediatric and early care and education settings, community agencies, and during home visits.
- Linked children with concerns to services through the centralized Help Me Grow (HMG) phone line for providers.
- Fostered school readiness activities in local libraries and parks and recreation programs through Neighborhood Partnership.
- Provided Summer Pre-Kindergarten programs (SPK) in school districts, for children with no prior preschool experience.
- Offered mental health consultation in family child care homes, and in pre-k through 3rd grade classrooms.

2012-13 RESULTS

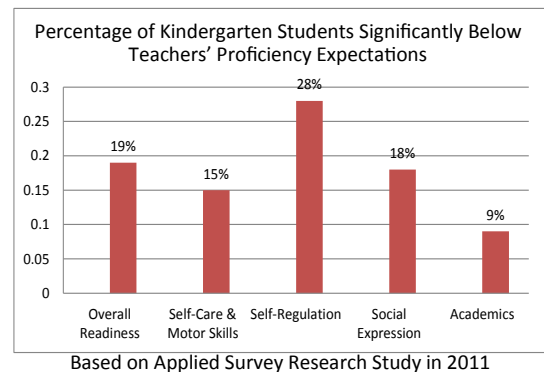
- 6,386 children were screened for developmental concerns through pediatric practices, home visiting programs, ECE sites and community agencies; 1,349 (21%) of the screens showed "of concern" in one or more domains.
- 1,211 calls and referrals were made to the HMG phone line for child-specific and general information.
- 942 children were served through Neighborhood Partnership activities. Self-regulation skills, including playing cooperatively, staying focused and participating successfully in circle time were among the areas in which teachers noted the most improvements.

"We 'teach' important tools and concepts to young children in the area of conflict resolution and everyday social decision making. Our clinicians were able to conduct a...class activity focusing on naming and identifying...feelings with 4-6 year olds." (Ann Martin Children's Center Report)

- 679 children attended a brief, 5-6 week SPK experience. Children who attend SPK tend to do as well in self-regulation as children who have had long-term preschool experience.
- 15 classrooms in 6 preschool to 3rd grade programs and 7 family child care homes received mental health consultation.

WHAT WE'VE LEARNED

We have increased identification of children with developmental concerns, but need to continue to work on linking families to needed resources. We have opened up the HMG phone line for parents to call directly, increasing neighborhood-based school-readiness activities, and launched an on-line resource directory and early childhood website to increase parent and provider access to information and community resources.



POLICY/SYSTEMS CHANGE

- We are engaging in advocacy work to make developmental screening a Healthcare Effectiveness Data and Information Set (HEDIS) measure of health care quality.
- We are seeking school district support to continue funding Summer Pre-K programs.

*Applied Survey Research, 2011

Children

Families

Alameda County over the past year

Families

Five protective factors are reflected in stronger, healthier families: parental resilience, social

connections, knowledge of parenting and child development, concrete support in times of need, and nurturing children's social/emotional competence (Strengthening Families, Center for the Study of Social Policy).

"[Before] I felt really fragile. Now I feel stronger on the inside. I learned that I have to forgive. Now I show my son pictures of his dad, feel happier and enjoy my life more." (Parent Café participant)

HIGHLIGHTS OF OUR WORK WITH FAMILIES

- Performed parental depression screening during home visits and through community agencies.
- Fostered parenting education/support groups, parent-child activities, and Parent Cafés to strengthen social connections, knowledge of parenting and children's development, and parent-child interactions.
- Offered family navigation through Help Me Grow to connect families to needed services and empower them to become their child's first advocate.
- Piloted Strengthening Families Parent Cafés as a model for parent engagement.

