



FIRST 5 ALAMEDA COUNTY COMMISSION MEETING AGENDA

Thursday, April 23, 2015

**First 5 Alameda County
1115 Atlantic Ave.
Alameda, CA 94501
Conference Room A**

9:00 AM – 11:30 PM

Commissioners: *Chair:* Pamela Simms-Mackey M.D., *Vice Chair:* Ricky Choi, M.D., Alex Briscoe, Wilma Chan, Lori Cox, Cecilia Echeverria, Renee Herzfeld, Deborah Roderick Stark

- 1. Public Comment (for items not listed on the agenda) - Limited to 3 minutes per speaker**
- 2. Approval of Minutes from February 26, 2015**
- 3. Staff Announcements**

CONSENT

- 4. FY 2014-15 3rd Quarter Investment Report**
- 5. FY 2014-15 3rd Quarter Financial Report**

INFRASTRUCTURE

- 6. First Reading of FY 2015-16 Budget**
- 7. First Reading of Long Range Financial Plan**
- 8. Contract Authorizations**

PROGRAM

- 9. First Reading of FY 2015-16 Strategic Plan**
- 10. FY 2013-14 Annual Report Presentation**
- 11. FY 2015-17 Community Grants Initiative Recommendations**
- 12. Raising of America Video**
- 13. State Commission and Association Updates**
- 14. Legislation and Public Policy Updates**

Commission Meeting Agenda

April 23, 2015

Information about the First 5 Conference Center

- The First 5 Conference Center is wheelchair accessible. Please notify us 3 business days in advance if you need special assistance so we can make reasonable arrangements to ensure accessibility.
- Please refrain from wearing strongly scented products to the Conference Center in consideration of those who may experience chemical sensitivities.

MISCELLANEOUS

15. Communication from Commissioners

16. Adjournment

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FIRST 5 ALAMEDA COUNTY COMMISSION MEETING MINUTES

Thursday, February 26, 2015

**First 5 Alameda County
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Conference Room A**

9:00 AM – 11:30 AM

Commissioners: *Chair:* Pamela Simms-Mackey M.D., *Vice Chair:* Ricky Choi, M.D., Alex Briscoe, Wilma Chan, Lori Cox, Cecilia Echeverria, Renee Herzfeld, Helen Mendel, CMD, Deborah Roderick Stark

Commissioners Absent: Ricky Choi, Wilma Chan, Deborah Roderick Stark

The meeting began at 9:08am with Chair Pamela Simms-Mackey calling the meeting to order.

1. **Public Comment** There were no public comments.

2. **Approval of Minutes from January 8, 2015**

Commission Action: The Commission approved the January 8, 2015 minutes upon motion by Commissioner Mendel, seconded by Commissioner Herzfeld (5 in favor, 0 opposed 0 abstain).

3. **Staff Announcements**

- **Acknowledgement of Commissioner Helen Mendel's service**

Chair Simms-Mackey presented Commissioner Mendel with a plaque in honor of her service and retirement from the Board. CEO, Janis Burger thanked Commissioner Mendel for her service and presented her with a gift. Karina Rivera from Supervisor Wilma Chan's office presented thanks to Commissioner Mendel on behalf of Commissioner Chan. Former First 5 Alameda County, CEO, Mark Friedman presented and thanked Commissioner Mendel. Commissioner Briscoe acknowledged appreciation of Commissioner Mendel's service as well, followed by Commissioner Mendel, who spoke about her departure from the Board.

- **Acknowledgement of Long Foundation grant funding**

Carla Keener, Senior Administrator, presented an acknowledgement of funder Thomas J. Long Foundation in support of the Summer Pre-K Program in 2009 for 5 year grant. Ms. Keener thanked them and stated that of the 11 districts funded, 5 have secured funding to continue the program. Ms. Keener presented the foundation with a certificate of appreciation. The San Leandro School Board also provided a certificate of appreciation for the foundation.

Ms. Burger announced that there were many school representatives who had wanted to be at the previous meeting to thank the foundation as well.

- **Father Corps Coordinator Position**

Fathers Corps Coordinator Kevin Bremond announced that the Alameda County Board of Supervisors adopted the Fathers Corps Father-Friendly Principles and thanked everyone for support of the new position.

4. Election of Officers

Chair Simms-Mackey asked for nominations for the Chair and Vice Chair positions for Calendar Year 2014-15.

Commission Action: Vice Chair Helen Mendel nominated Dr. Pamela Simms-Mackey as Chair upon motion, seconded by Commissioner Herzfeld and unanimously carried (5 in favor, 0 opposed).

Commission Action: Vice Chair Helen Mendel nominated Dr. Ricky Choi as Vice Chair upon motion, seconded by Commissioner Herzfeld and unanimously carried (5 in favor, 0 opposed).

INFRASTRUCTURE

5. FY 2014-15 2nd Quarter Investment Report

Mark Rasiah, Financial Controller, presented the staff report, investment report and combined holding report. Mr. Rasiah explained that First 5 Alameda County holds \$30 million invested with 2 managers. The total earnings have been \$177,555 with fees of approximately \$17,000. He reported an expected approximate \$350,000 in end of year total returns.

Commissioner Mendel asked if Mr. Rasiah still feels comfortable with the Cutwater money managers. Mr. Rasiah replied that he does continue to feel secure with the agency.

6. FY 2014-15 2nd Quarter Financial Report

Mr. Rasiah presented the July 1 – Dec 31 report with staff, revenue and expenditure pieces. Revenues are at approximately 50%. This is similar to prior years. He announced that Project LAUNCH funds completed during this time. There were new sources of funding such as the Packard Foundation and the Morris Stulsaft Foundation.

Mr. Rasiah expects to come in with similar returns as last year. Sustainability funds have not been tapped into at this point. He stated that we will be making payments soon so expenditures will be picking up. Program operating costs have gone down with total budget of \$19 million, of which we've spent approximately \$5 million.

Commissioner Herzfeld asked why we still have 70% of funds to spend. Mr. Rasiah responded that staff and infrastructure are the only expenditures which are regularly occurring throughout the year. So contracts, large expenses are invoiced and have not yet come through as expenses yet. We always pay these in arrears.

Commissioner Briscoe announced that the Home Visiting fund will have a projected increase in expense and that we should consider this for sustainability financial planning for the future. Ms. Burger replied that strategic planning for 2017 will take place soon and this will be kept in mind. Mr. Rasiah replied that at the next Commission Meeting he will be presenting the long range financial plan.

7. Contract Authorizations

Christine Hom, Grants and Contracts Administrator, presented the contracts below and asked if there were any questions.

Commissioner Briscoe stated that he would like an exploratory staff report on the technology being used. He wanted to ensure that the alliance is getting acknowledged for its work. Additionally he expressed interest in learning about our data exchange and analysis of connection/exchange with other data systems such as EPIC and NexGen.

Chris Hwang replied that she is working with Colleen Payne on integration work and that many partners are using other data systems. She also announced that at the next Commission meeting there will be a presentation on how the transition from SIS to PCG will affect the database and hosting. She stated she is happy to share lessons learned with the county.

Commissioner Briscoe responded that at some point he would like to flesh out the Board's feelings on system integration. Ms. Burger stated that she would love to have a joint conversation/collaboration with the alliance on system integration.

Commissioner Lori Cox arrived at 9:48am

Chair Simms-Mackey facilitated the vote for the following contracts:

- The Center to Promote HealthCare Access (Social Interest Solutions) \$57,177
Commission Action: The Commission approved the The Center to Promote HealthCare Access (Social Interest Solutions) contract upon motion by Commissioner Mendel, seconded by Commissioner Echeverria and unanimously carried (6 in favor, 0 opposed).
- Applied Survey Research \$18,515
Commission Action: The Commission approved the Applied Survey Research contract upon motion by Commissioner Mendel, seconded by Commissioner Echeverria and unanimously carried (6 in favor, 0 opposed).

Commissioner Mendel asked who we were working with. Ms. Burger replied that we are working closely with Matt Regan on Too Small to Fail and Talk Read Sing along with Jim Wonderman.

8. FY 2014-15 Budget Modification Recommendation

Mark Rasiah, Financial Controller, presented that 6 months into the year a call to staff is put out for any changes in budget needed. This year there is \$510,000 budget modification being requested. \$98,000 of this amount was for the Race to the Top grant which was modified. Additionally, there was the creation of an administration position for the Fathers Corps position. Next is an increase for our contract with Lotus Bloom. Next is an increase for Help Me Grow. Policy, Advocacy and Communication fund requested an increase as new needs have arisen. There was a Packard modification. Finally increased infrastructure cost for contract to search for COO position and for building facility enhancements.

Commissioner Mendel asked who the search firm is for the COO. Ms. Burger stated that there are 20 potentials and we hope to have someone in place by end of April.

Commissioner Briscoe asked about the Medical Home partnership. Chair Simms- Mackey replied that we became involved with the Medical Legal partnership project via national Project Dulce and it would be used to support families via Home Visiting, Room to Bloom, etc.

Mr. Rasiah concluded stating that Project LAUNCH is expecting revenues and the impact on the budget will be \$343,777 so increasing the budget for that as well as the Stulsaft and Packard funding.

Commission Action: The Commission approved the Budget Modification Recommendation upon motion by Commissioner Mendel, seconded by Commissioner Echeverria and unanimously carried (6 in favor, 0 opposed).

9. Ethics Presentation

James Harrison, agency counsel, presented on behalf of Remcho, Johansen & Purcell, LLP on behalf of conflict of interest laws and general ethical principles. Mr. Harrison explained that the most important factors to understand are to be aware of personal financial interests and gut instincts or intuition on decision making for agencies with possible personal connections. (See Powerpoint Presentation)

Commissioner Briscoe asked about honoraria, this was clarified that this is intended only for honoraria received by the commission and not by honoraria given. Also he explained that any gifts can be donated within 30 days to a 501C3 to avoid any conflicts of interest issues.

Commissioner Briscoe asked about employment and decision making. Mr. Harrison replied that there are other laws regulating this. Commissioner Briscoe remarked that the health care industry is rife with these types of conflicts of interest around employment. Mr. Harrison explained that there are laws, however, they are not always enforced 100% and some do get away with illegal activity.

Commissioner Mendel asked if we can educate our constituents without advocating for a tax. Mr. Harrison replied that staff members have stricter regulations than commissioners. He stated that Commissioners are able to advocate whereas for staff this would be a conflict of interest.

PROGRAM

10. FY 2013-14 First 5 California Annual Report Approval

Chris Hwang, Evaluation and Technology Administrator, presented the report by First 5 CA of aggregated results from all counties. Ms. Hwang also reported that First 5 Alameda County has aligned our results much more closely with First 5 CA and that First 5 Alameda County highlights appear on page 30 of this report. Ms. Hwang announced that Alameda is the 7th largest county in the state and the revenues are distributed proportionally.

Commissioner Briscoe asked about Dr. Davis Muntu and if we are working with him. Ms. Burger replied that we are.

Commission Action: The Commission approved the First 5 California Annual Report upon motion by Commissioner Mendel, seconded by Commissioner Cox and unanimously carried (6 in favor, 0 opposed).

11. Project LAUNCH Presentation

Ms. Keener and Lisa Erickson, Early Childhood Strategies Coordinator, presented the work of Project LAUNCH. The project began in 2009 with the goal of selecting a geographic area to work on the 5 strategies – screening/assessment, integrative behavioral health into primary care, mental health consultation, family strengthening and home visiting for social-emotional well being.

Commissioner Herzfeld asked where Room to Bloom was located. Ms. Erickson explained that it is located on the Castlemont High School grounds in 3 classrooms. She also mentioned that there is projected expansion to a local charter school.

Ms. Erickson directed everyone to a packet by Deborah Bremond on the impact of trauma in East Oakland.

Commissioner Briscoe stated that with the funding loss of Regional Center he would be curious to see the number of youth affected. Additionally Commissioner Briscoe asked about a subset study looking at how many young people were approved for IEP services before and after the budget cuts. Ms. Burger replied that we are expecting funds from the Long Foundation to work more closely with the Regional Center. Commissioner Briscoe also asked about charter school enrollment and its impact.

Commissioner Briscoe stated that it was a great collaboration. He described the efforts at increasing employment and invited First 5 Alameda County join the efforts toward sustainability

employment. He stated he would love to see Project LAUNCH take on the task of equipping communities with work opportunities. Ms. Burger replied that this is an interest of First 5 Alameda County. Ms. Keener replied that there are paid positions now filled by parent participants and that there is thought and strategy around work opportunities.

Ms. Burger announced a new initiative with Haas which is aimed at creating savings accounts for moms with a similar focus away from service and on self-sustainability. Commissioner Briscoe announced an internship opportunity he would like Project LAUNCH to collaborate with as well.

12. State Commission and Association Updates

Janis Burger, Chief Executive Officer, presented, announcing that following the stated conference Camille Maben, ED of First 5 CA, wants to meet with Chair Simmes-Mackey and Commissioner Choi and that the state conference was a big success.

Ms. Burger reported that the Association Advocacy Committee is working on the Board of Equalization issue. We stand to gain over \$500,000 annually. A report from them on remedying the situation is due in April, but if it is not favorable, First 5 Orange County is prepared to file a lawsuit.

13. Legislation and Public Policy Updates

Ms. Burger announced the Association has taken position on new bills in the works, SB203 on soda labels, SB140 on e-cigarettes, SB268 on increasing child tax credits and AB50 on Nurse Family Partnership.

Commissioner Briscoe asked about potential revenue from e-cigarettes if and when it is declared a tobacco product. Commissioner Mendel replied that there is a report on this in the works. Commissioner Briscoe asked about if the source of funding could be shifted via voter ballot. Commissioner Mendel replied that this is unlikely.

Ms. Burger stated that Alameda County is getting over 3 million for block grant for child care quality. She stated that we are also anticipating a 5 million dollar 2 year funding for Help Me Grow. We applied to be part of a training program for Pay for Success for Home Visiting, this conference is in April.

MISCELLANEOUS

14. Communication from Commissioners

Commissioner Mendal stated that the advocacy side of the work is important. She asked that Janis look at visionary programs, state discussions and build a team for the advocacy side. She found the most successful strategy was constant communication with legislators beyond an annual report, but face to face personal presentations. Ms. Burger replied that she agrees with this and it is critical.

Ms. Burger announced that she will distribute a recently released report by Deborah Stark to all commissioners.

15. Adjournment



To: First 5 Alameda County Commission
From: Mark R Rasiah, Financial Controller
Date: April 23, 2015
Subject: Third Quarter Investment Report, July 1 – March 31, 2015

REQUESTED ACTION

To review the Investment Report for the first nine months of FY2014-15.

BACKGROUND OF ACTIVITIES

The investment objectives of the First 5 Alameda County Agency are first, to provide safety of principal to ensure the preservation of capital in the overall portfolio; second, to provide sufficient liquidity to meet all requirements that may be reasonably anticipated; and third, to earn a commensurate rate of return consistent with the constraints imposed by the safety and liquidity objectives.

The performance objective of the First 5 Alameda County Agency portfolio is to earn a total rate of return that exceeds the total rate of return on a market benchmark index of 1-5 Year Government securities.

In 2005-06, First 5 Alameda County transferred \$34,000,000 from the Sustainability Fund held by the Alameda County Treasurer's investment pool to outside money managers. This report summarizes the activity and status of the investment portfolio as of March 31st, 2015.

PORTFOLIO HIGHLIGHTS

The Investment Report shows the performance of funds that are invested in the Alameda County pool and with the two fund managers, Cutwater Asset Management and Chandler Asset Management and with Philanthropic Ventures Foundation. At the end of the last fiscal year (June 30th 2014), the total market value of the portfolio was \$30,420,589. In February 2014, a sum of \$5 million was transferred out of both fund manager holdings to Philanthropic Ventures Foundation, to fund a strategic collaboration initiative as authorized by the Commission on 21st March, 2013. There were no other withdrawals during the first three months of FY 2014-15. The market

value of the combined portfolios as of March 31st, 2015 is \$30,704,338 at a cost of \$30,646,295, resulting in an unrealized gain of \$58,043.

RECENT ECONOMIC ACTIVITY

Job growth was weaker than expected in March with nonfarm payrolls up 126,000, well below the consensus forecast of 245,000. The unemployment rate was unchanged at 5.5%, though the labor participation rate declined to 62.7% from 62.8%. Wages rose 0.3% (higher than expected). Unfavorable weather and the strong dollar were likely factors for weak job growth in March. Overall, there is still excess slack in the labor market. Growth in the manufacturing sector has also softened. The strong U.S. dollar and sluggish economic growth abroad has dampened U.S. manufacturing trends. U.S. consumer confidence remains strong, but consumer spending trends have underwhelmed. Housing data remains volatile. There have been pockets of weakness in recent economic data, but some of the factors for this weakness may be transitory (such as unfavorable weather and the West Coast port delays).

The Federal Open Market Committee (FOMC) left policy rates unchanged at its March 17-18 meeting, without any dissenting votes. The Committee noted economic activity moderated and the inflation rate declined further. The word “patient” (with regard to the timing of a potential future rate hike) was removed from the policy statement, but the Committee indicated a rate hike at the next FOMC meeting in April is unlikely. The Fed left the door open for a possible rate hike in June, but we believe the first rate hike is likely to occur later in the second half of this year. Notably, the Fed expects to see further improvement in the labor market before it starts to raise rates, which implies that the Fed remains dissatisfied with current employment conditions. The Committee also needs to be confident inflation will move back to its 2% target, before they raise rates. With inflation low, we believe the Fed faces no urgency to begin raising rates.

INVESTMENT REPORT

Investment Income

Investment income is primarily derived from interest or yield payments on securities held in the investment portfolio. Typically, interest income from each security is received semi-annually. The money managers buy, sell and exchange securities consistent with the First 5 Alameda County Investment Policy in order to optimize overall yields.

Net investment earnings (net of fees) for the nine months ended March 31, 2015 was \$258,278 and includes \$3,533 from the Alameda County Treasurer’s pool.

Investment Fees

Fees include those levied by the money managers (Cutwater and Chandler) and the fees levied by the account custodian (Union Bank). The total investment and custodial fee incurred during this period were \$26,554, compared to \$31,088 in the prior year.

Investment Activity

The Investment Activity shows all transactions affecting our portfolio. A purchase of securities increases the value of the portfolio whereas the sale of securities decreases the total portfolio value and may result in a gain or loss on the transaction.

Market Value and Unrealized Gains and Losses

There was an unrealized loss of \$58,043 at the end of March. This is determined by comparing the Cost and the Market Value of the portfolio on that date. It implies that a small gain would have been realized, had the portfolio been liquidated on March 31st. Since the portfolio was not liquidated, this section is for informational purposes only. Government entities are legally required (GASB 31) to report unrealized gains and losses on investments.

Yield Benchmarks

Investment yields are compared to the Local Agency Investment Fund (LAIF) yields in order to benchmark investment manager performance. Cutwater and Chandler’s annualized yields (0.82% and 0.96%, respectively) marginally exceed the total rate of return (0.91%) on a market benchmark index of 1-5 Year Government securities.

The Alameda County Treasury Investment Pool is net of fees and has yielded a 0.62% annualized cash basis rate of return for the month of March.

FISCAL IMPACT

The total realized investment earnings at the end of the first nine months of the year were \$284,832.

REQUESTED ACTION

To review the Investment Report for the first six months of FY2014-15.

Submitted by:

Reviewed by:

Mark R Rasiah,
Financial Controller

Janis Burger,
Chief Executive Officer

**First 5 Alameda County
Investment Report
For the Period July 1 2014 - March 31 2015**

	<u>Union Bank</u>	<u>Cutwater Asset Management</u>	<u>Philanthropic Ventures</u>	<u>Chandler Asset Management</u>	<u>Total</u>
INVESTMENT INCOME:					
Interest Received		126,352	9,288	145,660	281,299
Income from Alameda County Treasurer					3,533
Total Investment Earnings					<u>284,832</u>
Investment Fees Paid	(4,692)	(9,879)	0	(11,983)	(26,554)
Net Investment Income (Net of Fees)					<u>258,278</u>
INVESTMENT ACTIVITY:					
Portfolios - Cost Basis at 07/01/2014		12,591,762	4,979,424	12,840,403	30,411,589
Purchases		5,033,603	9,288	6,685,397	11,728,288
Sales/Disbursements		(4,380,585)		(4,918,449)	(9,299,034)
Maturities		(499,300)		(1,695,249)	(2,194,549)
Cash Disbursement		0	0	0	0
Portfolios - Cost Basis at 03/31/2015		<u>12,745,480</u>	<u>4,988,712</u>	<u>12,912,103</u>	<u>30,646,295</u>
COST VS. MARKET VALUE:					
Portfolios at Market 3/31/2015		12,723,967	4,988,712	12,991,659	30,704,338
Portfolios at Cost 3/31/2015		12,745,480	4,988,712	12,912,103	30,646,295
Unrealized Gain (Loss) at 3/31/2015		(21,513)	0	79,556	58,043
YIELD AND BENCHMARKS (3 months to date):					
Cutwater Asset Management					0.82%
Chandler Asset Management					0.96%
Philanthropic Ventures					0.24%
Local Agency Investment Fund (LAIF)					0.27%
Alameda County Treasurer's Pool					0.62%
1-5 Government Index (total rate of return)					0.91%

**First 5 Alameda County
Combined Holdings Report
As of March 31, 2015**

<u>Holder</u>	<u>Asset Name</u>	<u>No. of Shares</u>	<u>Cost</u>	<u>Market Value</u>	<u>Investment Type</u>	<u>Moody</u>	<u>S & P</u>
CHANDLER	FAMC	160,000.00	161,227	161,194	Other Fed Govt	Aaa	AAA
CHANDLER	FFCB BDS	160,000.00	160,000	161,626	Other Fed Govt	Aaa	AAA
CHANDLER	FFCB BDS	200,000.00	199,826	200,142	Other Fed Govt	Aaa	AAA
CHANDLER	FHLB Note	250,000.00	250,890	252,080	Other Fed Govt	Aaa	AA+
CHANDLER	FHLB Note	255,000.00	255,837	256,275	Other Fed Govt	Aaa	AA+
CHANDLER	FHLB Note	320,000.00	320,646	321,933	Other Fed Govt	Aaa	AA+
CHANDLER	FHLB Note	235,000.00	241,580	239,033	Other Fed Govt	Aaa	AA+
CHANDLER	FHLB Note	160,000.00	160,147	161,347	Other Fed Govt	Aaa	AA+
CHANDLER	FHLB Note	245,000.00	243,189	247,999	Other Fed Govt	Aaa	AA+
CHANDLER	FHLMC NTS	245,000.00	247,272	247,923	Other Fed Govt	Aaa	AA+
CHANDLER	FHLMC NTS	255,000.00	246,549	254,549	Other Fed Govt	Aaa	AA+
CHANDLER	FHLMC NTS	260,000.00	252,907	259,581	Other Fed Govt	Aaa	AA+
CHANDLER	FHLMC NTS	250,000.00	251,525	253,740	Other Fed Govt	Aaa	AA+
CHANDLER	FHLMC NTS	265,000.00	258,515	262,793	Other Fed Govt	Aaa	AA+
CHANDLER	FNMA NTS	250,000.00	251,340	251,728	Other Fed Govt	Aaa	AA+
CHANDLER	FNMA NTS	100,000.00	100,104	100,125	Other Fed Govt	Aaa	AA+
CHANDLER	FNMA NTS	155,000.00	155,162	155,194	Other Fed Govt	Aaa	AA+
CHANDLER	FNMA NTS	175,000.00	174,674	174,886	Other Fed Govt	Aaa	AA+
CHANDLER	FNMA NTS	315,000.00	305,597	314,250	Other Fed Govt	Aaa	AA+
CHANDLER	FNMA NTS	225,000.00	223,471	228,573	Other Fed Govt	Aaa	AA+
CHANDLER	FNMA NTS	250,000.00	250,513	255,768	Other Fed Govt	Aaa	AA+
CHANDLER	FNMA NTS	125,000.00	124,554	125,473	Other Fed Govt	Aaa	AA+
CHANDLER	FNMA NTS	240,000.00	239,868	243,850	Other Fed Govt	Aaa	AA+

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As of March 31, 2015**

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CHANDLER	TVA BD	275,000.00	277,233	280,217	Other Fed Govt	Aaa	AA+
CHANDLER	Apple Inc Bonds	190,000.00	188,225	188,892	Corporate Bonds	Aa3	A+
CHANDLER	American Honda Finance	75,000.00	75,899	76,394	Corporate Bonds	A1	A+
CHANDLER	American Honda Finance	15,000.00	15,180	15,279	Corporate Bonds	A1	A+
CHANDLER	American Honda Finance	115,000.00	114,893	115,996	Corporate Bonds	A1	A+
CHANDLER	Bank of New York Mellon	160,000.00	160,065	162,848	Corporate Bonds	Aa3	A
CHANDLER	CHAIT 212-A5 A5	165,000.00	165,000	165,081	Corporate Bonds	NR	AAA
CHANDLER	Chevron Corp	55,000.00	54,437	55,049	Corporate Bonds	Aa1	AA
CHANDLER	Chevron Corp	30,000.00	29,693	30,027	Corporate Bonds	Aa1	AA
CHANDLER	Chevron Corp	58,000.00	57,407	58,052	Corporate Bonds	Aa1	AA
CHANDLER	Chevron Corp	12,000.00	11,877	12,011	Corporate Bonds	Aa1	AA
CHANDLER	CISCO Sys Inc	60,000.00	60,285	61,229	Corporate Bonds	A1	AA-
CHANDLER	CISCO Sys Inc	60,000.00	60,285	61,229	Corporate Bonds	A1	AA-
CHANDLER	CISCO Sys Inc	60,000.00	60,285	61,229	Corporate Bonds	A1	AA-
CHANDLER	Costco Wholesale Corp	105,000.00	104,890	104,699	Corporate Bonds	Aa3	A+
CHANDLER	Ebay Inc	125,000.00	124,781	125,599	Corporate Bonds	A2	A
CHANDLER	Google Inc	130,000.00	136,148	132,510	Corporate Bonds	Aa2	AA-
CHANDLER	HAROT	105,000.00	104,980	104,978	Corporate Bonds	Aa2	AAA
CHANDLER	HAROT	125,916.82	125,910	125,918	Corporate Bonds	Aa2	AA-
CHANDLER	HAROT	105,000.00	104,987	104,951	Corporate Bonds	Aa2	AA-
CHANDLER	IBM Corp	210,000.00	210,750	210,737	Corporate Bonds	Aa3	A+
CHANDLER	Intel Corp	190,000.00	190,566	190,958	Corporate Bonds	A1	A+
CHANDLER	John Deere	35,000.00	34,978	35,007	Corporate Bonds	A2	A
CHANDLER	John Deere	120,000.00	124,813	122,532	Corporate Bonds	A2	A

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As of March 31, 2015**

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CHANDLER	JDOT (John Deere) Pass Through	36,700.52	36,699	36,699	Corporate Bonds	A2	A
CHANDLER	JDOT (John Deere) Pass Through	59,242.13	59,234	59,333	Corporate Bonds	A2	A
CHANDLER	JDOT (John Deere) Pass Through	60,000.00	59,984	60,113	Corporate Bonds	A2	A
CHANDLER	JDOT (John Deere) Pass Through	50,000.00	49,987	50,094	Corporate Bonds	Aaa	NR
CHANDLER	JDOT (John Deere) Pass Through	150,000.00	149,976	150,192	Corporate Bonds	A2	A
CHANDLER	Occidental Petroleum	155,000.00	158,694	156,883	Corporate Bonds	A1	A
CHANDLER	Oracle Corp	210,000.00	207,764	210,683	Corporate Bonds	A1	A+
CHANDLER	Pfizer	190,000.00	187,004	191,697	Corporate Bonds	A2	A
CHANDLER	Pfizer	50,000.00	49,956	50,315	Corporate Bonds	A1	AA
CHANDLER	PraxAir	165,000.00	162,405	163,698	Corporate Bonds	A1	AA
CHANDLER	TAOT (Toyota Pass Thru)	43,197.24	43,193	43,203	Corporate Bonds	A2	A
CHANDLER	TAOT (Toyota Pass Thru)	110,000.00	109,980	109,936	Corporate Bonds	A2	A
CHANDLER	TAOT MTR Cr Corp	40,000.00	39,945	40,256	Corporate Bonds	A2	A
CHANDLER	TAOT MTR Cr Corp	120,000.00	119,982	120,383	Corporate Bonds	Aaa	AAA
CHANDLER	United Tech Corp	15,000.00	14,987	15,276	Corporate Bonds	Aa3	A+
CHANDLER	US Bancorp BDS	95,000.00	95,157	96,685	Corporate Bonds	A1	A+
CHANDLER	US Bancorp BDS	40,000.00	40,066	40,710	Corporate Bonds	A1	A+
CHANDLER	US Bancorp BDS	20,000.00	20,033	20,355	Corporate Bonds	A1	A+
CHANDLER	Walmart Stores Inc	110,000.00	109,899	110,134	Corporate Bonds	Aa2	AA
CHANDLER	Wells Fargo	135,000.00	134,854	135,697	Corporate Bonds	A2	A+
CHANDLER	Wells Fargo	35,000.00	34,962	35,181	Corporate Bonds	A2	A+
CHANDLER	Bank of Tokyo Mitsubishi	260,000.00	259,745	259,852	Commercial Paper	P-1	A-1
CHANDLER	U.S. Treasury Notes	195,000.00	194,277	195,456	U.S. Treasury	Aaa`	AA+

**First 5 Alameda County
Combined Holdings Report
As of March 31, 2015**

<u>Holder</u>	<u>Asset Name</u>	<u>No. of Shares</u>	<u>Cost</u>	<u>Market Value</u>	<u>Investment Type</u>	<u>Moody</u>	<u>S & P</u>
CHANDLER	U.S. Treasury Notes	100,000.00	104,240	101,625	U.S. Treasury	Aaa`	AA+
CHANDLER	U.S. Treasury Notes	205,000.00	213,691	208,331	U.S. Treasury	Aaa`	AA+
CHANDLER	U.S. Treasury Notes	110,000.00	117,293	112,132	U.S. Treasury	TSY	TSY
CHANDLER	U.S. Treasury Notes	190,000.00	202,597	193,682	U.S. Treasury	Aaa	AA+
CHANDLER	U.S. Treasury Notes	320,000.00	322,626	322,099	U.S. Treasury	Aaa	AA+
CHANDLER	U.S. Treasury Notes	160,000.00	158,964	161,200	U.S. Treasury	Aaa	AA+
CHANDLER	U.S. Treasury Notes	100,000.00	99,353	100,750	U.S. Treasury	Aaa	AA+
CHANDLER	U.S. Treasury Notes	260,000.00	254,811	260,325	U.S. Treasury	Aaa	AA+
CHANDLER	U.S. Treasury Notes	330,000.00	326,740	328,736	U.S. Treasury	Aaa	AA+
CHANDLER	U.S. Treasury Notes	260,000.00	258,508	259,532	U.S. Treasury	Aaa	AA+
CHANDLER	U.S. Treasury Notes	260,000.00	251,620	256,058	U.S. Treasury	Aaa	AA+
CHANDLER	U.S. Treasury Notes	310,000.00	305,036	308,621	U.S. Treasury	Aaa	AA+
CHANDLER	U.S. Treasury Notes	260,000.00	254,923	260,304	U.S. Treasury	Aaa	AA+
CHANDLER	Federated Govt Oblig	33,955.68	33,956	33,956	MMKT		
CUTWATER	FHLB	300,000	305,976	303,672	Other Fed Govt	AA+	Aaa
CUTWATER	FHLB	800,000	799,600	803,880	Other Fed Govt	AA+	Aaa
CUTWATER	FHLMC	500,000	498,375	499,195	Other Fed Govt	AA+	Aaa
CUTWATER	FHLMC	400,000	400,000	400,180	Other Fed Govt	AA+	Aaa
CUTWATER	FNMA	1,000,000	1,015,845	1,011,160	Other Fed Govt	AA+	Aaa
CUTWATER	FNMA	1,000,000	1,015,845	1,011,160	Other Fed Govt	AA+	Aaa
CUTWATER	FNMA	1,000,000	1,002,910	1,003,610	Other Fed Govt	AA+	Aaa
CUTWATER	FNMA	1,000,000	1,002,910	1,003,610	Other Fed Govt	AA+	Aaa
CUTWATER	FNMA	500,000	501,455	501,805	Other Fed Govt	AA+	Aaa
CUTWATER	FNMA	1,250,000	1,250,025	1,253,313	Other Fed Govt	AA+	Aaa
CUTWATER	Colgate Palmolive NT	510,000	521,495	513,086	Corporate Bonds	AA-	Aa3
CUTWATER	Caterpillar Finl	600,000	600,690	602,052	Corporate Bonds	A2	A

**First 5 Alameda County
Combined Holdings Report
As of March 31, 2015**

<u>Holder</u>	<u>Asset Name</u>	<u>No. of Shares</u>	<u>Cost</u>	<u>Market Value</u>	<u>Investment Type</u>	<u>Moody</u>	<u>S & P</u>
CUTWATER	John Deere	500,000	497,170	501,670	Corporate Bonds	A2	A
CUTWATER	Johnson & Johnson SR	391,000	411,844	398,429	Corporate Bonds	AAA	Aaa
CUTWATER	IBM	250,000	260,835	254,543	Corporate Bonds	AAA	Aaa
CUTWATER	Oracle	500,000	497,610	501,625	Corporate Bonds	A1	A+
CUTWATER	Toyota Mtrs	500,000	516,090	510,615	Corporate Bonds	AA3	AA-
CUTWATER	US T Notes	1,000,000	1,004,063	1,006,330	U.S. Treasury	N/A	AAA
CUTWATER	US T Notes	500,000	501,523	502,815	U.S. Treasury	N/A	AAA
CUTWATER	Fed Govt MMKT	141,218.45	141,218	141,218	MMKT		
Total			25,657,583	25,715,626			
Chandler			12,912,103	12,991,659			
Cutwater			12,745,480	12,723,967			
Total			25,657,583	25,715,626			
Philanthropic Venture Foundation			4,988,712	4,988,712	MMKT - at First Republic Bank		
Total			30,646,295	30,704,338			



To: First 5 Alameda County Commission

From: Mark R Rasiah, Financial Controller

Date: April 23, 2015

Subject: Third Quarter Financial Report for July 1 – March 31, 2015

REQUESTED ACTION

To review the Financial Report for the first nine months of FY2014-15.

BACKGROUND

This narrative and the attached statements report Revenues and Expenses for the period July 1 – March 31st, 2015. With 75% of the fiscal year complete, revenues are at 66% and expenses at 54% of the modified budget projection. Almost all budget categories are below 75%, primarily due to timing lags, and are in keeping with trends from prior years and it is expected that approximately 90% of all revenues and expenditures would have occurred by the end of the fiscal year in June.

Revenue

As of March 31st, total revenues were \$11.9m, or 66% of the revenue projection for the year. Of this amount:

- Tobacco Tax receipts at 68% of budget are in line with budget expectations for the first nine months of the fiscal year. March allocations had not been received as at the time of writing, but are expected by mid-April.
- Inter-agency Income is at 62% of the modified budget.
- The Federal Grants Budget represents the reimbursable amount from the Race To The Top Early Learning Challenge Grant, from the CA Department of Education. We have received 75% of the funds budgeted for the current fiscal year.
- Multi-year Federal funding for Project LAUNCH ended in September 2014. Unexpended funds were rolled over and expended by program end, at the end of the last Federal fiscal year. The amount shown represents all reimbursements received under the program.

- A Private Grant from the Long Foundation to expand some school readiness sites was budgeted, and all funds were received during the year. Additionally, we also received the following: \$11,000 from the Morris Stulsaft Foundation to fund the development of an online ECE class for University NOW; and \$56,500 from the Packard Foundation to fund the coordination of the Oakland Starting Smart and Strong initiative. Koshland Foundation funds are expected in late May.
- Revenues for Medi-Cal Administrative Activities (MAA) were budgeted at \$700,000 for FY 2014-15, in keeping with prior years. The reimbursement is based on expenditures incurred in the prior year - FY 2013-14. We have submitted an invoice for \$264,228 for the first quarter of FY 2013-14. Invoicing for the remaining period was completed at the end of February and is pending approval by the County.
- Investment revenue of \$284,832 represents 57% of budget projections. Investment income is not expected to increase significantly during the remainder of the year, due to the continuing low interest rate climate maintained by the Fed.
- Sustainability funds from the First 5 Alameda County Sustainability Fund reserve will be used to bridge the gap between actual revenues and expenses at year-end, to the extent authorized by the Commission. None was needed in the first nine months of the current fiscal year.

Expenses

At nearly \$11.1m, total Expenditure for the period was at 54% of the modified budgeted amount of \$20.475 m. This is less than the amount expended for the corresponding period last year (60%), primarily due to the timing of contract payments for the third quarter.

The larger line item expenditures are tracking well within 40%-75% of budget. Staffing costs are at 61% of budget, due to temporary vacancies and accounting adjustments that occur at year end and eventually take up the slack. Payments on contract are at 47% of budget. Contract payments normally pick up during the last quarter of the year. Grant payments to Community Grantees are made according to a schedule of payments. At the end of the third quarter 72% of scheduled payments had been made, compared to 78% in the prior year. Program operating costs are nearly the same as last year in dollar terms, but in percentage terms are at 44% of budgeted Program Expenses (compared with 30% for the previous year). This is because the current budget for program costs is a lot lower, than in the previous year, due to tighter budgeting practices based on consolidating shared line item costs. Infrastructure costs at 52% of budget are and trending quite similarly to last year (49%). All costs from the Infrastructure Budget will be allocated to programs at year-end and will reflect a more complete picture of program costs at that point. Administration costs for the first nine months of the fiscal year are under 10% of total expenditures and are expected to remain that way for the rest of the year.

Summary

Revenues and expenses are in line with the progression of the fiscal year and prior year trends.

Fiscal Impact

None.

REQUESTED ACTION

To review the Financial Report for the first half of FY2014-15.

Submitted by:

Reviewed by:

Mark R Rasiah ,
Financial Controller

Janis Burger, Chief Executive Officer

First 5 Alameda County
Revenue and Available Funds
For the Period July 1, 2014 - March 31, 2015

Revenues	Modified Budget	Actual	Variance	Percentage Received	Notes
Prop 10 Tobacco Tax	13,036,117	8,809,687	(4,226,430)	68%	1
Interagency Income					
- ACBHCS Early Connections(SAMHSA)	315,842	191,263	(124,579)	61%	
- ACPHD Project LAUNCH (SAMHSA)	343,777	343,777	0	100%	
- ACPHD Data Systems Infrastructure	45,000	0	(45,000)	0%	
- ACSSA Parent Voices	165,000	74,702	(90,298)	45%	
- ACPHD CHDP Linkage Line	343,065	131,856	(211,209)	38%	
- CSU Eastbay Foundation (HPN)	81,840	88,853	7,013	109%	
- First 5 Contra Costa	139,072	63,834	(75,238)	46%	
Total Income from Alameda Co. Agencies	1,433,596	894,285	(539,311)	62%	
Grants					
Federal- Race To The Top	1,418,378	1,063,784	(354,594)	75%	
State - CARES PLUS	279,117	27,272	(251,845)	10%	
Private - Long Foundation	470,250	470,250	0	100%	
- Packard Foundation	56,500	56,500	0	100%	
- Stulsaft Foundation	11,000	11,000	0	100%	
- Koshland Foundation	100,000	0	(100,000)	0%	
Total Grants	2,335,245	1,628,806	(706,439)	70%	
Fiscal Leveraging					
MAA	700,000	264,228	(435,772)	38%	2
Total Fiscal Leveraging	700,000	264,228	(435,772)	38%	
Investment Revenue	500,000	284,832	(215,168)	57%	
Misc.Income	20,000	38,698	18,698	193%	3
TOTAL REVENUE	18,024,958	11,920,536	(6,104,422)	66%	
Available Funds					
Sustainability Funds	2,450,042	0	(2,450,042)	0%	4
TOTAL REVENUES & AVAILABLE FUNDS	20,475,000	11,920,536	(8,554,464)	58%	

Notes:

1. Prop 10 Tobacco tax revenues include receipts through February. March is expected mid-April 2015.
2. MAA revenues are for Q1 of FY 2013-14 only. The remaining 3 quarters have been invoiced and are pending approval.
3. Miscellaneous income is derived from renting office space and from insurance refunds.
4. Available funds represent the draw down (if any) from sustainability in order to meet expenses.

**First 5 Alameda County
 Budget Vs Actual Expenses
 For the Period July 1, 2014 - March 31, 2015
 with comparatives for the corresponding period in the prior fiscal year**

Expenditures	FY 2014 - 15				FY 2013 - 14	
	Modified Budget	Actuals	Variance	% Spent	Actuals Last Year This Time	% Spent
Personnel Costs	6,615,433	4,065,719	(2,549,714)	61%	4,148,932	62%
Contracts	10,439,617	4,934,695	(5,504,922)	47%	5,532,802	59%
Grants	1,990,700	1,441,947	(548,753)	72%	1,567,422	78%
Professional Services Contracts	224,150	35,194	(188,956)	16%	13,181	15%
Program Operating Costs	516,480	225,530	(290,950)	44%	245,175	30%
Infrastructure Costs	688,620	354,964	(333,656)	52%	256,922	49%
TOTAL EXPENDITURES	20,475,000	11,058,049	(9,416,951)	54%	11,764,434	60%



To: First 5 Alameda County Commission

From: Janis Burger, CEO
Mark R Rasiah, Financial Controller

Date: April 23, 2015

Subject: FY 2015-16 Budget Proposal – First Reading

ACTION REQUESTED

To review the following 2015-16 Budget Proposal and to give feedback for clarification or changes in preparation for presentation to the Commission on April 23rd.

BACKGROUND

Each First 5 agency is required by statute to pass a Strategic Plan that outlines the use of the tobacco tax funds to serve children age 0-5 and their families. The First 5 Alameda County Commission has approved a four-year Strategic Plan for FY 2013-17. The Strategic Plan was prepared after extensive program and needs assessment and community and stakeholder input. This budget proposal covers the third year of that period, 2015-16, and includes the strategies and programs outlined in the Strategic Plan. This proposed budget also represents the first year of the 2015-2017 two year budget cycle. The second year of this cycle will be presented in June, and will be for informational purposes only. Any revisions to the current budget proposal will be brought before the Commission in June 2015.

This presentation is the first reading of the FY 2015-16 budget proposal. Staff will prepare the second and final reading for the June Executive and Commission meetings, and will incorporate in the interim any changes directed by the Commission as well as new information, if any, related to the Tobacco tax and other revenue streams and any major changes to expenditures. In addition, the presentation in June will include the list of contracts that exceed \$50,000 which require Commission authorization.

As is the practice of First 5 Alameda County, changes that occur during the course of the fiscal year will be formally incorporated into the budget during the mid-year Budget Modification in January 2016.

CURRENT BUDGET PROPOSAL

This document provides a fiscal summary of revenue projections and budgeted expenditures as follows:

- First 5 staff salaries and benefits
- Grants, contracts, and stipends
- Professional Service costs
- Operating Costs
- Infrastructure costs

The following Revenue and Expense by strategy projections reflect the priorities of the 2013-2017 Strategic Plan that was approved by the Commission in December 2012. All Program areas are presented before the distribution of allocable administrative costs. Infrastructure costs (which include major items such as building maintenance costs, database hosting and computer network support) are presented in full, rather than as allocations. This was done in order to provide a comprehensive picture of the total indirect cost of supporting all programs.

REVENUE AND AVAILABLE FUNDS 2015-16

Prop 10 Tobacco Tax allocation and other Revenue for FY 2015-2016 is projected to be \$18.6 million and is consistent with the Long Range Financial Plan. Funds from the Sustainability Fund amounting to \$2.7 million are budgeted to close the gap between decreasing Tobacco Tax revenue and projected expenses. Prop 10 Tobacco taxes are expected to decline by 2.5% or nearly \$0.3 million dollars next year.

LINE ITEM	2015-16 PROPOSED	RATIONALE/DETAILS
Prop 10 Tobacco Tax	\$12,710,214	The Tobacco tax revenue projection is based on an assessment of current year trend through February 2015 and First 5 California projections for county commissions.
Interagency Income	\$2,039,317	<p>Interagency income includes:</p> <ul style="list-style-type: none"> ▪ The SAMHSA Early Connections federal grant (ending 9/30/15) from Alameda County Behavioral Health Care Services (BHCS) was committed in the amount of \$150,000; ▪ AC Public Health Department funding for ECChange database maintenance and hosting (\$43,452) and Help Me Grow (HMG) Linkage Line (\$343,065) phone referral service; ▪ AC Social Services Agency funding for Parent Voices (\$178,200), AC Interagency Children’s Policy Council funding to support the county-wide school readiness assessment (\$50,000), AC Office of Education via CA state Block Grant funding for QRIS (\$900,000), AC Public Health Department and Social Services funding to support Fathers Corps work; ▪ Reimbursement from First 5 Contra Costa for a full-time staff person for hospital outreach to Contra Costa families at Alta Bates (\$144,691) and funding from First 5 CA to support statewide HMG work.