2012-13 RESULTS

- 90% of all 1,228 families served by F5AC intensive home visiting programs are screened for depression with the Edinburgh Postnatal Depression Screen. This year 24% screened positive for depression.
- 1,865 parents attended parenting classes and/or support groups offered by 12 community agencies, 11 Summer Pre-K programs and the Family Resource Network; 1,056 parents attended parent-child activities offered by 11 community agencies.
- 87% of 611 parents who attended parenting programs said they "feel more confident as a parent."
- More than 70% of the 64 parents who attended Parent Cafés said the Cafés helped them "a lot" to "be better parents to their children" and "to better understand what their child is feeling and how to respond." Parents also reported increases in "the amount of friendly interactions with other parents in the school or neighborhood."

WHAT WE'VE LEARNED

We have learned that addressing all five protective factors in our work with families is critical. Our families continue to face challenges to parental resilience, especially among primary caregivers who are depressed and already at great risk of poor outcomes due to health and socioeconomic circumstances. Some families need immediate mental health support, while others benefit from information about their child's development and increased social connections with other parents.

POLICY/SYSTEMS CHANGE

- Over the next years, the Alameda County Public Health Department will staff home visiting programs with four mental health providers to expand screening and support for parents at risk for depression.
- We are committed to integrating the Strengthening Families framework into our service delivery models.
- Parents throughout the county will receive resources and support through a new Help Me Grow media campaign and through on-going parenting programs and Parent Cafés.
- We continue to identify gaps in resources and advocate for new supports.



Professionals

Systems Change

Working so that every

Professionals

Our work is focused on providing opportunities for early childhood providers **to obtain the knowledge and skills to offer effective services to children 0-5** and their families.

HIGHLIGHTS OF OUR WORK WITH PROVIDERS

- Offered trainings in family support and early childhood topics such as child development, health care reform, violence and trauma.
- Facilitated learning communities and consultation groups to increase the depth of knowledge and peer support for providers serving specific populations.
- Provided Early Care and Education quality improvement opportunities such as on-site coaching, consultation and higher education courses.

2012 - 13 RESULTS

- 1,247 providers attended trainings offered by F5AC or our partner Resource and Referral agencies.
- 155 early care and education providers received a stipend to pursue higher education.
- 102 providers were trained in Touchpoints, funded through California Project LAUNCH. At one Touchpoints training, 92% (n=24) of providers said their ability to help parents use their own skills and resources to solve problems and address challenges changed “some” or “a lot” following the training.

- 67 providers attended community consultation groups on serving different cultures. Providers surveyed felt that learning about community resources for families and discussing and reflecting on families’ cultural traditions and beliefs were among the most useful aspects of the groups.



“[The Community Consultation group]...helps me... [by validating] the work I do in the community. It has [also] helped break the sense of isolation a provider may face in working with disadvantaged communities.” (Community Consultation group participant)

- 22 providers participated in the Harris Training in early childhood mental health; 23 providers participated in mental health consultation learning communities and support groups.
- 59 providers attended a Shelter Learning Community for providers working in Alameda County homeless shelters.
- 26 early care and education programs (5 family child care, 21 centers) have received on-site quality coaching and consultation. The Low Income Investment Fund provided technical assistance and funding for 11 quality grants and 4 facility grants for quality improvements totaling \$192,700.

WHAT WE’VE LEARNED

Early Childhood providers benefit from clear roadmaps to fulfill professional and educational goals. Our work with providers can improve by aligning training opportunities with professional competencies being developed nationally and in collaboration with local partners to promote seamless pathways to educational and professional development opportunities.

POLICY/SYSTEMS CHANGE

- Making first steps in delivering a coordinated menu of supports to help providers provide high quality care and rate highly against recognized child care benchmarks through our participation in the California Race to the Top Early Learning Grant.
- Launched an electronic Early Childhood Resource Guide and Connection Cafés to help bring resources to providers’ fingertips and offer a natural community of peers to support each other.

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Alameda County child is...

Systems Change

Our work is increasingly focused on **promoting systems and policy changes that enhance community capacity and fiscal sustainability** of services, where families have access to appropriate levels of care, are linked with community supports and successfully transition between systems from birth to kindergarten entry.