LINE ITEM	2015-16 PROPOSED	RATIONALE/DETAILS
Grants	\$2,538,689	<p>Grant income includes:</p> <ul style="list-style-type: none"> ▪ A federal award through the California Department of Education for the development of a Quality Rating and Improvement System funded by the Race to the Top Early Learning Challenge grant (\$760,189) including supplemental funding (ends 6/30/16); ▪ An award for Comprehensive Approaches to Raising Educational Standards (CARES) Phase II grant (\$275,531) from First 5 California, ending 6/30/16; ▪ Funding from the Long Foundation (\$1,042,969) to expand and support the Help Me Grow program; ▪ A grant from the Koshland Family Foundation (\$100,000) to train ECE providers on the Center on the Social and Emotional Foundations for Early Learning (CSEFEL) model; ▪ A grant from the Packard Foundation to support the Oakland Starting Smart and Strong Initiative; ▪ Other funding sources such as from the Oakland Education Fund to support Help Me Grow and QRIS activities and funding from the Bay Area Council Foundation to support the Talk, Read, Sing work.
Fiscal Leveraging	\$900,000	<p>Medi-Cal Administrative Activities (MAA) - estimates based on MAA invoices submitted in 2013-14. The amount shown is higher than in previous years as a result of the new time tracking methodology that came into use - The perpetual time study has resulted in higher reimbursements for the past few years.</p>
Investment Revenue	\$ 350,000	<p>This conservative projection of interest income assumes that the sustained low interest rate climate of recent years, will persist for the next fiscal year. Net investment yields are estimated to be under 2%.</p>
Miscellaneous Income	\$20,000	<p>Rental income from our First 5 Association tenants in the office building is the primary source of this line item.</p>
Sustainability Fund	\$2,741,780	<p>An amount that permits the budget to be balanced and that is consistent with the Long Range Financial Plan approved in June 2014. Last year it was anticipated that \$3.6 million in Sustainability Funds would be needed to maintain programs in FY 2015-2016. That has however not been the case.</p>

LINE ITEM	2015-16 PROPOSED	RATIONALE/DETAILS
Grand Total	\$21,300,000	

EXPENDITURES 2015-16

Under the 2013-2017 Strategic Plan program groups were categorized under nine major strategies. The following Expense proposal provides the cost of each of the nine strategies as envisaged in the Strategic Plan. Also provided are the cost of Contracts and Grants Administration and Agency wide Consolidated Operating Expenses. The Strategy expenditures presented below consist of Salaries and Benefits, Program related Contracts, Grants and Professional Services Costs and Direct Program Costs. They exclude shared or indirect Infrastructure costs which are shown separately. The budget will be approved at the Strategy level as shown below. The budget narrative provides some detail on each Strategy and is to be read in conjunction with the Strategic Plan.

Strategies are supported by facilities, infrastructure and data systems. These costs are shown separately as Infrastructure Costs in the proposed budget. The goals of this format of presentation are two-fold. Firstly, to disclose the full cost of each infrastructure component or fixed overhead cost in total rather than as an allocated cost. Secondly, in a declining funding environment keeping track of operating and overhead costs in one place can lead to better tracking, financial control and reporting.

Consistent with legislative requirements brought about by AB 109, expense costs continue to be separated into three cost categories: Program, Evaluation and Administration. The expenses in each category are consistent with First 5 California guidelines, and those set forth in the Government Finance Officers Association's First 5 Financial Management Guide.

Salaries and Benefits

For FY 2015-2016, total salaries and benefits are projected to be \$7.6 million. Salaries are budgeted based on actual amounts. Benefits are budgeted at 50% of salaries. Consistent with direction from the Commission, the approved benefit package has remained mostly consistent with the Alameda County benefit package, including membership in the Alameda County Employee's Retirement Association (ACERA), health and dental benefits, life insurance and a flexible benefit of \$1,500 to offset health, life insurance or flexible spending account costs. The 50% allocation is based on actual cost estimates that are revised periodically as needed.

Continuum of Care and Linkages for Children and Families (COCL)

The total COCL budget proposal for 2015-16 is \$7,543,693 and consists of:

STRATEGY	2015-16 PROPOSAL	FUNDING SOURCES	COMMENTS
Home Visiting	\$3,536,456	Tobacco Tax, Medical Administrative Activities (MAA), Other Sources	Family Support provided in-home and in-community, with culturally relevant, relationship-based approach during pregnancy and throughout the child’s first years. Contractor uses First 5 funding to leverage Targeted Case Management (TCM), Federal Financial Participation (FFP) and other sources.
Healthy Child Development	\$2,910,788	Tobacco Tax, Medical Administrative Activities (MAA), Child Health and Disability Prevention Program (CHDP)	Coordinated screening, and referral system to identify developmental, social, emotional and behavioral concerns as early as possible, and make linkages to specific interventions once concerns are identified.
Early Connections	\$139,297	Behavioral Health Care Services (SAMHSA Federal Grant)	Supports the enhanced connection among early childhood mental health programs and services for young children experiencing developmental, social and emotional concerns. Funding ends 9/30/15.

School Readiness	\$469,198	Tobacco Tax	School and Community-Based activities and resources to support the development of responsive systems to promote a smooth transition from either early childhood settings or home to kindergarten for both children and parents.
Place Based	\$487,954	Tobacco Tax	Provides a neighborhood and equity-based approach to ensure there are quality early childhood services for children and families including intentional efforts to build, sustain and operationalize community capacity.
Total Continuum of Care and Linkages	\$7,543,693		

Community and Provider Capacity Building (PCB) & Program Operations

The Provider Capacity Building (PCB) & Program Operations budget proposal for 2015-16 is \$7,824,423 and consists of:

STRATEGY	2015-16 PROPOSAL	FUNDING SOURCES	COMMENTS
Grants for Community Support	\$2,092,640	Tobacco tax	Programs funded in various strategy areas, dependent on funding priorities selected by the Commission
Quality ECE	\$5,123,946	Tobacco Tax, CARES Plus Phase II, Koshland Family Foundation, Packard Foundation, California Department of Education RTT-ELC, California Department of Education QRIS Block Grant	Integrated Child Care Quality Support System that includes quality rating, quality improvement support, and incentives.
Training & Capacity Building	\$481,753	Tobacco Tax	Training on best, promising practices and peer learning communities for the multi-disciplinary workforce serving families with young children.
Fathers Corps.	\$120,971	Tobacco Tax, Health Care Services Agency, Social Services Agency	Activities to support public systems and community based organizations to become more father friendly in order to increase father engagement in the lives of young children.
Total Provider Capacity Building	\$7,824,423		

Early Childhood Policy Advocacy and Communication (PAC)

The Policy Advocacy and Communications budget proposal for 2015-16 is \$1,252,083 and consists of:

PROGRAM	2015-16 PROPOSAL	FUNDING SOURCES	COMMENTS
Policy agenda, Systems Change & Sustainability and linking with health reform and other initiatives.	\$1,252,083	Tobacco Tax	Consists of staffing from each of the strategy areas and includes \$150k for general policy initiatives and communications; staff position for Talk, Read, Sing; \$110k from the Packard Foundation to support the Oakland Starting Smart and Strong Initiative; \$165k from ACSSA Parent Voices and \$100k from First 5 California.
Total Policy Advocacy	\$1,252,083		

Evaluation and Technology

The Evaluation and Technology (E&T) budget proposal for 2015-16 is \$1,526,500 and consists of:

PROGRAM	2015-16 PROPOSAL	FUNDING SOURCES	COMMENTS
Evaluation, Database, Technical Assistance.	\$1,526,500	Tobacco Tax, Behavioral Health Care Services (SAMHSA Federal Grant), Long Foundation Private Grant. Medi-Cal Administrative Activities (MAA). Race To The Top (RTT) Early Learning Challenge grant	Includes: internal and external evaluation activities for First 5 funded programs; Database enhancements for ECC Online, ECChange and Pathways data systems, technical assistance to partners.
Total Evaluation and Technology	\$1,526,500		

Awards Administration & Consolidated Operating Expenses

The Awards Administration & Consolidated Operating Expenses Budget proposal for 2015-16 is \$508,562 and consists of:

FUNCTION	2015-16 PROPOSAL	FUNDING SOURCES	COMMENTS
Contracts & Grants Administration. Consolidated Operating Costs	\$508,562	Tobacco Tax, Medi-Cal Administrative Activities (MAA)	Program Operating Expenses such as Copying, Travel, Supplies and Hospitality have been consolidated within this program.
Total Awards and Operating Expenses	\$508,562		

Administration

The Administration budget proposal for 2015-16 is \$1,148,480.

FUNCTION	2015-16 PROPOSAL	FUNDING SOURCES	COMMENTS
Administration, Commission, Finance, Human Resources and Facilities Management	\$1,148,480	Tobacco Tax, Medical Administrative Activities (MAA)	Includes centralized agency wide staff development costs under HR.
Total Administration	\$1,148,480		

Infrastructure

The Infrastructure budget proposal for 2015-16 is \$1,496,261. This budget group consists of all fixed and overhead costs incurred by First 5 Alameda County, in providing services.

FUNCTION	2015-16 PROPOSAL	FUNDING SOURCES	COMMENTS
Program Overheads	\$1,496,261	Tobacco Tax, Medical Administrative Activities (MAA), Grants.	Agency wide Printing, Audit, Legal, Database Management, Network Support, Building maintenance, Equipment leases, Communications, Insurance, postage, Professional Services etc.
Total Infrastructure	\$1,496,261		
Grand Total	\$21,300,000		

Administrative Cap

As part of the agency Finance Policy and consistent with AB 109 and SB 35, First 5 Alameda County has set an administrative cost cap of 10%. Costs are segregated through the year into the Program, Evaluation and Administrative divisions according to guidelines and definitions set

forth by First 5 California and in the Government Finance Officers Association (GFOA) First 5 Financial Management Guide.

The proposed budgets’ administrative costs do not exceed the cap. They are:

2015-16 ADMINISTRATIVE CAP	
Program	85.8%
Evaluation	7.2%
Administration & Awards	7.0%
TOTAL	100.0%

Fiscal Impact

The fiscal impact is \$21,300,000 in budgeted expenses, a major portion of which will be funded by a combination of Prop 10 and other revenue sources amounting to \$18,558,220. The balance is expected to be funded by the use of Sustainability funds in the amount of \$2,741,780 in FY 2015-16.

This amount is within the range set forth in the Long Range Financial Plan figures approved in June 2014. That plan projected up to \$3.6 million in Sustainability Funds to be used to maintain programs in 2015-16.

RECOMMENDATION

To review the following 2015-16 Budget Proposal and to give feedback for clarification or changes in preparation for presentation to the Commission on April 23rd.

Submitted by:

Reviewed by:

Mark R Rasiah
Financial Controller

Janis Burger
Chief Executive Officer

**Proposed Revenue
Budget
For the Period July 1, 2015 - June 30, 2016**

Revenues	Actual* Revenue FY 2012-13	Actual* Revenue FY 2013-14	Revised Estimates FY 2014-15	Proposed Budget FY 2015-16	Variance %	Note
Prop 10 Tobacco Tax	13,646,783	13,171,926	13,036,117	12,710,214	-2.5%	1
Interagency Income						
- ACBHCS Early Connections(SAMHSA)	624,211	610,447	315,842	150,000	-52.5%	2
- ACPHD Project LAUNCH (SAMHSA)	853,233	553,991	343,777	0	-100.0%	3
- ACPHD Data Systems Hosting & Mtce	125,158	89,228	43,000	43,452	1.1%	
- ACSSA Parent Voices			165,000	178,200	8.0%	
- AC Interagency Children's Policy Council				50,000	-	4
- AC Office of Educ. (QRIS)				900,000	-	5
- ACHCSA - Fathers Corps.				80,000	-	6
- ACPHD HMG Linkage Line	142,524	127,754	343,065	343,065	0.0%	
- CSU Eastbay Foundation (HPN)		130,965	81,840	0	-100.0%	7
- First 5 California (HMG)				149,909	-	8
- First 5 Contra Costa	139,072	139,513	139,072	144,691	4.0%	
Total Interagency Income	1,884,198	1,651,898	1,431,596	2,039,317	42.5%	
Grants						
Federal- SART Earmark #2	433,000	164,000				
- Race To The Top	588,000	954,189	1,418,378	760,189	-46.4%	9
State - CARES PLUS	226,270	256,273	279,117	275,531	-1.3%	
Private - Long Foundation	396,000	445,500	470,250	1,042,969	121.8%	10
- Koshland Foundation		100,000	100,000	100,000	0.0%	
- East Bay Community Foundation		413,365			-	
- Stulsaft Foundation			11,000		-100.0%	11
- Packard Foundation		50,000	56,500	110,000	94.7%	12
Other - Oakland Education Fund(HMG)				40,000	-	13
- Oakland Education Fund(QRIS)				110,000	-	14
- Bay Area Council Foundation				100,000	-	15
Total Grants	1,643,270	2,383,327	2,335,245	2,538,689	8.7%	
Fiscal Leveraging						
MAA	705,623	732,131	900,000	900,000	0.0%	16
Total Fiscal Leveraging	705,623	732,131	900,000	900,000	0.0%	
Investment Revenue	103,719	387,816	400,000	350,000	-12.5%	17
Misc.Income	87,948	37,871	20,000	20,000	0.0%	18
TOTAL REVENUE	18,071,541	18,364,969	18,122,958	18,558,220	2.4%	
Reserves						
Sustainability Funds	5,273,781	0	2,352,042	2,741,780	16.6%	19
TOTAL REVENUES & AVAILABLE FUNDS	23,345,322	18,364,969	20,475,000	21,300,000	4.0%	

Notes:

- Prop 10 Tobacco tax revenues are expected to decline at 2.5% based on projections by the California Dept of Finance, released in June 2014.
- SAMHSA Early Connections - Funding ends September 2015. Amount shown represents projected expenses for July - September.
- Project LAUNCH funding ended in September 2014 after a 5 year term. Information is for comparison purposes only.
- Inter-Agency Children's Policy Council - funds for county-wide school readiness assessment.
- AC Office of Education(QRIS) - block grant funding for the Quality Rating Improvement System work currently underway.
- Funding from AC Social Services and ACPHD for a Fathers Corps staff position to oversee the program.
- CSU East Bay Foundation (HPN) -contract ended 2014. Information is for comparison purposes only.
- First 5 California (HMG) - Pass through funding for First 5 Association for statewide Help Me Grow work.
- Race to The Top - This amount represents residual funding for the final year of the four year Early Learning Challenge grant.
- Long Foundation- First of 4 year funding stream for Help Me Grow expansion efforts in conjunction with First 5 Contra Costa.
- Stulsaft Foundation funds for the development of an online ECE class at University NOW. Ends FY 2014/15. For comparison purposes only.
- Funding from the Packard Foundation for the coordination of the Oakland Starting Smart and Strong initiative.
- Oakland Education Fund to support Help Me Grow.
- Oakland Education Fund to support QRIS.
- Bay Area Council Foundation - partially funds the Talk, Read Sing staff position for FY 2015/16
- Reimbursements from Medical Administrative Activities(MAA) are expected to be higher due to the perpetual time study methodology currently
- Investment Revenue - Based on income in prior years. Conservatively estimated to remain at current levels for the budget year.
- Miscellaneous Revenue - from renting office space to First 5 Association.
- Sustainability funds will be used to maintain a balanced budget, in the event that expenses exceed revenues during the budget year.

**First 5 Alameda County
Proposed Expenditure Budget by Expenditure Category
For the Period July 1, 2015 - June 30, 2016**

Expenditures	Actual* Expenses FY 2012-13	Actual* Expenses FY 2013-14	Modified Budget FY 2014-15	Proposed Budget FY 2014-15	Variance from Prior Year (\$)	Variance from Prior Year (%)	Notes
Personnel Costs	6,440,769	6,284,537	6,615,433	7,655,259	1,039,826	16%	1
Contracts	7,999,231	7,955,808	10,439,617	9,690,630	(748,987)	-7%	2
Grants	2,046,512	1,798,655	1,990,700	1,920,000	(70,700)	-4%	
Professional Services Contracts	262,467	30,782	224,150	618,500	394,350	176%	3
Program Operating Costs	388,134	453,882	516,480	726,491	210,011	41%	4
Infrastructure Costs	935,537	420,773	688,620	689,120	500	0%	
Office Building Purchase	5,272,672	0	0	0	0	0%	
TOTAL EXPENDITURES	23,345,322	16,944,437	20,475,000	21,300,000	825,000	4%	

Notes:

Major changes in Expenditure Category costs, between the FY 2014-15 Budget and the Proposed FY 2015-16 budget are detailed below.

1. Personnel Costs

\$370k of personnel Cost increases are funded by a 4 year grant from the Long Foundation, \$235k from the QRIS Block Grant and \$192K from Prop 10, collectively representing an increase of nearly 10 FTEs over the prior year. The remainder represents step increases, and the 2015 COLA that was approved in January this year.
2. Contracts

The reduction is mainly due to the ending of externally funded Project LAUNCH (2014) and Early Connections (2015); Home Visiting Contracts with ACPHD reverting to pre-2014/15 levels and lower website/systems maintenance/hosting costs.
3. Professional Services

The major components of this category are as follows:
 \$120k-Funded by the Long Foundation for Online ASQ expansion, website enhancements and professional service contracts.
 \$45k -Provide resource materials to families with young children to ensure early identification as a routine intervention.
 \$30k -Cost of providing six trainings to Home Visiting workforce at \$5k/training
 \$65k -Resources allocated for planning and consultation to integrate and enhance multiple child care quality initiatives
 \$83k - ECE Workforce Capacity Building -Provides trainers for various training programs such as CA Dept. Educ.DRDP and multi-lingual learning communities.
 \$147k -Provides trainers for -core/emerging topic trainings; multiple learning communities (Shelter Learning Community, Fathers Corps etc.)
 \$75k -The cost of evaluation services/data system development costs, and data management of the QRIS system.
4. Program Operating Costs

The increase is primarily due to the cost of printing, supplies, and ASQ kits funded by the Long Foundation (\$93k) and supplies paid for by the QRIS Block Grant (\$122k).

**First 5 Alameda County
Proposed Operating Expenditure Budget By Strategy
For the Period July 1, 2015 - June 30, 2016**

	COCL	PCB	PAC	E & T	AWARDS	ADMIN	INFRA	TOTAL
	Proposed FY 2015-16	Proposed FY 2015-16	Proposed FY 2015-16	Proposed FY 2015-16	Proposed FY 2015-16	Proposed FY 2015-16	Proposed FY 2015-16	Proposed FY 2015-16
<u>Personnel Costs</u>								
Salaries & Benefits	2,597,302	2,102,759	672,083	898,074	343,562	1,041,479		7,655,259
<u>Program Contracts/Grants</u>								
Contracts	4,517,150	3,200,413	575,000	590,926			807,141	9,690,630
Grants & Stipends		1,920,000						1,920,000
Professional Services Contracts	211,500	402,000				5,000		618,500
Total Contracts/Grants	4,728,650	5,522,413	575,000	590,926	0	5,000	807,141	12,229,130
<u>Program Operating Costs</u>	217,741	199,250	5,000	37,500	165,000	102,000		726,491
TOTAL DIRECT PROGRAM COSTS	7,543,693	7,824,422	1,252,083	1,526,500	508,562	1,148,479	807,141	20,610,880

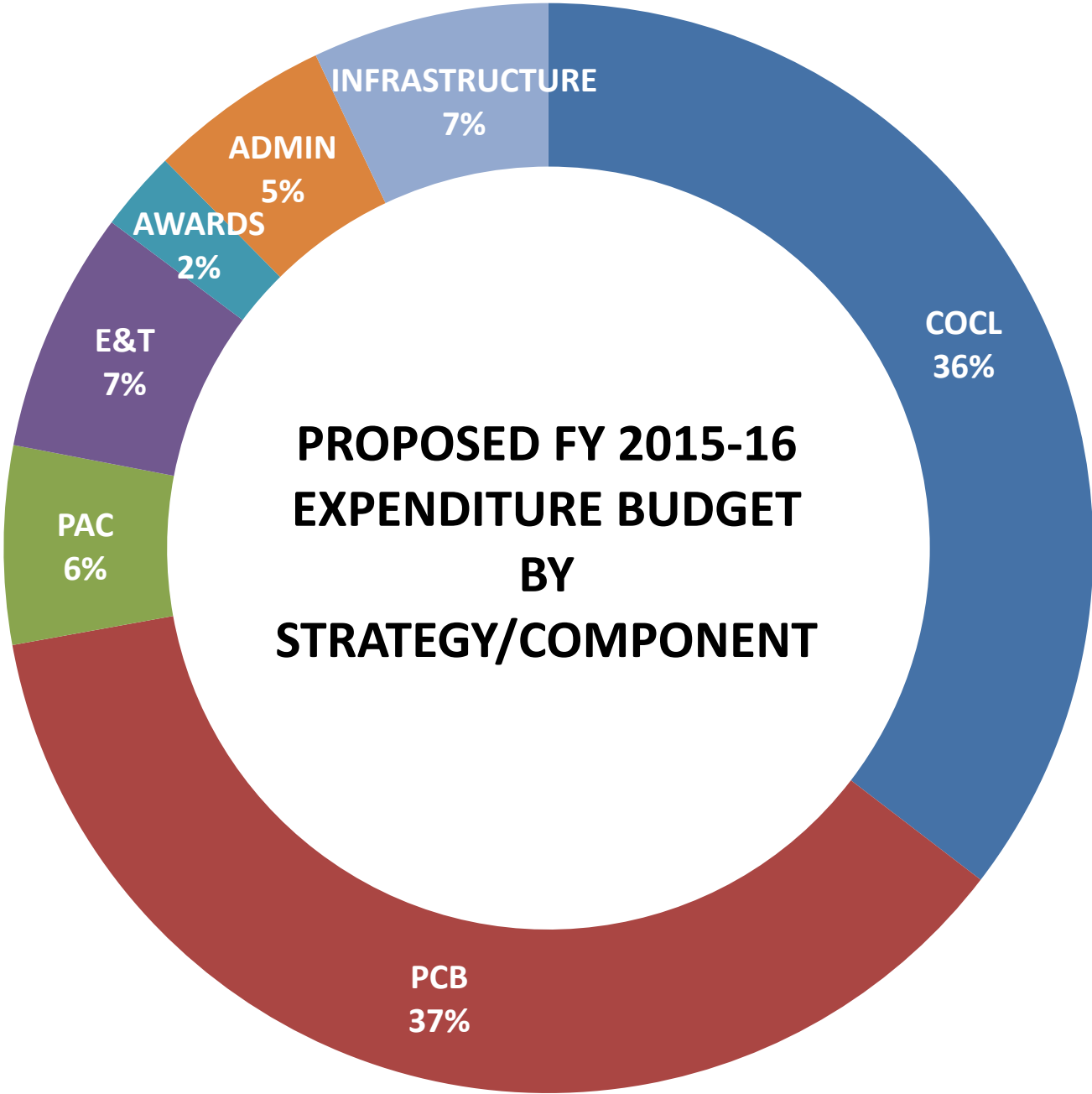
COCL - Continuum of Care & Linkages
 PCB - Provider Capacity Building
 PAC - Policy Advocacy & Communications
 E&T - Evaluation & Technology
 AWARDS - External Awards Administration
 ADMIN - Finance, HR & Administration
 INFRA - Infrastructure Costs

INDIRECT COSTS

Communications/Hosting	102,000
Copying/Printing/Postage	33,000
Equipment Leases/Supplies	6,000
Equipment/Furniture	40,000
Insurance	99,000
Membership and Dues	24,000
Professional Services	143,000
Building Maintenance	120,000
Depreciation	122,120
Total Indirect Costs	689,120

Total Expenditure Budget

21,300,000





To: First 5 Alameda County Commission

From: Mark R Rasiah, Financial Controller

Date: April 23, 2015

Subject: First Reading of the 2015-2025 Long Range Financial Plan

ACTION REQUESTED

To review and approve the attached 2015-25 Long Range Financial Plan (LRFP).

BACKGROUND

First 5 Alameda County has adopted a Long Range Financial Plan annually since March 2004. Adoption of a Long Range Financial Plan has been a statutory requirement of all First 5 Commissions since 2006-07. Historical background information about the Long Range Financial Plan is provided in Appendix C.

The current LRFP was formally approved by the Commission in June 2014. An update to the LRFP is presented herewith (Appendix A) with underlying assumptions (Appendix B). The update reflects the Proposed Budget for FY 2015-2016, the actual revenue and expenses for the two preceding years, FY 2012-14 and the most recent Prop 10 Tobacco Tax revenue forecast from the California Department of Finance that was received in June 2014. The first public reading of the revised Plan will be held on April 23, 2015 and the second and final reading on June 18, 2015.

MINIMUM FUND BALANCE REQUIREMENTS

From time to time, First 5 Alameda County has used Sustainability funds to augment operating budget needs and to meet revenue shortfalls, to the extent authorized by the Commission. The Sustainability Fund Balance as of June 30, 2014 was nearly \$36 million. However, this Fund may not be spent down to zero; some funds must be maintained as an operating reserve to cover ongoing cash flow requirements to act as a buffer since there are delays in receiving state tobacco tax revenues or other revenues. The policy is to maintain an amount in the Fund Balance, at a minimum, equal to six months of operating expenses plus an amount to cover fiscal obligations under the Reduction in Workforce Policy (currently about \$800,000).

FISCAL IMPACT

There is no fiscal impact.

RECOMMENDATION

To review and approve the Long Range Financial Plan presented in Appendix A.

Submitted by:

Reviewed by:

Mark R Rasiah ,
Financial Controller

Janis Burger,
Chief Executive Officer



APPENDIX B – ASSUMPTIONS FOR THE REVISED LONG RANGE FINANCIAL PLAN

Presented below are the assumptions used to develop the Long Range Financial Plan contained in Appendix A.

REVENUES

2013-14 figures are from the revised budget approved in February 2014. For 2014-15 and subsequent years, the following revenue assumptions were used:

- Tobacco Tax** – The California Department of Finance (DoF) prepared revised projections of First 5 tobacco tax revenues in June 2014. These take into account the effects of the federal tobacco tax, other declines in state tobacco tax revenues (such as those due to general economic conditions), Prop 99 backfill amounts and other factors. The projections cover the 2014-2017 period.

The DoF projections for Alameda County show expected annual drops of 3% - 3.5% for the 2014-2017 period.

<u>Fiscal Year</u>	<u>DoF Projection</u>	<u>Actual Revenue</u>
2010-2011:	\$14.0m	\$14.3m
2011-2012:	\$13.8m	\$14.5m
2012-2013:	\$13.3m	\$13.6m
		<u>Actual/Est Revenue</u>
2013-2014:	\$13.2m	\$13.2m
2014-2015:	\$13.0m	\$13.0m(est.)
2015-2016:	\$12.4m	\$12.7m(est.)
2016-2017:	\$12.0m	\$12.4m(est.)

For the purposes of this Long Range Financial Plan, the rate of decline over the 2015-2025 time-frame has been assumed to be 2.5%. It is anticipated that tobacco tax collections will not decline at a steeper rate, but instead, “flatten out” in the outer years.

- Fiscal Leveraging** – From FY 2009-10, fiscal leveraging revenues consist entirely of federal reimbursements under the Medi-Cal Administrative Activities (MAA) program.

Gross MAA revenues for the prior fiscal year are usually received 8-12 months in arrears. These have previously averaged around \$700k- \$750k per year, but as a result of the perpetual time study methodology introduced by the granting agency in FY 2013-14, revenues are expected to average around \$900,000 instead. For the purposes of this LRFP, it is assumed that programs eligible for MAA claiming will be reimbursed at this level through the 2016-17 budget year, and will thereafter receive a lower reimbursement of \$750,000 for FY 2017-21 and drop to \$500,000 thereafter.

- **Other**– Private Grant Revenue from the Long Foundation is expected to continue through the FY 2014-19 period. Grants from the Packard Foundation, the Koshland Family Foundation, the Oakland Educational Fund and the Bay Area Council are also expected as shown on the Long Range Financial Plan Summary.

Other grant revenues consist of the California Department of Education, Early Learning Challenge Grant for Race to the Top (ending 6/30/16 and new QRIS Block grant funding). The State grant is for CARES PLUS which is expected to continue through FY 2015-16 and another contract from F5 CA to support statewide systems work for Help Me Grow.

Interagency income represents: -

- A SAMHSA federal award for AC Behavioral Health Care Services Early Connections (ends 9/30/15).
- AC Public Health Department funding for Help Me Grow Linkage Line services.
- F5AC database maintenance and hosting.
- Funding from AC Social Services Agency to support Parent Voices.
- Funding from AC Social Services and Public Health Department to support Fathers Corps work.
- Funding from Interagency Children’s Policy Council for the school readiness assessment.
- Reimbursement will also be received from First 5 Contra Costa for hospital outreach coordinator services.

When new grants are awarded, the Long Range Financial Plan will be revised accordingly.

- **Investment Revenue** – The FY 2015-16 proposed budget estimates investment income to be earned at a rate of 1% of the beginning fund balance.

EXPENSES

FY 2014-15 expenditure figures are from the revised budget approved in February 2015. For fiscal years 2017-25, total expenses have been reduced so as to leave a minimum fund balance as recommended below. The plan does not provide for any cost of living allowances or

inflationary increases. In prior years these increases have been well within the range of annual budgetary savings, and this is expected to continue in future years as well.

MINIMUM FUND BALANCE REQUIREMENTS

The Sustainability Fund cannot be spent down to zero; some funds must be maintained as an operating reserve to cover ongoing cash flow requirements to act as a buffer if there are delays in receiving state tobacco tax revenues or other revenues. The recommendation is to maintain an amount in the Fund Balance, at a minimum, equal to six months of operating expenses plus an amount to cover fiscal obligations under the Reduction in Workforce Policy (currently about \$800,000).

APPENDIX C – HISTORICAL BACKGROUND

The Long Range Financial Plan tool was designed to strategically guide the use of First 5 Alameda County’s Sustainability Fund. The Sustainability Fund was established by the First 5 Alameda County Commission as a strategy to ensure a long term commitment to funding services for children age 0-5, even as the tobacco tax revenue declined.

The Sustainability Fund is projected to be approximately \$34 million at the end of the current fiscal year (2014-15) and was accumulated in a number of ways over the past twelve years. First, the Fund developed through the initial reserve that occurred when tax dollars were accumulated but funds could not be spent until a Strategic Plan was passed (Jan. 1999-Jan. 2000). In addition, contributions to the Sustainability Fund were budgeted over a number of years (2001-2004). Finally, budgeted funds that remained unspent were directed by the Commission to the Sustainability Fund rather than rolling to the subsequent year’s budget (2001-present).

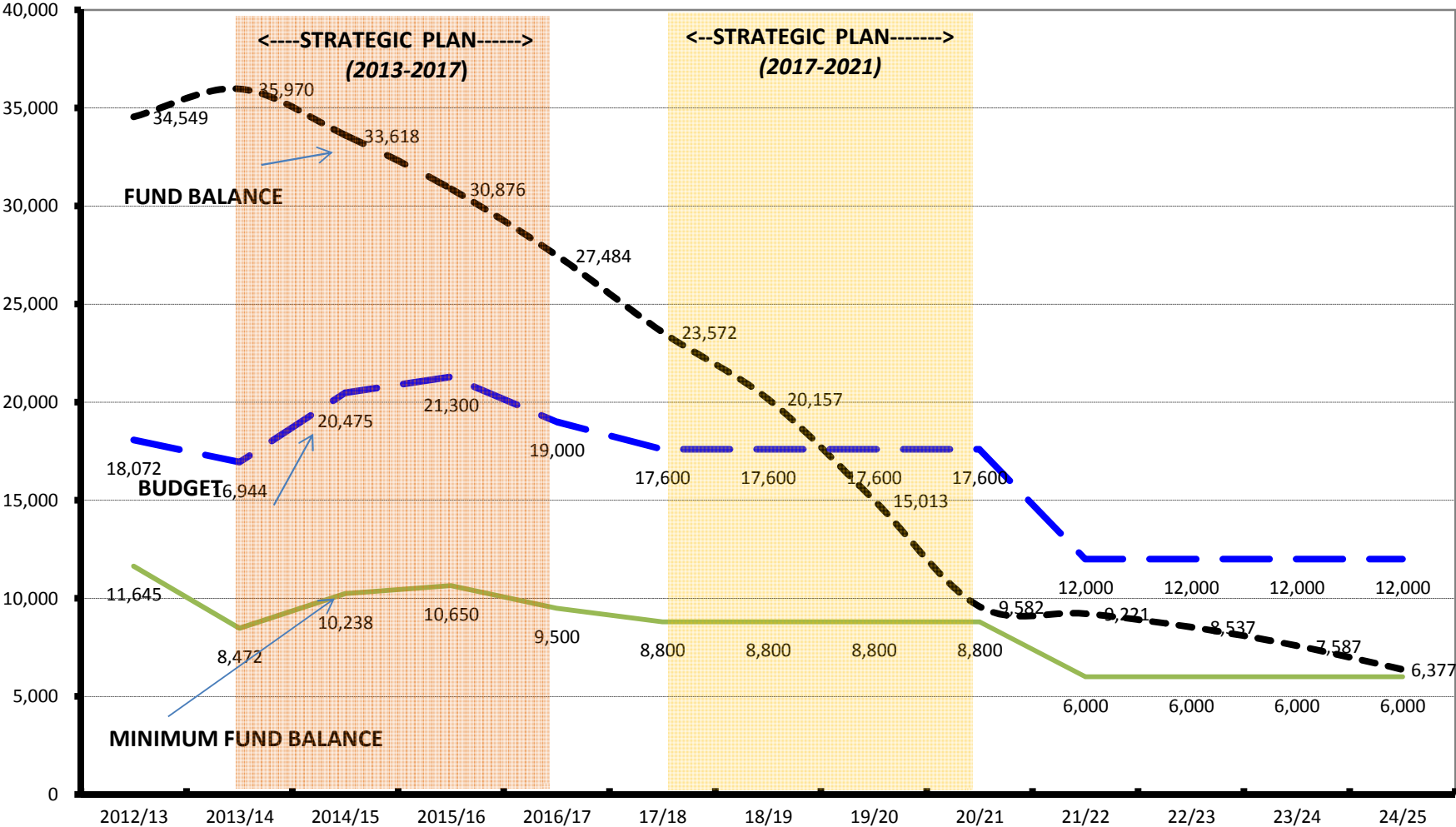
It is important to note that Sustainability Funds have been budgeted for program use since 2005-06, which means that expenses have been projected higher than revenues in each of those years, but budget savings in those years made it unnecessary to draw from the Fund. These changes have resulted in draws from the Sustainability Fund since FY2009-10.

The following is the use of sustainability funds as indicated in the Long Range Financial Plan. The plan assumes that Sustainability Funds will continue to be used to maintain a balanced budget.

<u>Fiscal Year</u>	<u>Draw down from Sustainability for Operations (\$millions)</u>	
	<u>Planned draw down</u>	<u>Actual amount drawn</u>
<u>FY 2009-2013 Strategic Plan</u>		
2009-10	\$6.9m	\$3.3m
2010-11	\$9.3m	\$6.7m
2011-12	\$4.1m	\$0.8m
2012-13	\$9.0m	\$5.3m
<u>FY 2013-17 Strategic Plan</u>		
2013-14	\$1.7m	\$0.0
2014-15	\$2.3m	\$2.3m (est.)
2015-16	\$2.7m	-
2016-17	\$3.4m	-
<u>FY 2017-21 Strategic Plan</u>		
2017-18	\$3.1m	-
2018-19	\$3.4m	-
2019-20	\$5.1m	-
2020-21	\$5.4m	-

			2013 - 2017 Strategic Plan			2017 - 2021 Strategic Plan				2021 - 2024 Strategic Plan			
	Actual	Actual	REVISED ESTIMATES	PROPOSED BUDGET	PROPOSED BUDGET	Projections				Projections			
	2012/13	2013/14	2014/15	2015/16	2016/17	17/18	18/19	19/20	20/21	21/22	22/23	23/24	24/25
<i>Dollars in Thousands</i>													
Beginning Fund Balance	39,768	34,549	35,970	33,618	30,876	26,684	23,572	20,157	15,013	9,582	9,221	8,537	7,587
REVENUES													
Prop 10 Tobacco Tax	13,647	13,172	13,036	12,710	12,392	12,083	11,780	11,486	11,199	10,919	10,646	10,380	10,120
Interagency Income													
- ACBHCS Early Connections(SAMHSA)	624	611	316	150	0								
- ACPHD Project LAUNCH (SAMHSA)	853	554	344		0								
- ACPHD Data systems Hosting & Mtce	125	89	43	43	43	50	50	50	50	50	50	50	50
- ACSSA Parent Voices			165	178	0								
- AC ICPC				50	0								
- AC Office of Educ. (QRIS)				900	0								
- ACHCS (Fathers Corp.)				80	80								
- HMG Linkage Line	142	128	343	343	343								
- CSU Eastbay Foundation (HPN)		131	82	0	0								
- First 5 California (HMG)				150	0								
- First 5 Contra Costa	139	139	139	145	145								
Fiscal Leveraging													
- MAA	705	732	900	900	900	750	750	750	750	500	500	500	500
Grants													
Federal - SART Earmark #2	433	164	0	0	0								
- Race To The Top	588	954	1,418	760	0								
State - CARES PLUS	226	256	279	276	0								
Private - Long Foundation	396	446	470	1,043	1,335	1,335	1,335						
- Packard Foundation		50	57	110	0								
- Koshland Foundation		100	100	100	0								
- Stulsaft Foundation			11	0	0								
- East Bay Community Fdn		413	0	0	0								
Other - Bay Area Council				100	0								
- Oakland Educ Fund(QRIS)				110	0								
- Oakland Educ Fund(HMG)				40	0								
Investment Income	104	388	400	350	350	250	250	150	150	150	100	100	100
Miscellaneous Income	88	38	20	20	20	20	20	20	20	20	20	20	20
TOTAL REVENUES	18,070	18,365	18,123	18,558	15,608	14,488	14,185	12,456	12,169	11,639	11,316	11,050	10,790
EXPENSES													
9 Strategies & Contracts Admin.	15,278	14,702	17,923	18,656	16,547								
Finance/HR/Administration	1,088	1,162	1,006	1,148	1,048								
Infrastructure(Prof. Svc/ Systems/Bldg)	1,706	1,080	1,546	1,496	1,405								
TOTAL BUDGETED EXPENSES	18,072	16,944	20,475	21,300	19,000	17,600	17,600	17,600	17,600	12,000	12,000	12,000	12,000
CASHFLOW													
Building costs	5,217												
Total Disbursements	23,289	16,944	20,475	21,300	19,000	17,600	17,600	17,600	17,600	12,000	12,000	12,000	12,000
Shortfall/(Surplus) Revenue over Expenses	(5,219)	1,421	(2,352)	(2,742)	(3,392)	(3,112)	(3,415)	(5,144)	(5,431)	(361)	(684)	(950)	(1,210)
Ending Fund Balance	34,549	35,970	33,618	30,876	27,484	23,572	20,157	15,013	9,582	9,221	8,537	7,587	6,377
MINIMUM FUND BALANCE REQUIRED (50% OR 6 Months of annual disbursement)	11,645	8,472	10,238	10,650	9,500	8,800	8,800	8,800	8,800	6,000	6,000	6,000	6,000
Ending Fund Balance:													
Committed for Stabilization	\$ 34,549	\$ 30,970	\$ 33,618	\$ 30,876	\$ 27,484	\$ 23,572	\$ 20,157	\$ 15,013	\$ 10,382	\$ 9,221	\$ 8,537	\$ 7,587	\$ 6,377
Transferred to PV Foundation		\$ 5,000											
Provision for Reduction in Workforce Policy	\$ -	\$ -	\$ -	\$ -	\$ (800)	\$ -	\$ -	\$ -	\$ (800)	\$ -	\$ -	\$ -	\$ -
Total Ending Fund Balance	\$ 34,549	\$ 35,970	\$ 33,618	\$ 30,876	\$ 26,684	\$ 23,572	\$ 20,157	\$ 15,013	\$ 9,582	\$ 9,221	\$ 8,537	\$ 7,587	\$ 6,377

LONG RANGE FINANCIAL PLAN 2015-2025 BUDGET & FUND BALANCE (\$000s)





To: First 5 Alameda County Commission
From: Christine Hom, Contracts and Grants Administrator
Date: April 23, 2015
Subject: Contract Authorizations

REQUESTED ACTION

To review the following contract authorizations.

BACKGROUND

Per our Financial Policies, Section VII. Purchasing and Contracting, the Commission must approve contract/award amounts in excess of \$50,000. The following awards require specific authorization from the Commission.

First 5 Association of California - \$142,771

First 5 Alameda County is requesting approval of a FY 2014-16 \$142,771 sole source contract with the First 5 Association of California to create state-level infrastructure to support the growth and sustainability of local Help Me Grow affiliates within counties (FY 2013-2017 Strategic Plan, page 7). The F5 Association will also coordinate local efforts and facilitate state-local policy conversations and provide technical assistance and mentoring to support systems change efforts across California. The addition of this \$142,771 contract brings the aggregate FY 2014-16 payment amount to *\$187,731 for which Commission approval is needed.

*F5AC along with each county in the state pays an annual membership fee to the First 5 Association based on birth rate. F5AC's share for FY 2014-15 was \$20,528 and for FY 2015-16 was \$20,002. In addition, \$2,750 was paid to the Association for 11 staff to attend the annual Staff Summit and \$1,680 was paid for 6 staff to attend the Help Me Grow National Forum.

Fiscal Impact: \$149,909 will be received from a contract with First 5 California (term: December 1, 2014 – November 30, 2015) and has been included in the FY 2015-16 budget proposal.

Action requested: Approve an aggregate FY 2014-16 payment amount of \$187,731 (and FY 2014-16 contract amount of \$142,771) for the First 5 Association of California.

Applied Survey Research (ASR) - \$132,240

First 5 Alameda County is requesting approval of a FY 2014-16 \$132,240 contract with Applied Survey Research to conduct a 2015 school readiness assessment of up to 3,000 students across 150 kindergarten classrooms in Alameda County (FY 2013-2017 Strategic Plan, pages 10 and 14). ASR's work includes conducting outreach and recruitment of districts and schools who will participate in the assessment, finalization of parent consent forms, conducting teacher trainings, providing technical assistance to teachers, data collection and processing and analysis and presentation of the report. The addition of this \$132,240 contract brings the FY 2014-16 aggregate contract amount to *\$196,895 for which Commission approval is needed.

*ASR has three FY 2014-15 contracts with F5AC totaling \$64,655 (to conduct a school readiness assessment of 20 classrooms in Hayward Unified School District, funding to conduct an evaluation plan for the Alameda County Maternal/Infant/Early Childhood Home Visiting and Family Support System of Care and most recently funding to conduct a telephone survey with families receiving Help Me Grow services.

Fiscal Impact: \$50,000 will be received from the Alameda County Interagency Children's Policy Council and the remaining funding for the contract (\$82,240) has been included in the FY 2015-16 budget proposal.

Action requested: Approve an aggregate FY 2014-16 contract amount of \$196,895 for Applied Survey Research.

Oakland Unified School District - \$34,431

First 5 Alameda County is requesting approval of a FY 2013-15 \$34,431 contract amendment with Oakland Unified School District to begin development and implementation of four Summer Pre K programs at Lafayette, East Oakland Pride, Community United and International Community Elementary Schools beginning June 22 (FY 2013-2017 Strategic Plan, page 10). OUSD will provide ECE and Kindergarten – 5th grade teachers with professional development and orientation to the SPK model and conduct family/home visits. Funding also covers instructional materials and classroom supplies as well as the staffing of 7 days of SPK instruction. OUSD currently has a FY 2013-15 \$74,351 contract to provide SPK programming for 6 classrooms during the 2014 year as well as parent education. The addition of this \$34,431 amendment brings the FY 2013-15 aggregate contract amount to \$108,782 for which Commission approval is needed.

Fiscal Impact: \$34,431 will be funded by unspent dollars from the Long Foundation grant supporting Summer Pre-K activities that ended December 31, 2014 via an extension through June 30, 2015.

Action requested: Approve an aggregate FY 2013-15 contract amount of \$108,782 for Oakland Unified School District.

Oakland Unified School District (OUSD) - \$404,000

First 5 Alameda County is requesting approval of a FY 2015-17 \$404,000 (FY 2015-16 \$177,000, FY 2016-17 \$227,000) contract with Oakland Unified School District to conduct four Summer Pre K programs at Lafayette, East Oakland Pride, Community United and International Community Elementary Schools (FY 2013-2017 Strategic Plan, page 10). OUSD will provide ECE and Kindergarten – 5th grade teachers with professional development and orientation to the SPK model and conduct family/home visits and also purchase instructional materials and classroom supplies. Funding also supports a School Readiness Coordinator (50% FTE in FY 2015-16 and 100% FTE in FY 2016-17) to develop and implement year round school readiness and transition programming including a student passport/snapshot form, conducting neighborhood Kindergarten/Early Care and Education Collaboratives, and a variety of family/child learning activities.

Fiscal Impact: Funding is included in the FY 2015-16 budget proposal. OUSD requested approval of this contract outside of our regular approval schedule so that the contract could be brought for review and approval to the OUSD Board meeting in May.

Action requested: Approve a FY 2015-17 contract amount of \$404,000 for Oakland Unified School District.

Oakland Education Fund - \$168,750

First 5 Alameda County is requesting approval of a FY 2014-16 \$168,750 contract with the Oakland Education Fund to support Oakland Unified School District (OUSD) to provide “embedded” quality improvement support to OUSD child development centers that are not yet rated as high quality (defined as Tier 4 or 5 on the California QRIS Quality Framework). Funds will be used to provide professional development, practice based coaching and technical assistance to support progress on the QRIS Quality Framework (FY 2013-17 Strategic Plan, page 9).

Fiscal Impact: \$168,750 will be provided by the QRIS Block grant allocation from the California Department of Education and is included in the FY 2015-16 budget proposal.

Action requested: Approve a FY 2014-16 contract amount of \$168,750 for Oakland Education Fund.

Public Consulting Group (PCG) - \$82,300

First 5 Alameda County is requesting approval of a FY 2014-15 \$82,300 amendment to the contract with Public Consulting Group to provide ECChange and Pathways database application development for specific modules (FY 2013-2017 Strategic Plan, page 14). PCG will develop the structure for Monthly and Annual Health Resources and Services Administration (HRSA) Reports in ECChange for Alameda County Public Health Department, Family Health Services to provide the ability to run Healthy Start Annual and Monthly reports and also develop a process that allows a quarterly dataset from Efforts to Outcomes of Nurse Family Partnership data to be

imported into ECChange (for the Healthy Start Annual and Monthly report). PCG will also develop applications for Pathways and ECChange to receive an electronic PM160 file to replace submission of paper PM160 forms from pediatric providers using NextGen (electronic medical record system used by Alameda County clinics). This solution will be extended to other EPM/EHR systems which can generate the same file format. PCG has an existing FY 2014-15 \$393,160 application transition and database maintenance and hosting contract as well as a FY 2014-15 \$100,000 database development contract with F5AC. The addition of this \$82,300 to the existing database development contract brings the FY 2014-15 aggregate contract amount to \$575,460 for which Commission approval is needed.

Fiscal Impact: \$40,000 will be provided by the Alameda County Public Health Department for report development and \$42,300 will be provided by the Alameda Health Consortium for the Alameda County electronic PM160 work.