HIGHLIGHTS OF OUR WORK WITH SYSTEMS CHANGE

- Championed and continuing to expand Help Me Grow (HMG), a coordinated system in the county for early identification, linkage to services and supporting similar efforts throughout the state.
- Participated in the Alameda County Interagency Children's Policy Council and the Birth-8 Success workgroup to develop common cross-agency outcomes for children up to 8 years of age.
- Consolidated county and community prenatal and post-partum home visiting programs into one system within Alameda County Public Health with common standards, outcomes, training and data reporting.

2012-13 RESULTS

- Hosted 29 representatives from 11 California counties who visited our Help Me Grow program.
- Held a two-day training for county and community clinics with the Centering Healthcare Institute to promote Centering programs in clinics.
- Published a policy brief for Project LAUNCH on Mental Health Consultation in Home Visiting Programs.
- We were highlighted as one of two counties in the Finance Project publication, "Exploring the Financing and

"Creating a statewide infrastructure to grow and sustain the HMG model in CA by cultivating and supporting county affiliates, demonstrating the impact of HMG and serving as a statewide voice for systems and services that promote early childhood development." - HMG CA Mission Statement

Sustainability of Community Level, Multi-sector Systems Supporting Children and Families."

- Initiated the first countywide school readiness baseline study to help the county monitor children's readiness for kindergarten, with support from the Interagency Children's Policy Council.
- We supported the passage of SB402, requiring all California birthing hospitals to adopt breastfeeding friendly practices by 2025.

WHAT WE'VE LEARNED

While our programs on the ground emphasize the use of best and promising practices, our chances for sustaining these practices require an intentional focus on evolving our early childhood systems. We have not yet reached the tipping point where policy makers see the value of investing in early childhood as a critical ingredient to the future of our communities. But we are making some advancements in aligning regional and state systems to accelerate and sustain important strategies that show promise for positively impacting children's outcomes.

POLICY/SYSTEMS CHANGE

- We are exploring a potential State Medicaid waiver and other regulatory changes for child care mental health consultation and family navigation services as a long-term funding stream for sustaining of First 5 programs.
- We are supporting the development of an integrated early childhood system linking county & community programs with school districts.
- We are Supporting the statewide expansion of Help Me Grow.

ready for success in 3rd grade & life!



Thank you for your interest in Alameda County children & families! And thank you to our funders and commissioners for your ongoing support.

Our Commissioners:

Pamela Simms-Mackey, Chair

Helen Mendel, Vice-Chair

Alex Briscoe

Wilma Chan

Ricky Choi

Lori Cox

Renee Sutton Herzfeld

Deborah Roderick Stark

Albert Wang

Funders:

Abt Associates

Alameda County Health Care Services

Alameda County Public Health

Alameda County Social Services Agency

California Department of Education (Race to the Top)

Cal State University East Bay Foundation

First 5 California

First 5 Contra Costa

Packard Foundation

Kellogg Foundation

Substance Abuse and Mental Health Services Administration

Thomas J. Long Foundation

United States Department of Health and Human Services

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To: First 5 Alameda County Commission
From: Mark Friedman, CEO
Date: February 27, 2014
Subject: 2012-13 First 5 California State Annual Report

REQUESTED ACTION

To review and approve the 2012-13 First 5 California State Annual Report

BACKGROUND

Proposition 10 legislation requires each county Commission to conduct one public hearing on the First 5 California State Annual Report. The report is a compilation of data collected from counties that participate in statewide initiatives including Power of Preschool, Health Access and School Readiness. The report also summarizes the county results on the state identified focus areas: Family Functioning, Child Development, Child Health and Systems of Care. The report can be downloaded at:

http://www.ccfc.ca.gov/pdf/annual_report_pdfs/Annual_Report_12-13.pdf

FISCAL IMPACT

There is no fiscal impact for this approval.

RECOMMENDATION

To review and approve the 2012-13 First 5 California State Annual Report

Submitted by:

Reviewed by:

Mark Friedman,
Chief Executive Officer

Janis Burger,
Deputy Director