Action requested: Approve an aggregate FY 2014-15 contract amount of \$575,460 for Public Consulting Group.

Regents of the University of California - \$16,666

First 5 Alameda County is requesting approval of a FY 2013-15 \$16,666 contract and term amendment with Regents of the University of California to support UC Berkeley's participation in a University of Washington/First 5 California pilot that introduces practice based coaching into university coursework for ECE teachers (FY 2013-17 Strategic Plan, page 9). A pilot course integrating the UW model of practice based coaching would be offered at UCB in summer session 2015. Funds will be used to support instructor training and teaching time as well as required equipment including cameras to enable students to film and upload video of student teaching. The addition of this \$16,666 amendment and also a 6 month extension to perform the additional work brings the aggregate FY 2013-16 award amount to *\$186,591 for which Commission approval is needed.

*The Regents currently have a FY 2013-15 \$49,957 contract with F5AC to align course offerings at their institute of higher education with the needs of the early care and education workforce and map a coursework degree sequence against the California Child Development Permit Matrix and other ECE professional standards and make curriculum revisions accordingly. The Regents currently have a FY 2013-15 \$119,968 community grant to provide play-based science classes that promote school readiness and literacy for families.

Fiscal Impact: \$16,666 will be provided by the QRIS Block grant allocation from the California Department of Education and is included in the FY 2015-16 budget proposal.

Action requested: Approve an aggregate FY 2013-16 award amount of \$186,591 to Regents of the University of California.

RECOMMENDATION

That the Commission approve the above contract authorizations.

Submitted by:

Reviewed by:

Christine Hom
Contracts and Grants Administrator

Janis Burger
Chief Executive Officer



To: First 5 Alameda County Commission
From: Janis Burger, Chief Executive Officer
Date: April 23, 2015
Subject: FY 2015-16 Strategic Plan – First Reading

ACTION REQUESTED

Review the first draft of the FY 2015-16 Strategic Plan.

BACKGROUND

The FY 2013-17 First 5 Alameda County Strategic Plan must have an annual review by the Commission prior to the release of state funds for FY 2015-16. No substantial changes are being proposed to the Strategic Plan for FY 2015-16. Minor changes included are in red font.

FISCAL IMPACT: The goal, as per the long range plan, is to keep the program funding level relatively constant for the entire four years of the plan.

RECOMMENDATION: That the Commission review the first draft of the FY 2015-16 Strategic Plan and suggest any modifications to be discussed and approved at the Commission meeting on June 18th.

Submitted by:

Reviewed by:

Christine Hom
Contracts and Grants Administrator

Janis Burger
Chief Executive Officer

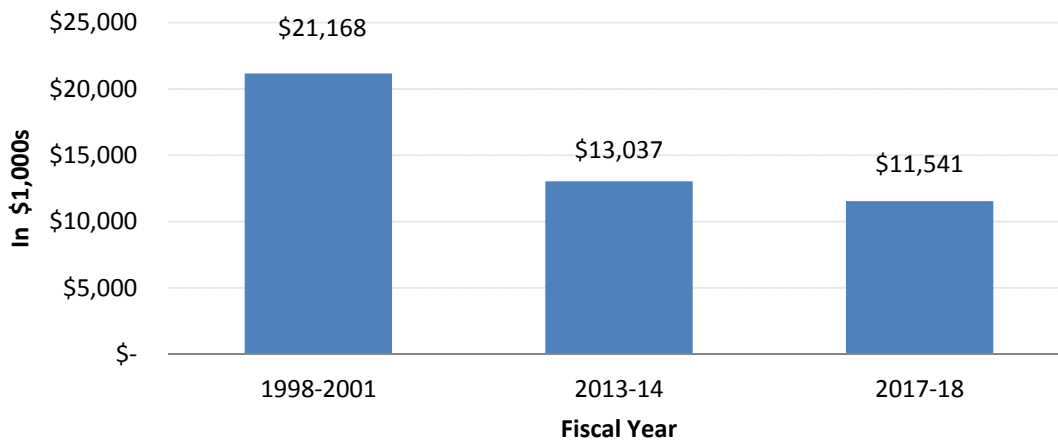


Strategic Plan 2013-17

The First 5 Alameda County Strategic Plan covers the four years between July 2013 and June 2017. It reflects the fiscal reality of declining funding, while continuing to seek opportunities to build and sustain the capacity of public agencies and community partners who share our vision and mission. The plan is the result of significant community input, including a broad-based community survey of our F5AC partners (564 responses), key informant interviews, evaluation reports, literature reviews, and extensive input from F5AC Commissioners.

Tobacco tax revenues for Alameda County reached a high of \$21 million in 1998, but are projected to fall to \$11 million by 2017.

First 5 Alameda County Funding History and Forecast of Prop 10 Revenue



The results, outcomes, and strategies described in this plan build upon many years of F5AC work, but also take us in new directions. The plan opens with our overall vision, mission, and guiding principles, tying these to the results and outcomes we hope to achieve. The plan then provides details about the specific strategies we believe will yield the results and outcomes, including descriptions of the strategies, what’s new and different about each of them, the programs they encompass, and the many partners we rely upon to extend and leverage F5AC’s investments. Finally, the plan describes F5AC’s role in supporting a continuous prevention and early intervention system for our county’s youngest children and how we invest our resources to make the plan’s vision a reality.

Our Vision

Every child in Alameda County will have optimal health, development and well-being from birth to 5 to reach his or her greatest potential.

Our Mission

In partnership with the community, support a county-wide continuous prevention and early intervention system that promotes optimal health and development, narrows disparities and improves the lives of children 0 to 5 and their families.

KEY ELEMENTS OF THE 2013-17 STRATEGIC PLAN

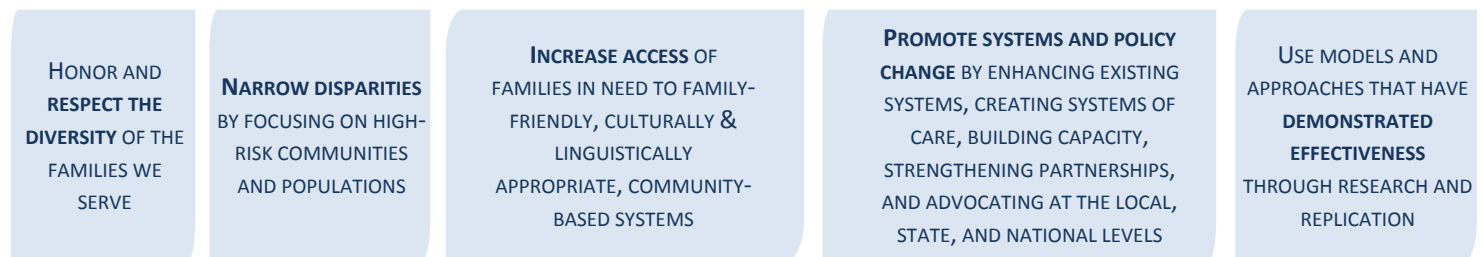
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Vision, Mission, and Guiding Principles

VISION - Every child in Alameda County will have optimal health, development, and well-being from birth to 5 to reach his or her greatest potential.

MISSION - In partnership with the community, First 5 Alameda County supports a countywide continuous prevention and early intervention system that promotes optimal health and development, narrows disparities, and improves the lives of children 0 to 5 and their families.

GUIDING PRINCIPLES



Our commitment to systems change and countywide impact focuses on **two major benchmarks**:

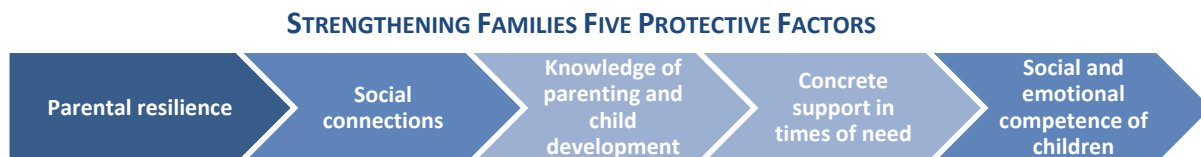
Children are ready for kindergarten, and can later achieve success in the third grade;¹ and Children are free from abuse and neglect.

In order to have a positive impact on these two population-based benchmarks, we will focus on achieving the following **four intermediate results** that contribute to the broader benchmarks:

1. Parents/Primary Caregivers provide stable, supportive, and nurturing environments for children
2. Children are prepared for school through quality early experiences
3. Children reach their optimal development outcomes
4. A comprehensive, coordinated early childhood system is in place in Alameda County

For each result, we have identified specific **outcomes** that will help us evaluate our success.

We have modeled our outcomes on the Strengthening Families Protective Factors, an evidence-based framework that we, along with many other agencies and states throughout the country, have adopted as a pathway to increasing resiliency and reducing abuse and neglect.



¹ F5AC focuses on the years 0 to 5, but we recognize a growing body of research and evidence that stretches the age window for the foundations of future success to third grade — or 0 to 8. Third grade success is a well-established benchmark that strongly predicts a child’s likelihood for long term wellbeing. Recent studies of children in the Bay Area show that the achievement gap is already perceptible by Kindergarten. And children who are behind in Kindergarten fare the worst by third grade. Our investments in the earliest years will have their greatest payoffs for children, families, and communities if they are bolstered in the early grades and beyond.

Results and Outcomes – What We Plan to Achieve

RESULT 1: Parents / primary caregivers provide stable, supportive, and nurturing environments for children	RESULT 2: Children are prepared for school through quality early experiences	RESULT 3: Children reach their optimal developmental outcomes	RESULT 4: A comprehensive, coordinated early childhood system is in place in Alameda County	Impact on Benchmarks: Children are ready for kindergarten – 3 rd grade success. Children are free from abuse and neglect
<ul style="list-style-type: none"> ▪ Outcome: Improved family functioning <ul style="list-style-type: none"> • Enhanced primary caregiver mental health • Improved parent-child attachment • Reduced parenting stress ▪ Outcome: Increased access to concrete basic needs and economic support ▪ Outcome: Enhanced parent knowledge of child development and parent capacity to support their child's development 	<ul style="list-style-type: none"> ▪ Outcome: Increased access to quality preschool and child care settings, and to other early learning experiences ▪ Outcome: Smooth transition to Kindergarten 	<ul style="list-style-type: none"> ▪ Outcome: Increased optimal breastfeeding/ nutrition and prenatal and postpartum health ▪ Outcome: Increased early identification and linkages to supports for development and social and emotional, behavioral health ▪ Outcome: Improved child developmental and social-emotional well-being 	<ul style="list-style-type: none"> ▪ Outcome: Coordinated countywide children's outcomes and budget ▪ Outcome: Enhanced coordination and linkages among providers of early childhood system of care ▪ Outcome: Increased awareness and focus on early childhood 	

F5AC understands that in order for Alameda County's children to be ready for kindergarten and free from abuse and neglect, we must work strategically and collaboratively with our public agency and community-based partners. While F5AC focuses on children 0-5 years old, we depend on other county and community partners across multiple early childhood systems as well as those who support children from 5-8 years old. We strive to develop an integrated early childhood system that creates the conditions for families to succeed. No single agency or piece of the system can effectively support families in isolation. F5AC, therefore, positions itself as a catalyst for coordinated systems effort and the identification and pursuit of common outcomes across the entire early childhood system. We are active, engaged participants in multiple early childhood collaboratives that share our goals.

EARLY CHILDHOOD COLLABORATIVES IN ALAMEDA COUNTY

- Alameda County Birth – 8 Initiative
- Alameda County Early Childhood Policy Committee – ACECPC
- Alameda County Interagency Children's Policy Council – ICPC
- Alameda County Public Health Best Baby Zone (BBZ)
- Alameda County Public Health Building Blocks
- Alameda County Committee on Children with Special Needs
- Bay Area Quality Rating Improvement System – BAQRISP
- Alameda County Child Care Planning Council
- Early Connections -- Alameda County Behavioral Health
- East Oakland Building Healthy Communities – The California Endowment
- Help Me Grow Alameda County
- Early Childhood Professional Development and Education Collaborative – EPEC
- Promise Neighborhoods
 - Hayward
- Gateways – Cradle to Career Education and Workforce Partnership
- Oakland Reads 2020

Strategies – What We Plan to Do

The FY 2013-2017 Strategic Plan highlights the F5AC Commission’s nine strategies. These strategies are the bridge between where we are now, in 2013, and where we would like to be in the near future: by 2017. Below is a description of each strategy, including **why we are working in this area**, what’s new from our previous work, major programs within each strategy, and key partners who help to implement the strategy. Individually and collectively, the nine strategies are designed to build on our work on behalf of young children, take advantage of emerging opportunities, and align our work with significant regional, state, and federal initiatives.

The nine strategies are:



STRATEGY DESCRIPTIONS: AN OVERVIEW OF WHAT'S IN PLACE, WHAT'S NEW, PROGRAMS, AND PARTNERS

1. HOME VISITING / FAMILY SUPPORT

Why This Strategy: Home visiting focuses attention, support, and services on low-income families to provide information and support during pregnancy and throughout a child's first three years—a critical developmental period. By building relationships between home visitors and families, home visiting programs can intervene earlier and make referrals and connections for children and families to services that match their needs. By coordinating multiple home visiting programs, we can reach more families and help them minimize the impact of adverse social and health risks that isolation and poverty place in their paths.

Description: The Home Visiting initiative funds public and community agencies to provide prenatal and postpartum home visiting services targeting teen parents, high-risk families, and families whose babies have been in the neonatal intensive care unit. F5AC, in collaboration with Alameda County Public Health Department (ACPHD) and our community partners, is creating a more comprehensive home visiting/family support services system of care.

What's New: F5AC contracts with ACPHD to integrate all home visiting programs into a countywide system with coordinated points of entry, referrals, common standards, training and outcomes. ACPHD will oversee the contracts and systems development. F5AC will continue to provide training, data and evaluation, and infrastructure supports. An integrated home visiting system will provide better integration across multiple programs, assure better utilization, provide for quality standards, and pool community resources for families.

PROGRAMS/ACTIVITIES

- Outreach to prenatal and postpartum: teens, young adults and high-risk families
- Hospital based family enrollment, triage, coordination, and marketing
- Prenatal and postpartum home visiting of pregnant and parenting teens
- Postpartum home visiting of infants discharged from Neonatal Intensive Care Unit
- Prenatal and postpartum home visiting of high-risk families
- Trainings on core competencies
- Data systems, evaluation, and reporting support
- Countywide family support redesign
- Enhanced linkages for families exiting home visiting supports

PARTNERS (NOT A COMPLETE LIST)

- Alameda Alliance for Health
- Alameda County Public Health Department
- Alameda County Social Services Agency
- Birthing Hospitals
- Brighter Beginnings
- Children's Hospital Oakland
- City of Berkeley Public Health Department
- Early Head Start
- Native American Health Center
- Other Prenatal Clinics
- Through the Looking Glass
- Tiburcio Vasquez Health Center
- West Oakland Health Council
- Women, Infants & Children (WIC)

2. HEALTHY CHILD DEVELOPMENT INITIATIVE

Why This Strategy: Addressing developmental, social, emotional, and behavioral problems includes: screening to identify concerns as early as possible, and linkages to specific interventions once concerns are identified. First 5 Alameda County is replicating a national model, Help Me Grow, to implement this initiative. Help Me Grow does both by increasing awareness of early screening and intervention among parents and providers, and by streamlining the linkages to services so that they are far more accessible to everyone involved in caring for young children. Helping children get the supports they need prior to kindergarten entry will contribute to their success in school.

Description: Help Me Grow Alameda County is a streamlined system of early identification and referral that supports children ages 0-5 with developmental, behavioral, and/or social-emotional concerns and their families. Help Me Grow builds collaboration across sectors, including health care, early care and education, and family support to ensure better outcomes for children.

What's New: Help Me Grow Alameda County is one of three California counties (along with Orange and Fresno) participating in the Help Me Grow National Replication Project. The national affiliation will support sustainability, policy changes, and awareness of promising and best practices. Help Me Grow will focus on four core components: (1) community outreach, (2) child health care provider outreach, (3) a centralized phone access point, and (4) data collection and analysis. With the completion of the three-year pilot phase in October 2012, Help Me Grow is expanding its efforts locally. New efforts will include a community-based outreach strategy, a social marketing campaign, development of an Ages & Stages Questionnaire (ASQ) mail-in program, an early childhood resource guide, and broader access to the Early Childhood Linkage Line. F5AC will continue to function as the lead agency, with collaboration from multiple community partners.

HEALTHY CHILD DEVELOPMENT INITIATIVE (CONTINUED)

Programs/Activities

- Training and technical assistance to providers on early identification and systems
- Developmental Screening (e.g. Ages & Stages Questionnaires) training
- ASQ Developmental Monitoring Program (by mail or web-based)
- Early childhood referral and consultation phone line – Linkage Line
- Family navigation
- Web-based community resource guide
- Screening and child development promotion at community events
- Social marketing
- Parent-to-parent support
- Collaborative governance and operations
- Web-based data system

Partners (not a complete list)

- Alameda County Behavioral Health Care Services - Early Connections
- Alameda County Child Care Planning Council
- Alameda County Medical Home Project
- Alameda County Public Health Department
- Alameda County Social Services Agency
- Center for the Vulnerable Child – Children’s Hospital Oakland
- Child Care Resource & Referral agencies
- City of Berkeley Public Health Department
- Early care and education providers
- Community-based Organizations
- Families (advisory committee, representation in governing bodies)
- Family Resource Network
- Fremont Human Services Department Family and Youth Services
- Pediatric /Health Care providers
- Better World Advertising
- Help Me Grow National Center
- Regional Center of the East Bay
- School Districts
- Special Education Local Plan Area
- Web and data systems developers

3. QUALITY EARLY CARE AND EDUCATION

Why This Strategy: High quality early care and education (ECE) programs lay a foundation for kindergarten readiness and future success. Yet it can be difficult for ECE providers to access coaching, mentoring, and professional development to enhance their knowledge, skills, and performance. Making these opportunities available, alongside resources to improve facilities and environments, helps to improve instructional practices and contributes to overall ECE quality

Description: F5AC works closely with community partners to promote access to quality early care and education in family child care and center-based settings. We do this by providing coaching, consultation, funding, and incentives for site based quality improvement, supporting access to professional development opportunities for the child care workforce, and developing and implementing an integrated regional child care quality rating and improvement system.

What's New: Alameda County is a participant in California's Race to the Top Early Learning Challenge (RTT-ELC), which is developing and implementing a Quality Rating and Improvement System (QRIS) with the goal of increasing access to quality early care and education for children with high need. Various components of the F5AC Quality Early Care and Education strategy and other related programs will be aligned to support child care quality improvement using common indicators and measures of quality determined through the state and regional RTT-ELC effort.

PROGRAMS/ACTIVITIES

- Quality Counts site-based quality improvement coaching and consultation that may include:
 - Early Childhood Mental Health Consultation
 - Facilities and classroom environment improvement grants & consultation
 - Business and Fiscal Consultation
- Developmental Screening in Early Care & Education (ECE) settings (a component of Help Me Grow)
- Teacher Pathways/Associate of Arts Cohorts
- CARES Plus (My Teaching Partner, Classroom Learning Assessment SS training, Looking at Classrooms, formal education support)
- Community Based Professional Development Services (Advising, Training, Incentives)

PARTNERS (NOT A COMPLETE LIST)

- Alameda County **Early Care and Education Program**
- Bay Area Quality Rating Improvement System Partnership (Alameda, San Francisco, Santa Clara, Contra Costa, Santa Cruz **and San Mateo** Counties)
- California Department of Education
- Child Care Resource & Referral agencies
- Child Development Training Consortium
- Faculty Initiative Project
- Jewish Family and Children Services
- Low Income Investment Fund
- **Oakland Unified School District – Starting Smart and Strong Initiative**
- Packard Foundation
- Two and four-year institutions of higher education

4. SCHOOL READINESS INITIATIVE

Why This Strategy: Readiness for school starts long before the first day of kindergarten. Gaps in achievement can and should be narrowed with earlier investments. When children are developmentally, socially, and cognitively ready for school, they have a foundation that prepares them for future school success. But when children are not as ready as they could be, they're more likely to fall behind in school. Just as we want to invest in helping children be as ready as possible for school, we also want schools to be ready for children, ensuring that smooth transitions are in place from preschool to kindergarten and beyond.

Description: To prepare children for their elementary school experience, the F5AC School Readiness Initiative addresses key areas that research shows are instrumental in promoting early development and learning. School readiness will continue to support summer pre-kindergarten programs and school transition efforts, working closely with school districts to integrate F5AC-funded services with Transitional Kindergarten and other initiatives such as Full Services School efforts.

What's New: F5AC will support school districts in being more responsive and "ready hubs" for promoting and ensuring a smooth transition to kindergarten for both children and parents. This strategy builds on our existing efforts and will be designed with more intentional — and specific — deliverables to help strengthen a school district's capacity to engage families and children and offer smoother continuity to kindergarten. We do this by providing social supports for children and families in the community, connecting to preschool children and families, making visits to kindergarten, promoting home learning, sponsoring informational meetings, disseminating information, and facilitating early registration.

PROGRAMS/ACTIVITIES

- Summer Pre-Kindergarten
- Year-round school transition supports and coordination
- **Talk, Read, Sing Campaign**

PARTNERS (NOT A COMPLETE LIST)

- Alameda County Interagency Children's Policy Council
- **Bay Area Council Foundation**
- Hayward Promise Neighborhood
- K to College
- **Kenneth Rainin Foundation**
- Oakland Fund for Children and Youth
- Pre School California
- Rogers Family Foundation
- School Districts, individual schools
- Thomas J. Long Foundation
- **Too Small to Fail Campaign**

5. PLACE-BASED INITIATIVES

Why This Strategy: Place matters. All too often, zip codes determine a variety of health and economic outcomes, no matter what else is happening in a child’s and family’s life. Federal Promise Neighborhoods are place based approaches that along with other similar efforts can more effectively target resources to make a difference in a community. Project LAUNCH (Linking Assets for Unmet Needs in Children’s Health) is an example of how investing in a particular place — in this case, neighborhoods in East Oakland — can help change the trajectory for children and families.

Description: Project LAUNCH (Linking Actions for Unmet Needs in Children’s Health) is one of 24 sites across the country funded by a grant from the Substance Abuse and Mental Health Services Administration (SAMHSA) through 2014. Project LAUNCH is a collaboration among F5AC; Alameda County Maternal, Paternal, Adolescent and Child Health (a division of Alameda County Public Health Department); and the California Department of Maternal and Child Health.

Project LAUNCH targets resources in high-risk communities of East Oakland and links with other East Oakland initiatives to build community supports for families with young children. Project LAUNCH also offers opportunities to address the needs of 5- to 8-year-olds, which has made it possible to pilot new approaches for school transitions and Kindergarten-3rd grade school-based supports. Project LAUNCH is dedicated to:

- Expanding knowledge of healthy child development;
- Improving collaboration among child-serving organizations; and
- Integrating physical and mental health services and supports for children and their families.

What’s New: Based on the success of Project LAUNCH, the ongoing needs and resource gaps in East Oakland, and the potential for additional external resources, F5AC will continue to focus on place-based initiatives. In addition to continuing to integrate mental health consultation into home visiting, early care and K-3 education setting, F5AC is supporting the concept of a “home base” or “hub” for early childhood. In addition, F5AC is partnering with other place-based initiatives, including the Hayward Promise Neighborhood implementation grant

PROGRAMS/ACTIVITIES

- Hayward Promise Neighborhood
- Project LAUNCH

PARTNERS (NOT A COMPLETE LIST)

- Alameda County Public Health- Best Baby Zone
- Children's Hospital & Research Center Oakland
- City of Oakland Neighborhood Services
- East Oakland 0-8 Early Childhood Providers
- Hayward Promise Neighborhood
- Lifelong Medical Care – Howard Daniel Clinic
- Lotus Bloom
- Oakland Housing Authority
- Oakland Parents Together
- Oakland Unified School District
- SAMHSA
- Youth Uprising

6. TRAINING

Why This Strategy: Best practices evolve continuously. To help the community of providers serving families with young children keep current and to promote quality and best practices, F5AC invests in training and capacity building that is aligned with credentialing and other requirements. Providing accessible training opportunities for providers who interact with young children and their families builds our entire community's capacity to respond more effectively to the needs of young children, wherever they are cared for and nurtured.

Description: As an organization with declining revenue, F5AC has always understood that we are most valuable when our work has staying power — when it leads to sustainable change in knowledge and skills to help partners effectively serve families with children ages 0-5. We will continue to provide training, coaching, consultation, and capacity-building opportunities for our partner agencies and the workforce serving families with young children. The types of training we offer varies by audience; training and capacity building are integrated into each of the F5AC strategies.

What's New: We will continue to be a catalyst for the local adoption of nationally recognized evidence-based practice (Touchpoints, Strengthening Families, Teaching Pyramid, etc.). Whenever possible, we will align Training @ First 5 offerings with recognized professional competencies in early care and education, early childhood mental health, and early intervention so that our audiences can map training provided by F5AC to fulfillment of their professional development pathways.

PROGRAMS/ACTIVITIES

- Training @ First 5-Core Topics (e.g., Child Development, Maternal Depression, Cultural and Linguistic Responsiveness, ASQ and ASQ – Social Emotional, etc.)
- Training @ First 5 - Emerging Topics (e.g., Health Care Reform, Supporting Home Language, etc.)
- Culturally Specific Learning Communities (Community Consultation Groups)
- Practice Specific Learning Communities (e.g., Shelter Learning Community, ECMH Supervisors, **Fathers Corps**, etc.)
- Special Training Initiatives (e.g., Touchpoints, Home Visiting, etc.)
- Harris Early Childhood Mental Health Training

PARTNERS (NOT A COMPLETE LIST)

- Alameda County Behavioral Health Care Services
- Alameda County Public Health
- Asian Community Mental Health Services
- Brazelton Touchpoints Center
- Children's Hospital Oakland

7. GRANTS FOR COMMUNITY SUPPORTS

Why This Strategy: Grants for Community Supports keep F5AC closely connected to the many organizations and individuals who share our goals for young children and their families. Participation in the grants program enables funded partners to respond to unmet needs, foster networking and resource-sharing across organizations, promote the adoption of best practices, and learn about creative and innovative approaches underway in different settings.

Description: Grants for Community Supports will continue to foster innovative, community-based approaches to supporting parents and children aged 0-5. Grantmaking encourages established community-based agencies to fill gaps in services and encourage new partners to expand and enhance their focus on families with young children. Our grantmaking approach funds direct services as well as training and technical assistance to promote and sustain the use of promising practices.

What's New: Grant priorities will align with and provide community supports for the F5AC strategies in order to encourage a broad-based focus on shared outcomes.

PROGRAMS/ACTIVITIES

- Community Support grants to seed innovative practices and build capacity
- Targeted grants to enable community-based organizations (CBOs) to fill gaps in the service system
- Partnership grants to increase the use of specific best practices

PARTNERS (NOT A COMPLETE LIST)

- CBOs and public agencies

8. EVALUATION & TECHNOLOGY

Why This Strategy: Scarce and declining resources demand that we use funds wisely, investing in practices with a high likelihood of achieving better outcomes for children and families while remaining open to innovative ideas. Evaluation and technology help us track progress, continuously learning what works, what doesn't, and how we can improve.

Description: Assuring accountability for funds and documenting F5AC's impact have been core to our work over the past 14 years. In addition to offering technical assistance on developing useful evaluation tools, Evaluation & Technology will help to develop countywide baselines of key indicators such as children's readiness for kindergarten, their trajectory towards third grade success, and possibly rates of expulsion from ECE classrooms. Technology supports include connecting data across public data systems; facilitating the certification of county home visiting models as promising practices; streamlining information sharing, encouraging the use of best practices and promoting efficient delivery of services. We develop research and data to communicate the impact of programs and initiatives on children and families outcomes.

What's New: Evaluation will play a critical and expanded role to support sustainability efforts by documenting the impact of funding, and by encouraging countywide alignment of funding towards prevention and early intervention. The movement in Alameda County towards common outcomes for children 0-8 years old and their families, integrated services, and the creation of a countywide early childhood system of care must be supported by strong monitoring and evaluation. F5AC will expand its evaluation activities to work with other public and community agencies to support the focus on shared county outcomes.

PROGRAMS/ACTIVITIES

- Evaluation services: Design and development of data collection tools, research and analysis, communication
- Evaluation technical assistance, consultation

PARTNERS (NOT A COMPLETE LIST)

- 0-8 Convergence
- Abt Associates
- Alameda County Public Health
- Alameda County Behavioral Health Care Services –Early Connections
- Alameda County Public Health – Community Assessment Planning Evaluation & Education Unit
- Applied Survey Research
- Interagency Children's Policy Council
- Other Evaluation Firms
- SAMHSA
- Web and Data systems developers

9. POLICY, ADVOCACY, AND COMMUNICATION

Why This Strategy:

Our work in Alameda County is strongly influenced by what happens at the state, regional, and national levels. Staying current, looking ahead, and participating in policy, advocacy, and communications initiatives are essential to our work. These activities help us to take advantage of emerging opportunities (such as health care reform, Race To The Top etc.), to support common agendas so we can speak with a stronger and more cohesive voice about children's issues, and to build and sustain systems that support a variety of programs. We want to ensure that policy makers make informed decisions that put the interests of children and families where they belong: at the front of the line.

Description: At a time of shrinking public and private funding, advocating for policies and programs that promote the health and well-being of children during their most critical years of development is key to F5AC. We monitor key legislative and budget issues affecting early childhood systems and participate in local, state, and national policy efforts to promote our work and to learn from other innovative initiatives. F5AC and our partners will build on these opportunities to ensure that early childhood supports become essential parts of the community fabric and a valued, shared investment for every sector of our community.

What's New: This is the first strategic plan that identifies the overlapping trio of policy, advocacy, and communication work as an intentional initiative. F5AC has always worked in these areas, but the declining tax revenues makes these linked activities even more essential to the long-term sustainability of F5AC-funded initiatives. Communication on the importance of the first five years is essential to address and ultimately influence many social issues, including health care costs, educational achievement, workforce productivity, and criminal justice inequalities.

PROGRAMS/ACTIVITIES

- Development of countywide children's outcomes
- Sustainability for F5AC funded programs
- Development of an Early Childhood System
- Advocacy at local, state and national levels

PARTNERS (NOT A COMPLETE LIST)

- Alameda County Birth – Eight Initiative
- Alameda County Behavioral Health Care Services –Early Connections
- Alameda County Early Childhood Policy Council (ACEPC)
- CA Department of Education
- CA Statewide Screening Collaborative
- Center for the Study of Social Policy
- Child Care Planning Council Public Policy Committee
- Children's NOW
- EC-LINC, Center for the Study of Social Policy
- First 5 Association
- First 5 CA
- Interagency Children's Policy Council (ICPC)
- Nemours Children's Outcome Project
- Oakland Community Schools Leadership Council
- Oakland Education Cabinet 0-8 Subcommittee
- Special Needs Committee
- U.S. Department of Health & Human Services, Substance Abuse Mental Health Services Administration

F5AC’s Roles – Ways That We Work

KEY F5AC ROLES

Our roles are informed by lessons learned, input from the community, and our focus on sustainability of First 5 investments. These lessons help us define how we relate to community partners and other stakeholders to raise awareness of the importance of the years 0-5 and build a unified system of early childhood supports that will prepare children for success in school and to reach their full potential. No agency can do this alone. While F5AC will remain a leader in that pursuit, we will continue to work together with other systems, agencies and communities to reach our common outcomes. We intend to lead this effort by serving as a catalyst, collaborator, funder, and capacity builder — in whatever combination is required to advance our mission.

CATALYST

Sparking grassroots and countywide efforts that support F5AC goals and using research and data to support policy, practice and system changes

COLLABORATOR

Working with public systems and community partners to advance services for young children and their families, advocating for funding and policy and system changes

FUNDER OF DIRECT SERVICES TO CHILDREN

Funding community-based and public agency programs that serve children and families and funding F5AC staff working in the community

CAPACITY BUILDER

Working to increase capacity of our partners through training, technical assistance, coaching and consultation and supporting evaluation and technology infrastructure

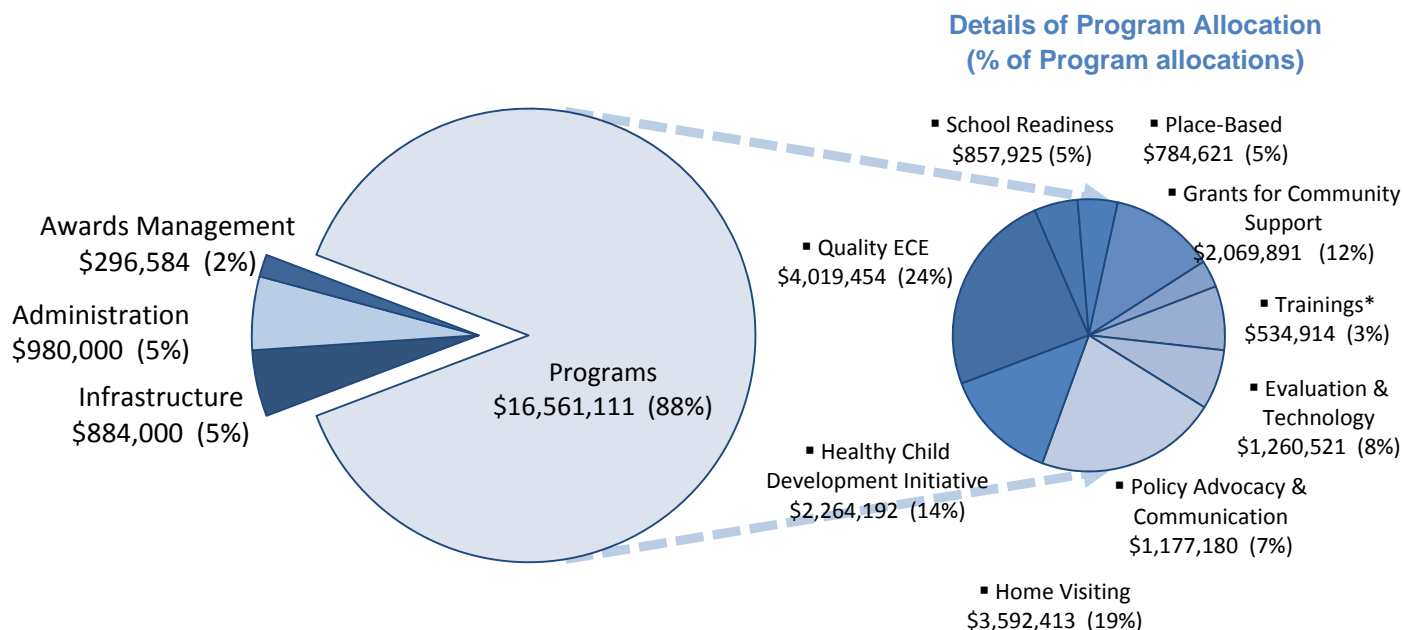
STRATEGIES	CATALYST	COLLABORATOR	FUNDER OF DIRECT SERVICES	CAPACITY BUILDER
County Home Visiting and Family Support		X	X	X
Healthy Child Development Initiative	X	X	X	X
Quality Early Care and Education	X	X		X
School Readiness	X	X	X	X
Place-Based Initiatives	X	X	X	X
Grants for Community Support			X	X
Training		X		X
Evaluation & Technology	X	X		X
Policy Advocacy & Communication	X	X		

Funding – How We Invest Our Resources

The funding allocations for each strategy are for FY 13-14. It is our intent (for the most part), that the funding allocations will remain stable for the four years of the plan. However in some cases, external funding will end and adjustments will be made to the funding levels. These funding figures are broad and will guide the Commission’s development of the budget each year. Each fiscal year’s budget will be developed and voted on by the Commission in the spring prior to the July start of each fiscal year. These are fully loaded costs and include contracts, F5AC staff, and infrastructure support.

FY 2015-16 Budget Allocation (\$18.6 million)

Chart will be updated for 2nd reading



2

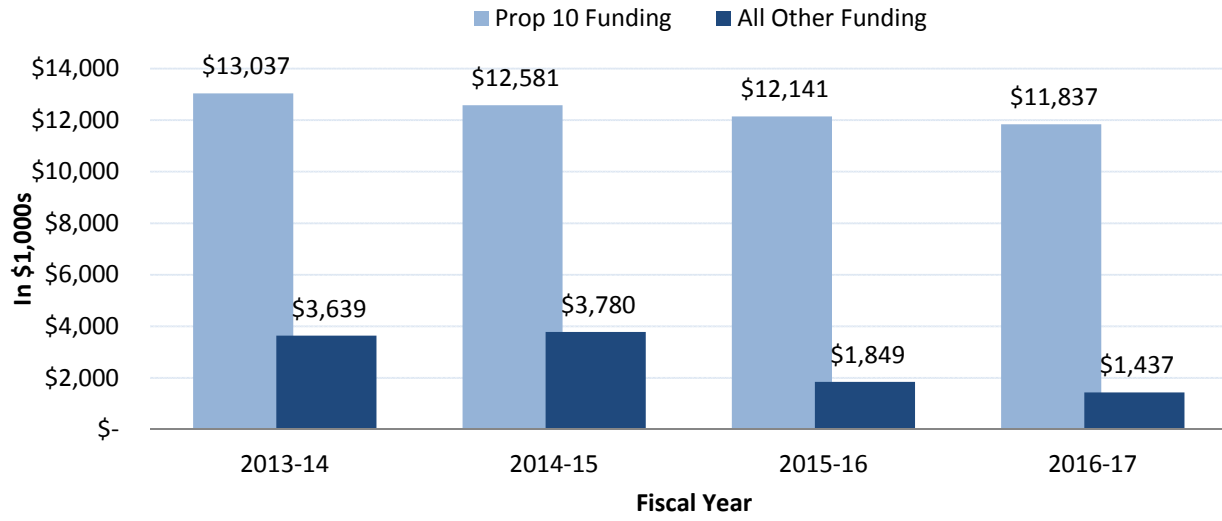
ADMINISTRATION

To implement the programs described in the Strategic Plan, it is necessary to develop and maintain adequate and appropriate organizational infrastructure. This includes, but is not limited to, financial investment and cash management systems, facilities, human resources, contract management and risk management.

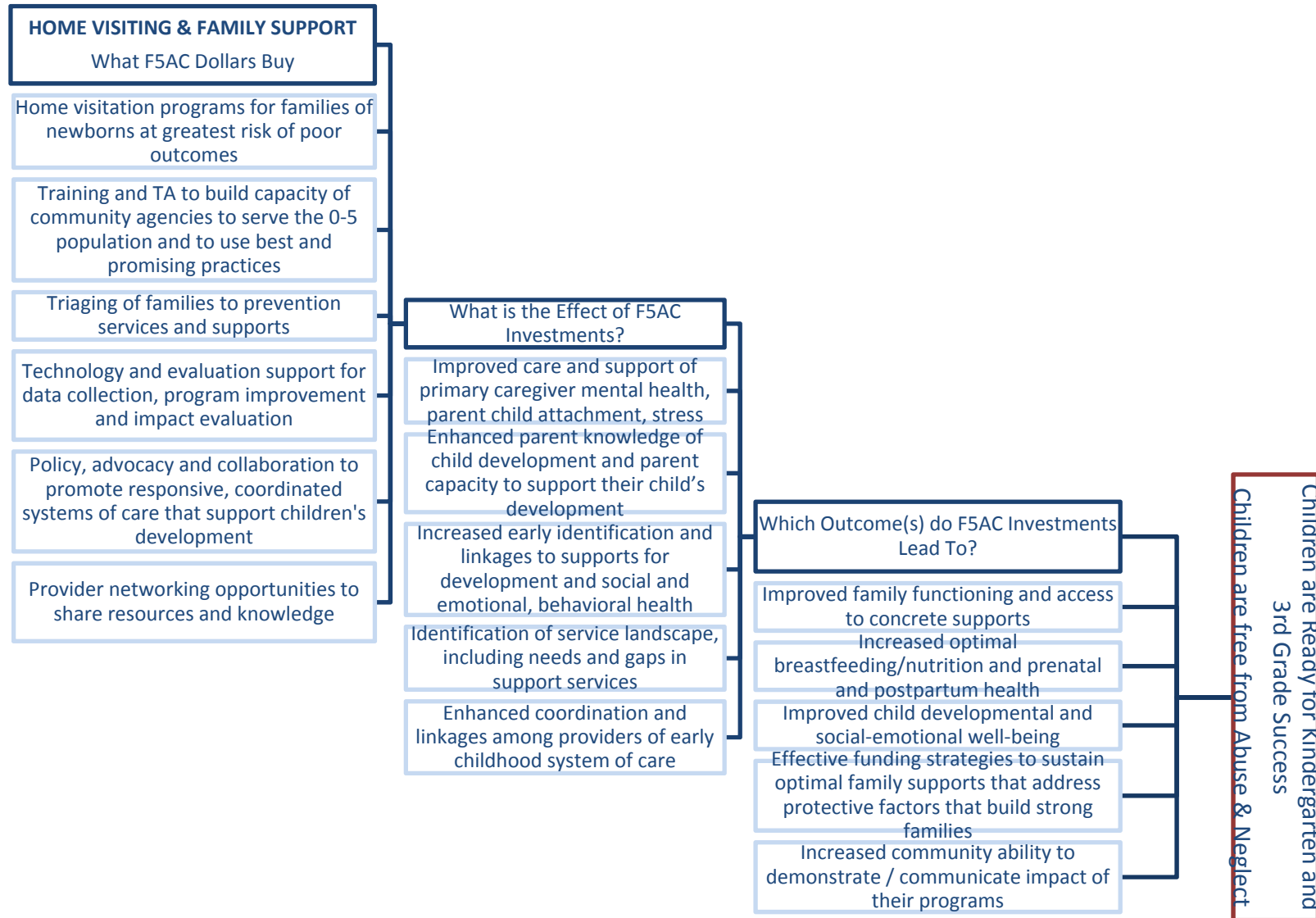
While F5AC receives the majority of its funding from tobacco tax revenue, it also receives funding from federal grants and private foundations. As F5AC tobacco tax allocations decline, additional revenue sources will be even more important to help us accomplish the goals of our nine initiatives.

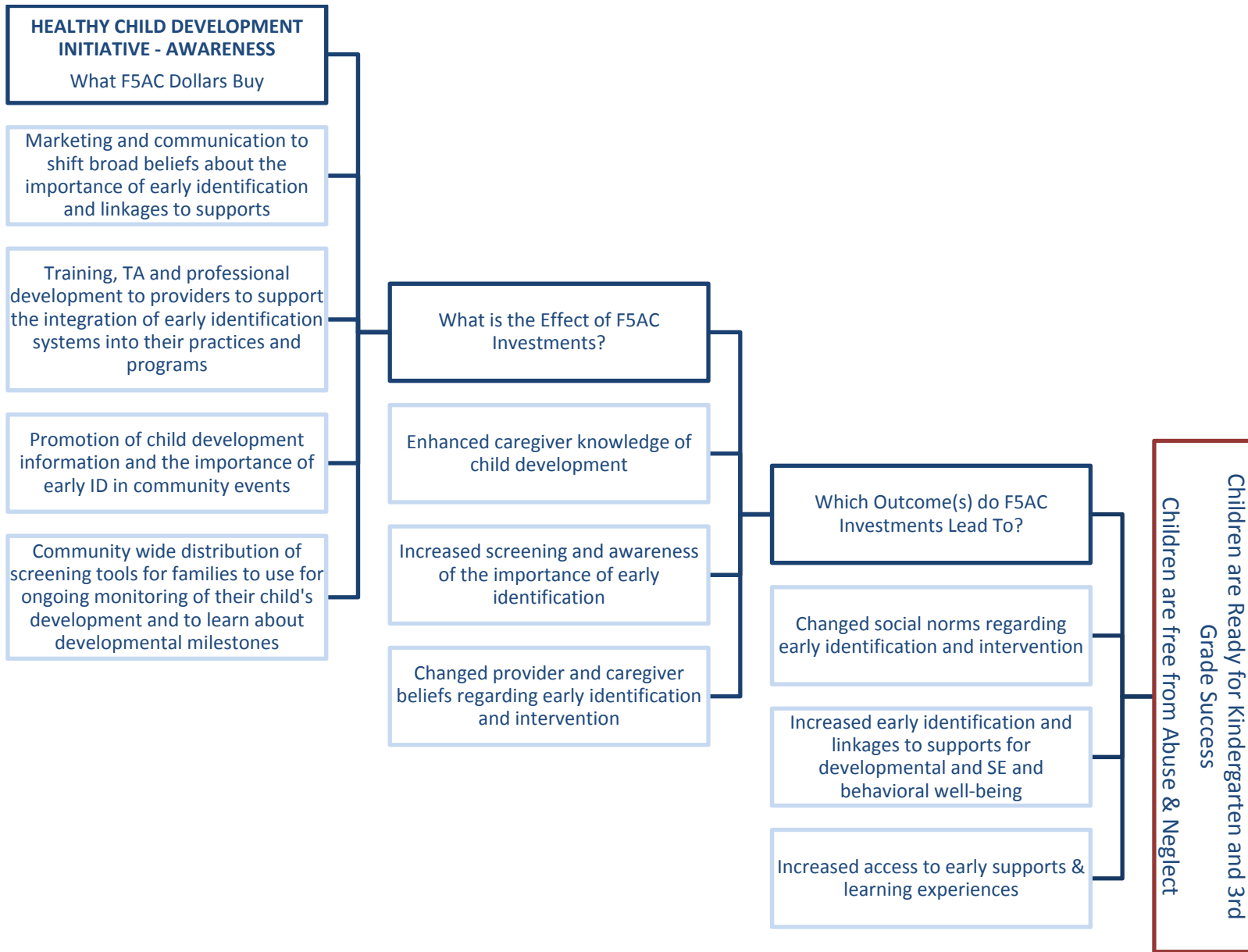
*Total training allocations are integrated across all strategies and NOT limited to 3% of program allocations identified for training. F5AC allocates approximately \$4.8 million (29% of program allocations) to support capacity building across strategies.

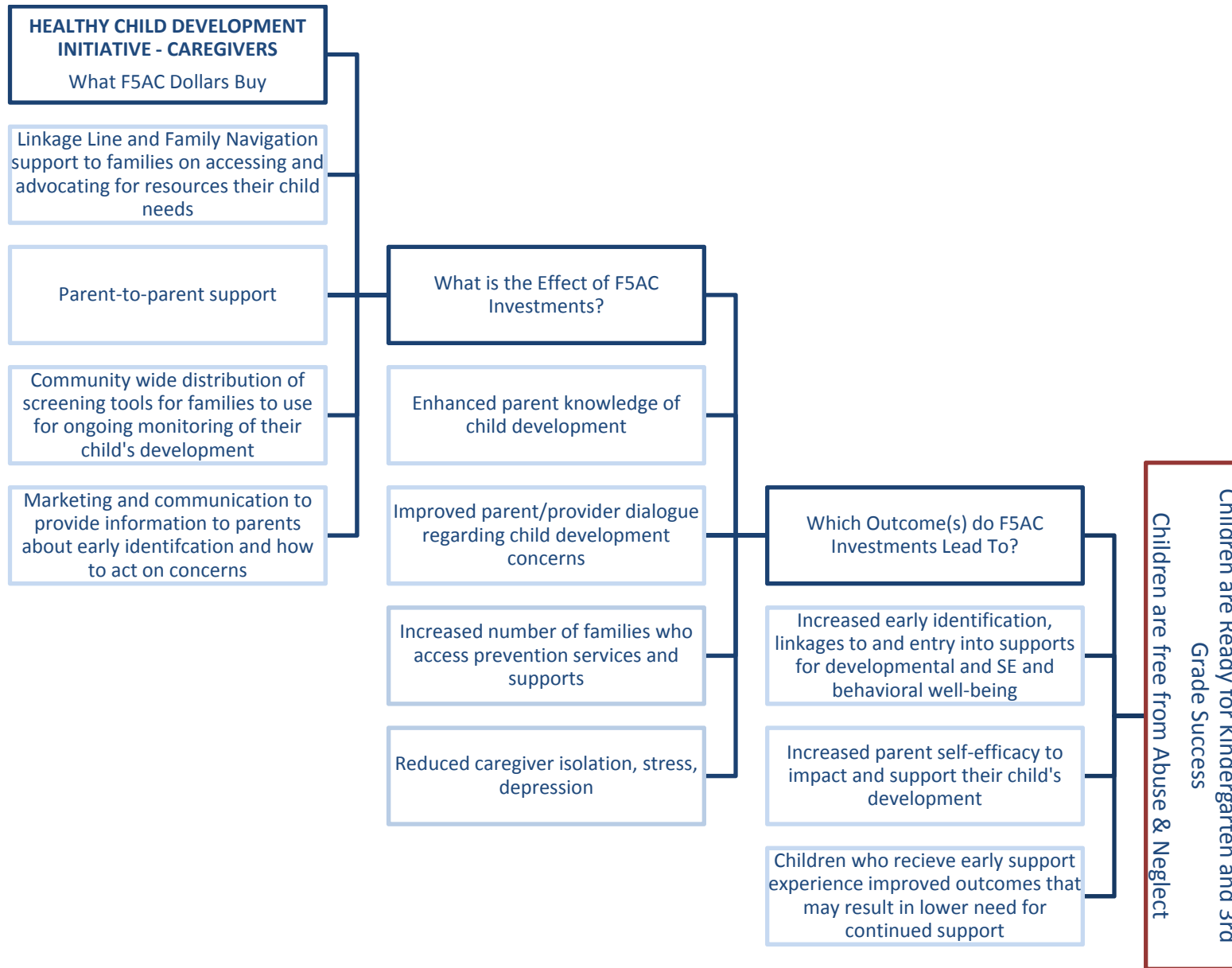
2013-17 Strategic Plan Projected Revenue Sources

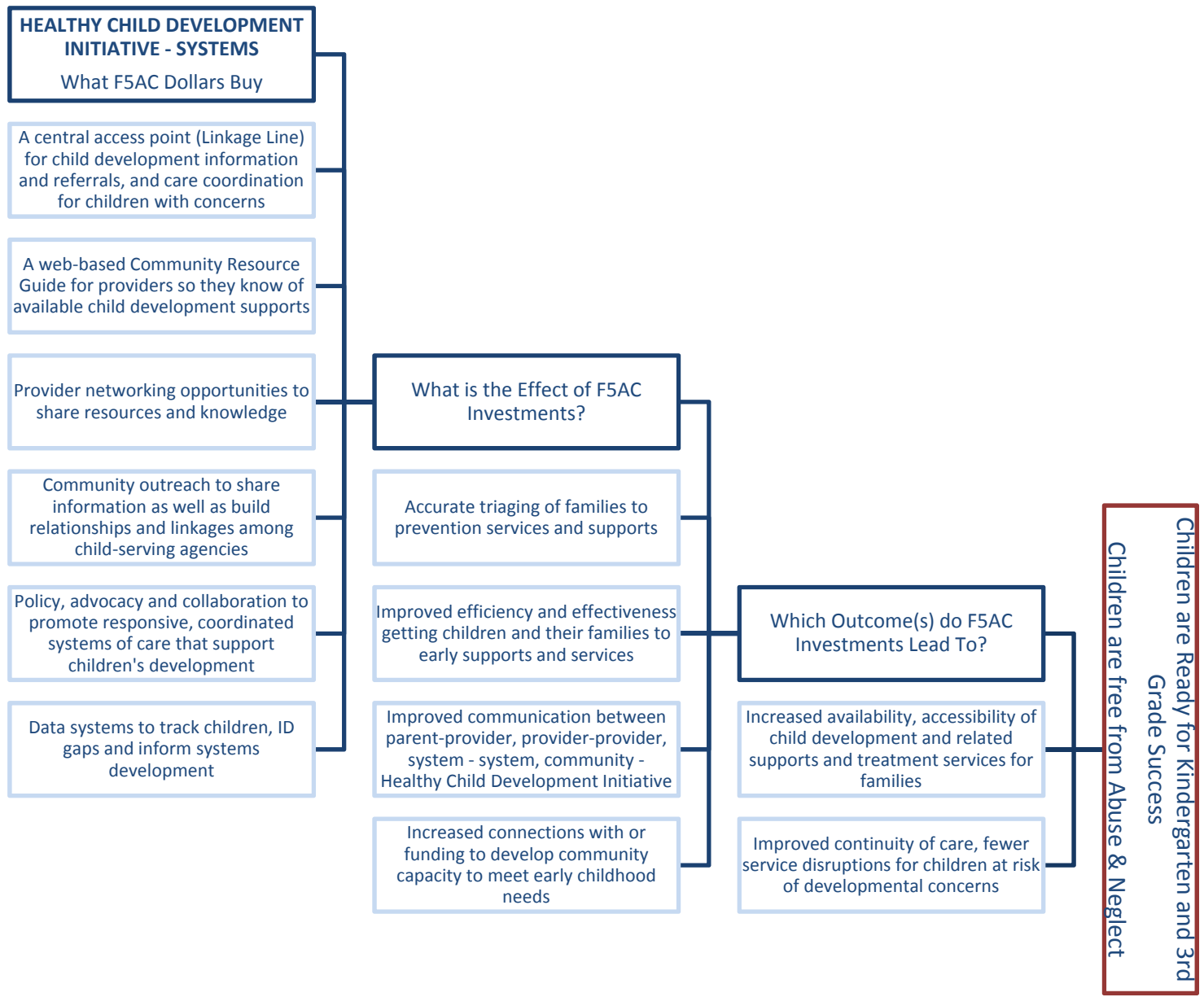


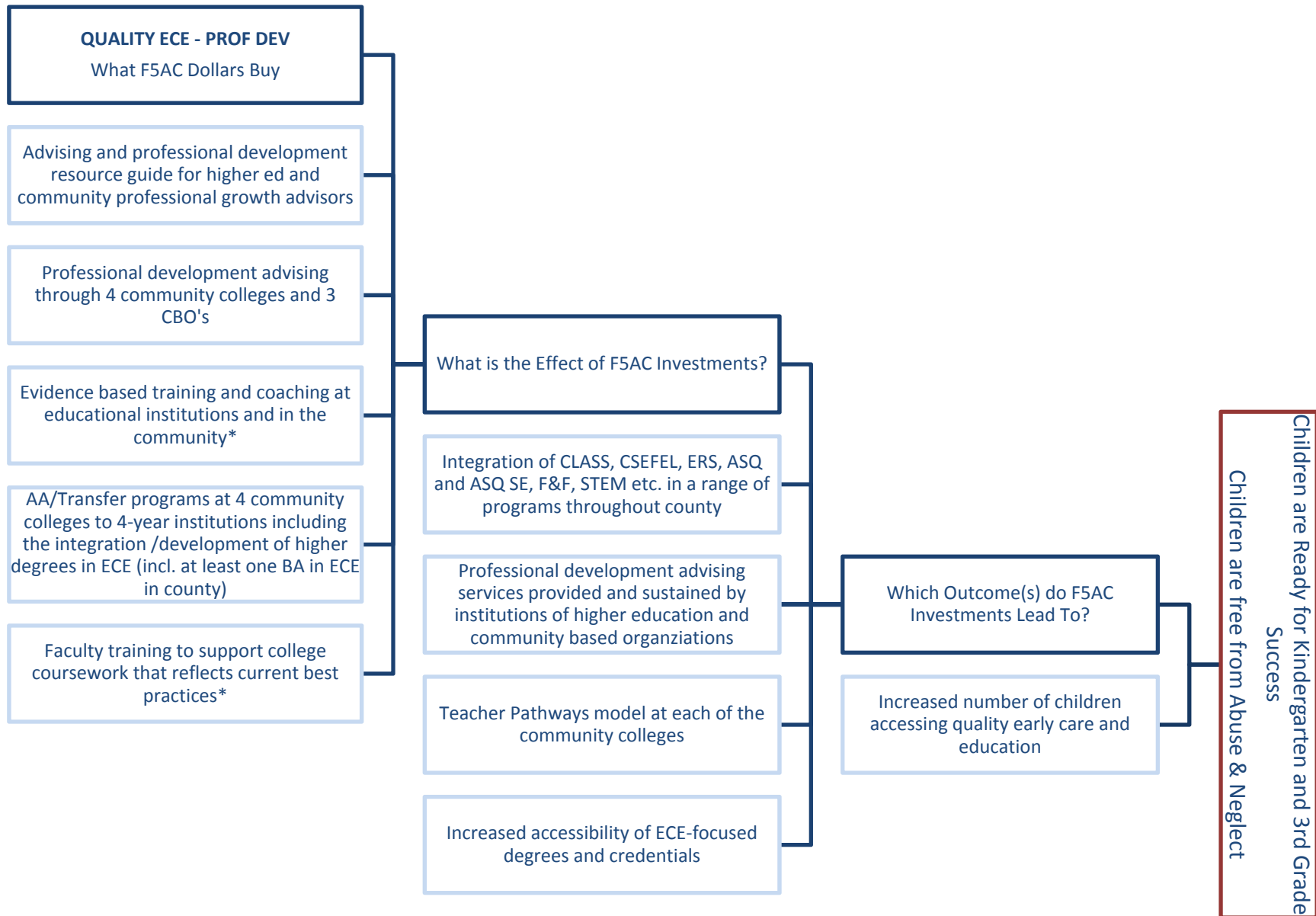
Supporting Documents: Logic Models by Strategy

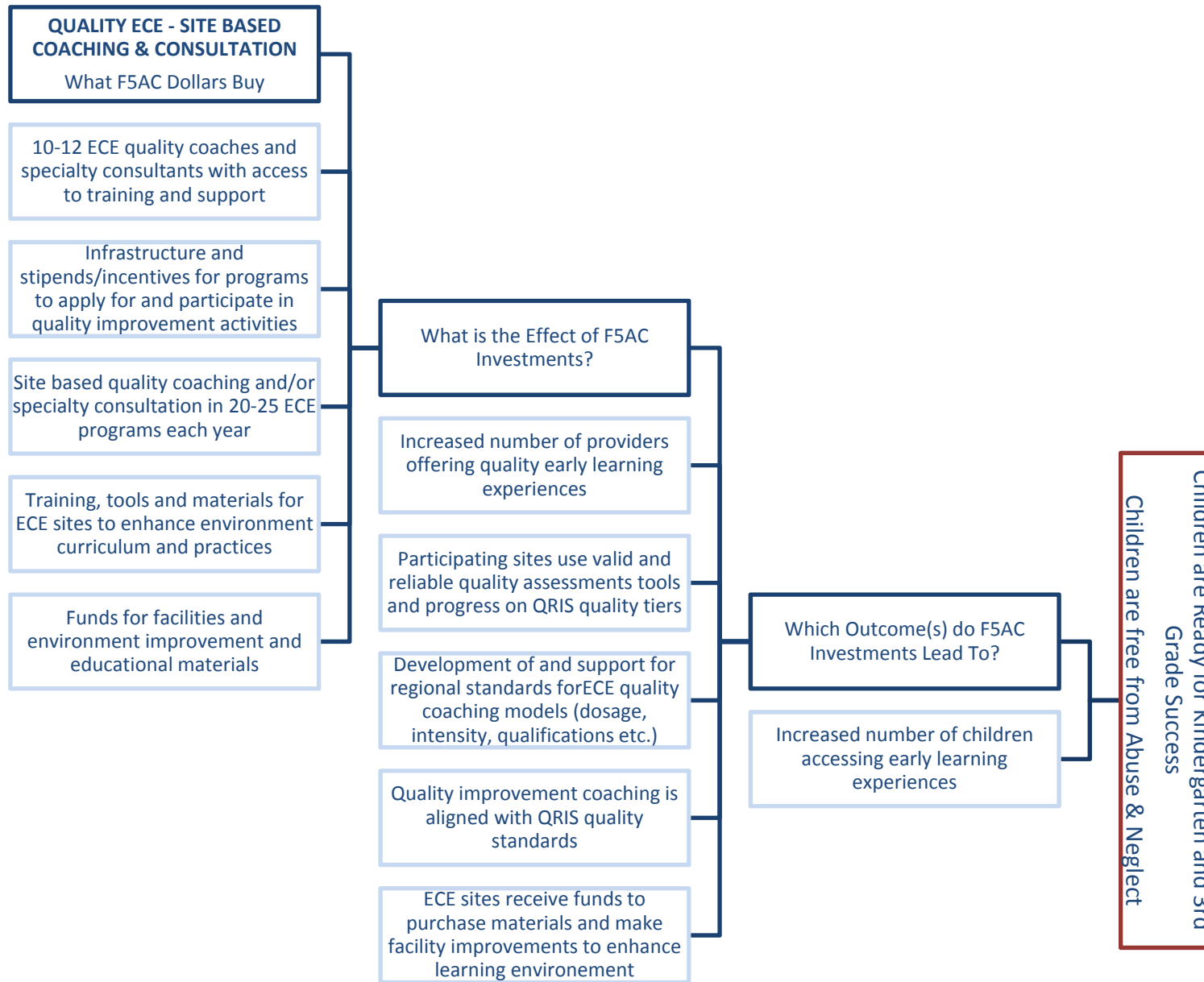


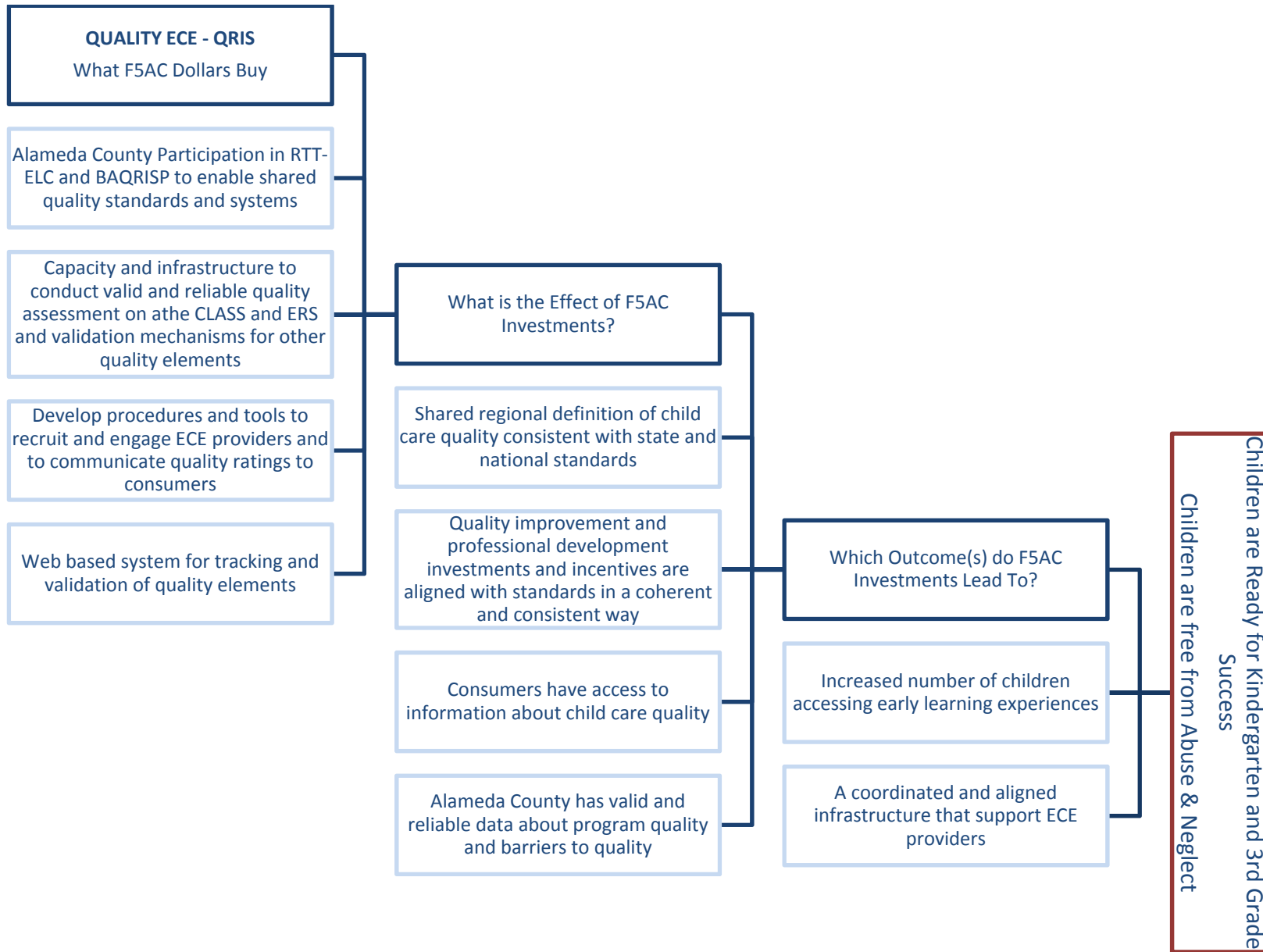


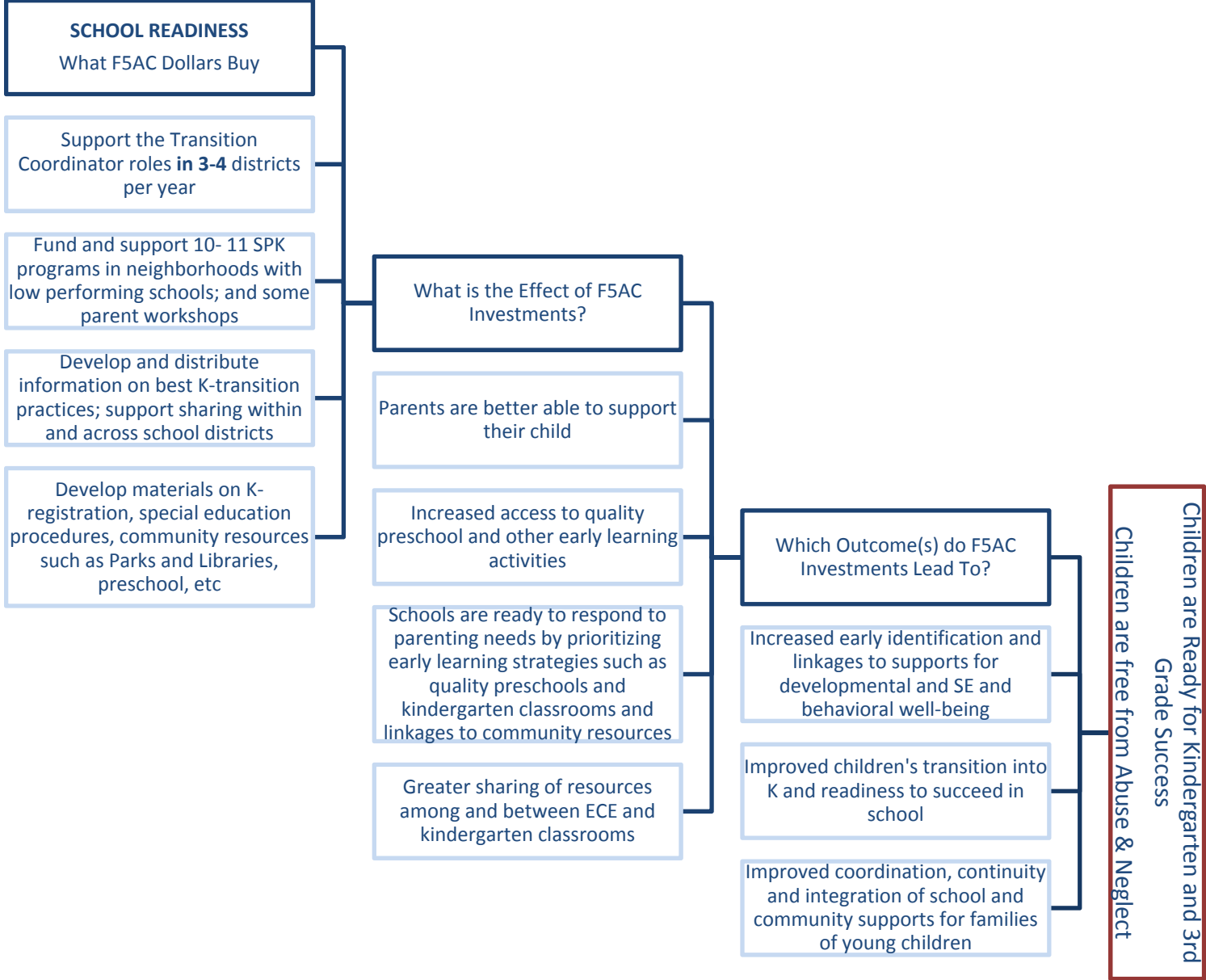


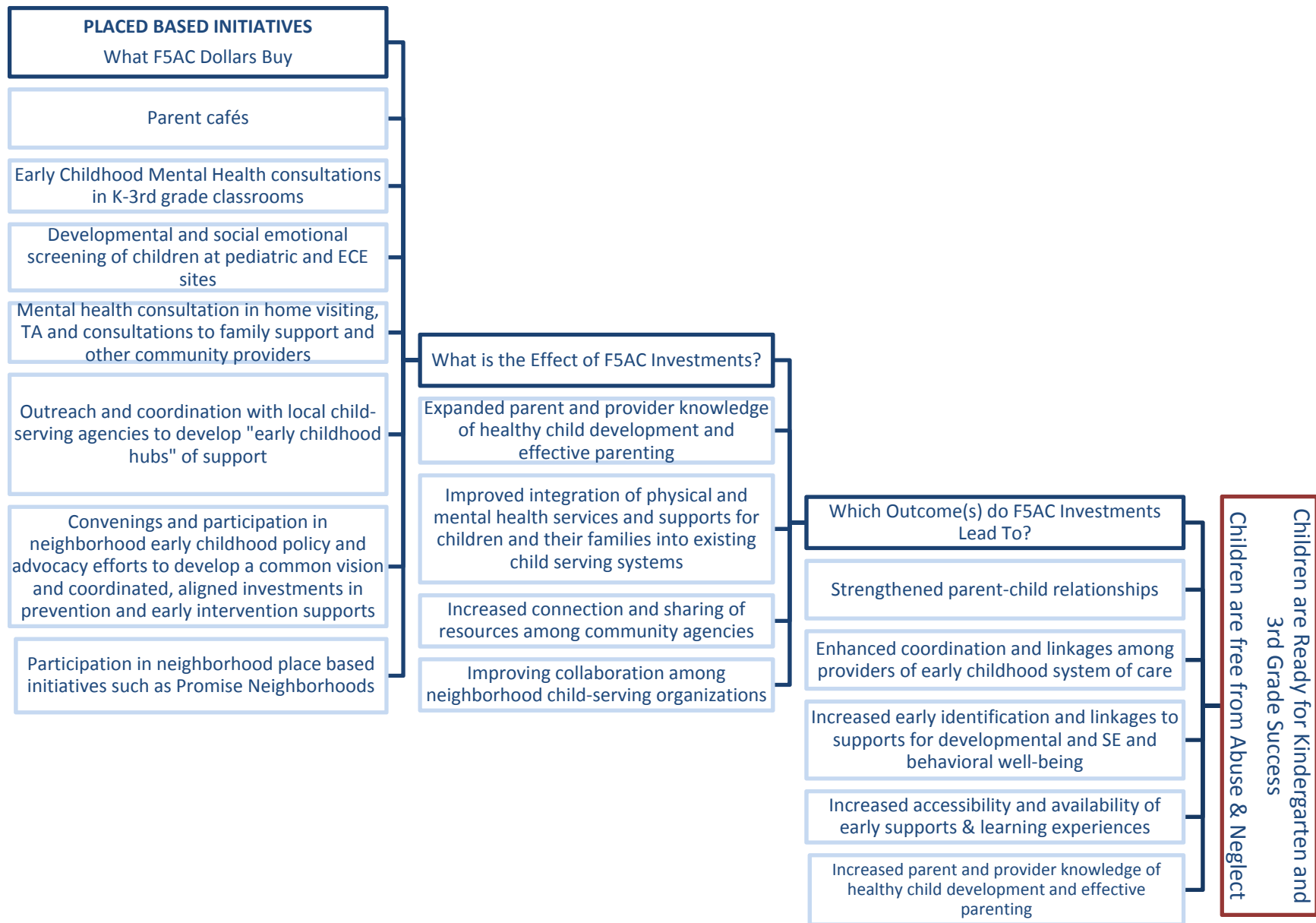


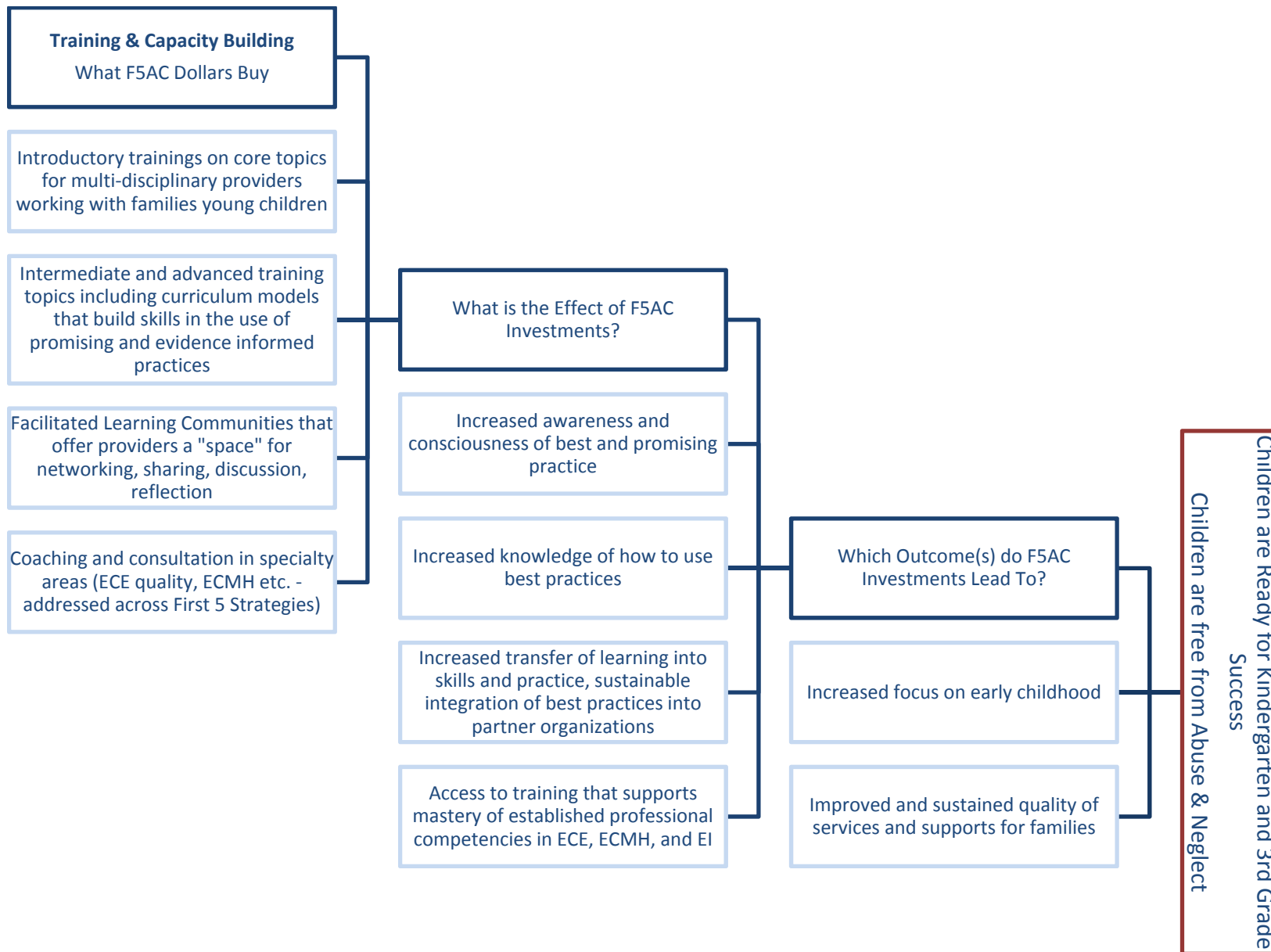


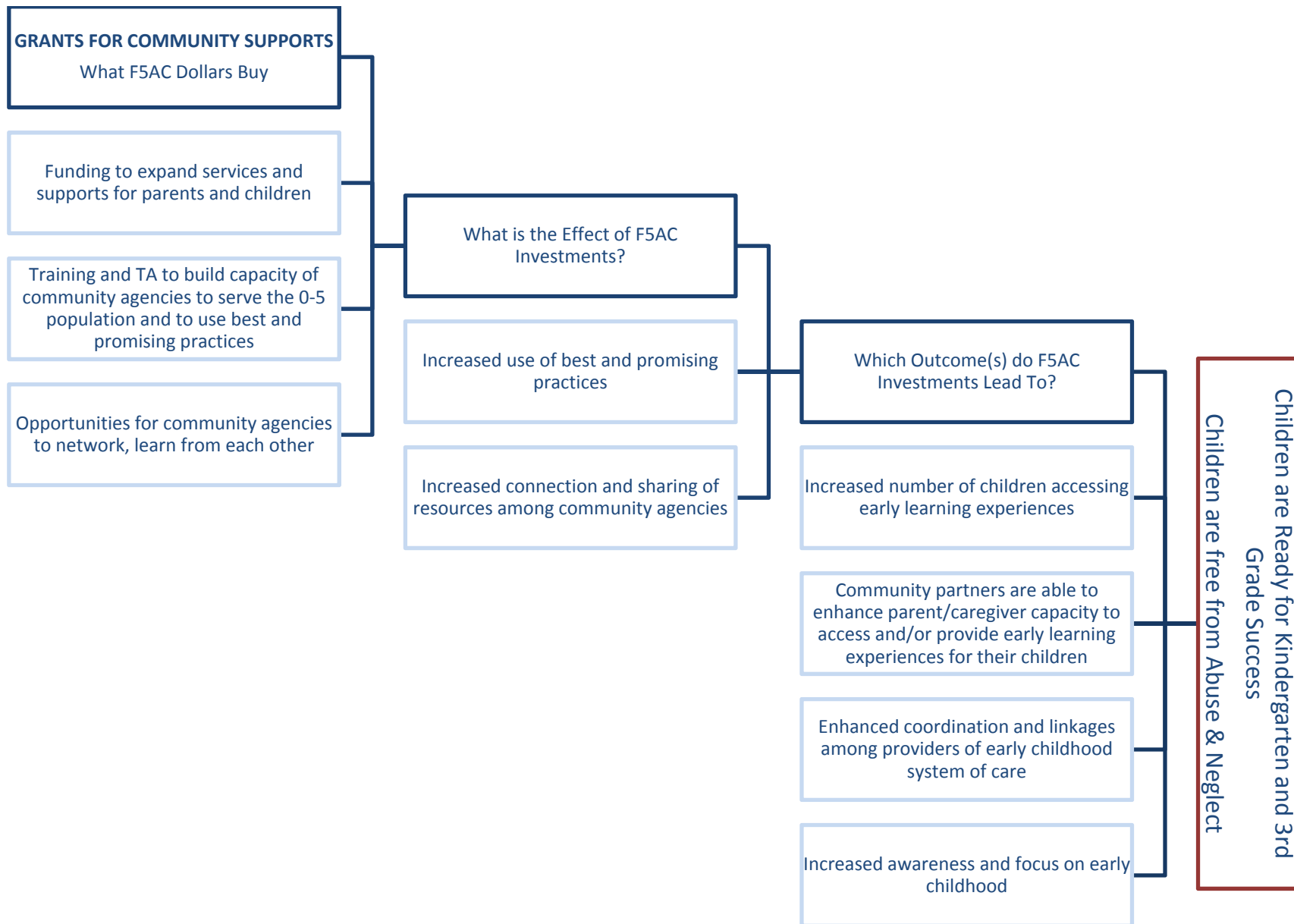


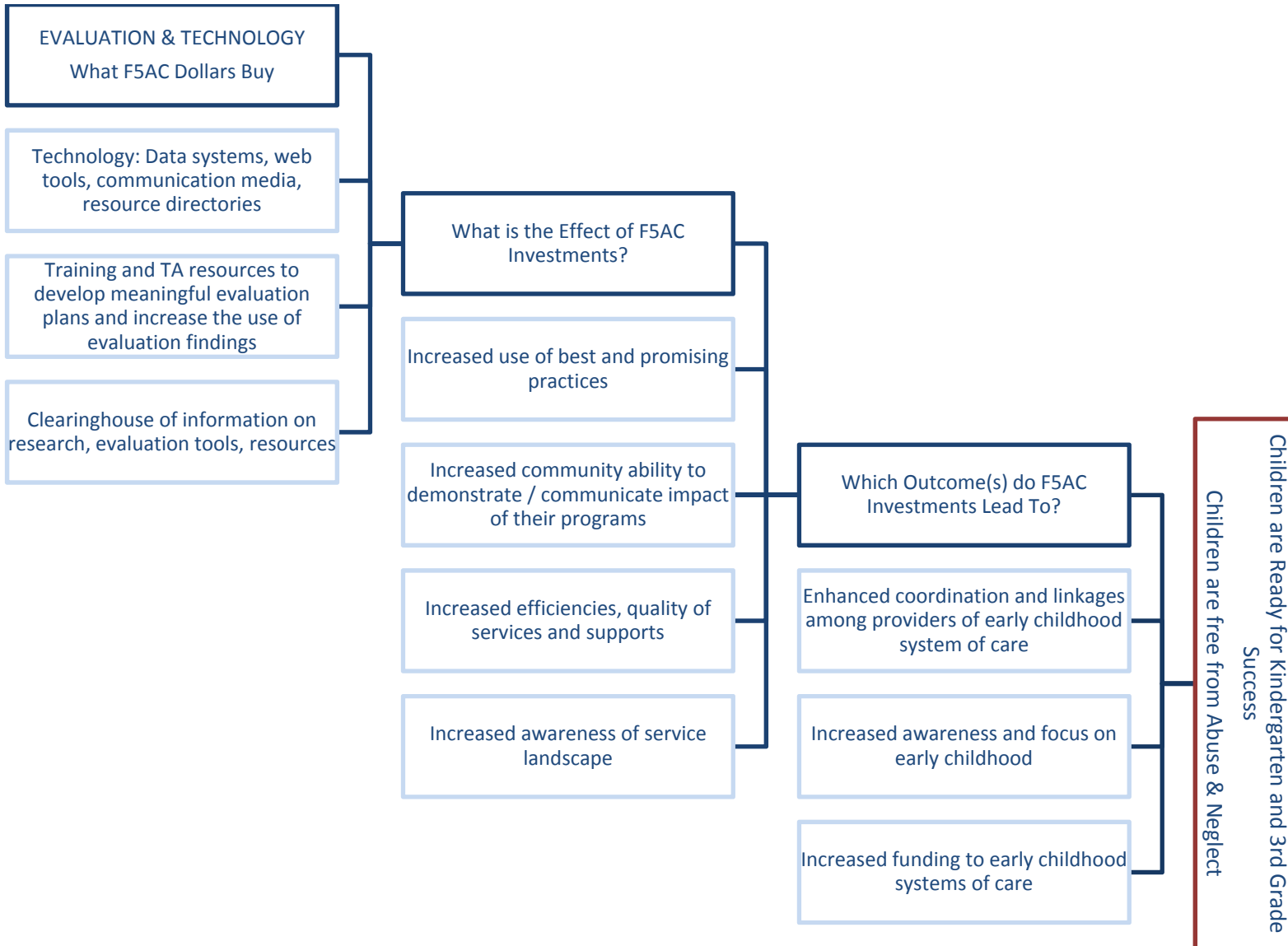


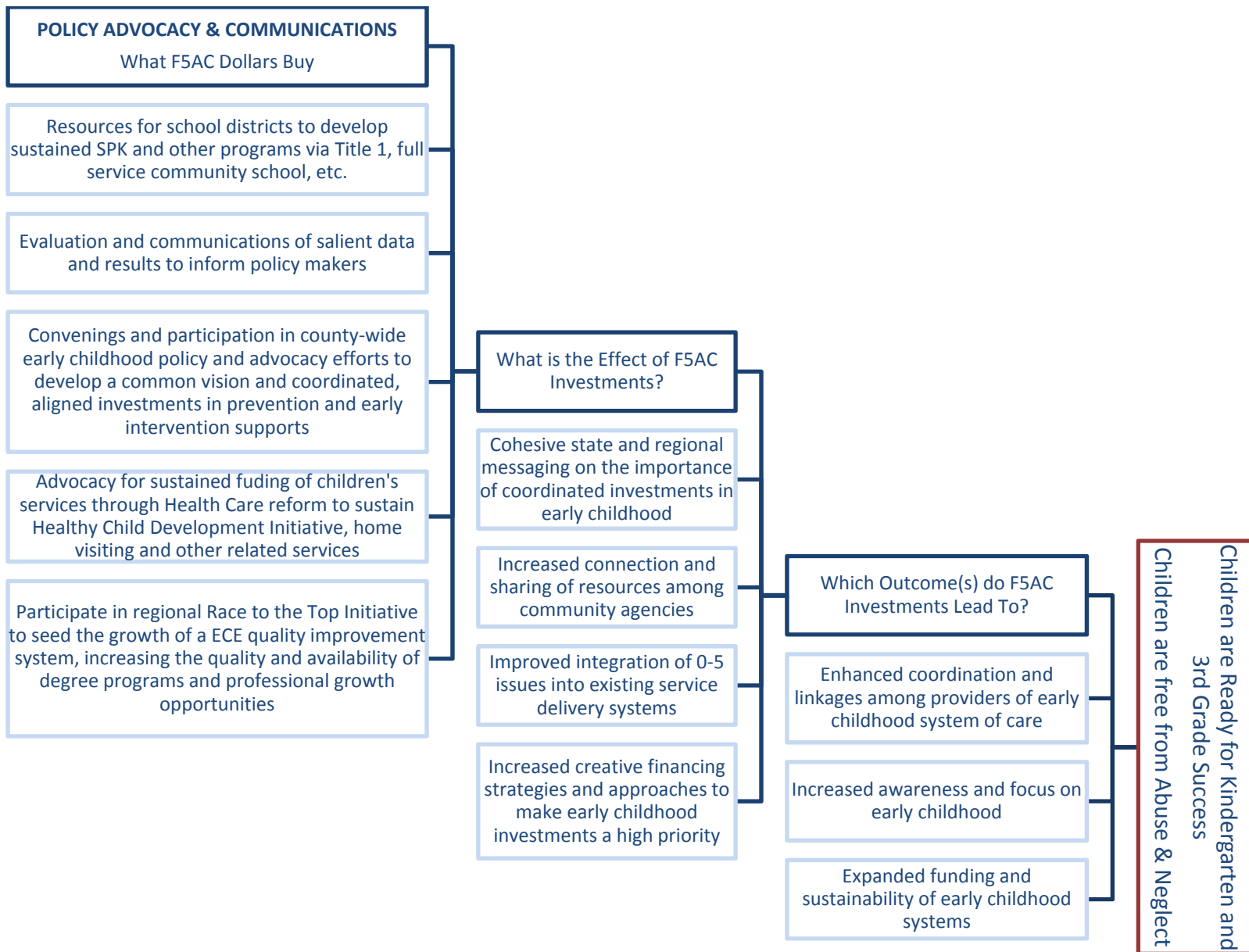














Date: April 23, 2015

To: First 5 Alameda County Commission

From: Janis Burger, CEO
 Janice Edwards, Community Grants Administrator
 First 5 Alameda County Community Grants Initiative Team

Subject: Community Grants Initiative 2015-2017 Funding Recommendations

I. BACKGROUND

On December 13, 2012, the First 5 Alameda County Commission approved Grants for Community Supports as a strategy of our 2013-2017 Strategic Plan. The First 5 Alameda County Community Grants Initiative (CGI) is designed to improve, expand and enhance services for expectant parents and children ages 0 to 5 years and their families by making grants to community based and public organizations in Alameda County. The 2015-2017 CGI funding term will be July 1, 2015 through June 30, 2017.

GRANT CATEGORY	DESIRED OUTCOMES AND FUNDING PRIORITIES
Community Support Grants (up to \$40,000)	Activities that contribute to any of the F5AC strategic plan outcomes. Proposals that creatively address unmet community needs, create new services for the 0-5 population, and/or expand the 0-5 focus of existing services.
Targeted Grants (up to \$120,00)	Activities that contribute to one of the following First 5 Alameda County strategic plan outcomes: <ul style="list-style-type: none"> ▪ Improved family functioning: enhanced primary caregiver mental health; improved parent-child attachment; and/or reduced parenting stress ▪ Enhanced parent knowledge of child development/school readiness and parent capacity to support their child’s development/school readiness
Neighborhood Partnership Grants (up to \$130,000)	Family-centered school readiness activities at libraries and recreation centers that address F5AC’s Strategic Plan Result Area #2: Children are prepared for school through quality early learning experiences. Open only to 2013-2015 Neighborhood Partnership grantees in good standing.

II. NEW THIS CYCLE

Applicants received information about the Strengthening Families Framework and were instructed to demonstrate how proposed services would support the Strengthening Families five protective factors. Applicants were also required to incorporate developmental screening into their programs by choosing one of three options: promote developmental screening with the Help Me Grow Developmental Screening Program (DSP); enroll families in the DSP; or screen children with the Ages and Stages Questionnaire.

III. OUTREACH AND TECHNICAL ASSISTANCE TO APPLICANTS

Application guidelines for the Community Grants Initiative were released and posted on the First 5 Alameda County website in early January 2015. Multiple strategies were used to notify potential applicants including a social media campaign on Facebook and Twitter, a postcard mailing to 1,200 addresses, and an email blast to more than 1,200 recipients. First 5 Alameda County staff made outreach presentations at community meetings. Additional outreach was conducted for the Community Support grant category, including targeted email blasts to 43 faith-based organizations throughout the county.

Three optional Applicant Information Sessions, including one webinar, were attended by 102 individuals representing 76 agencies. In addition, four optional scope of work/accountability technical assistance workshops were attended by 84 individuals from 30 agencies.

Grants, evaluation and technical Helpdesk staff were available in person, by phone, and by email during the application period to answer application and program-related questions and to assist with accountability plans and use of the online application.

PROPOSALS RECEIVED

Applications were due on February 23, 2015 at 5:00 p.m. All applications were submitted via our online system. The online system did not accept incomplete applications or applications submitted after the deadline.

First 5 Alameda County received 91 eligible applications across all three funding categories:

GRANT CATEGORY	APPLICANTS	RECOMMENDED	AMOUNT REQUESTED	AMOUNT RECOMMENDED
Community Support	35	13	\$1,279,646	\$471,115
Targeted	51	20	\$5,902,067	\$2,399,696
Neighborhood Partnership	5	5	\$487,054	\$486,728
TOTAL	91	38	\$7,668,767	\$3,357,539

IV. APPLICATION REVIEW

Each application was read and scored by a minimum of three independent readers. First 5 Alameda County staff readers evaluated each proposal based on a scoring rubric that was available to all applicants as part of the RFP. Only the most competitive Community Support and Targeted grant applications were forwarded to community reviewers. Recommendations for the Neighborhood Partnership grant category which was open only to current 2013-2015 Neighborhood Partnership grantees in good standing are based on staff review.

COMMUNITY REVIEW PROCESS

Fourteen community readers completed 209 reviews and deliberated on April 2, 2015 to finalize their funding recommendations. The 14 community readers included individuals with current experience working in community-based organizations serving families, government agencies, and grant-making. Reviewers were selected for their fiscal, evaluation, and early childhood expertise. All 14 community reviewers live and/or work in Alameda County and reflect the ethnic, cultural, and linguistic diversity of the county.

Community readers received training to prepare them for reading the applications and participating in the deliberation. Their evaluations of each proposal were based on the scoring rubric that was available to all applicants as part of the RFP. In addition to the criteria embedded in the rubric, community panelists' recommendations were guided by First 5 Alameda's guiding principles: Honoring Diversity, Narrowing Disparities, Access, Best Practice, and Systems Change.

The community review process was skillfully facilitated by Christina Sutherland, a consultant with a specialization in philanthropy who has previously assisted First 5 Alameda County community-advised grant deliberations.

The review panel's recommendations represent a significant source of community input into the Commission's funding decision. We wish to acknowledge the passionate, thoughtful, and diligent work of the community readers and thank them for their service.

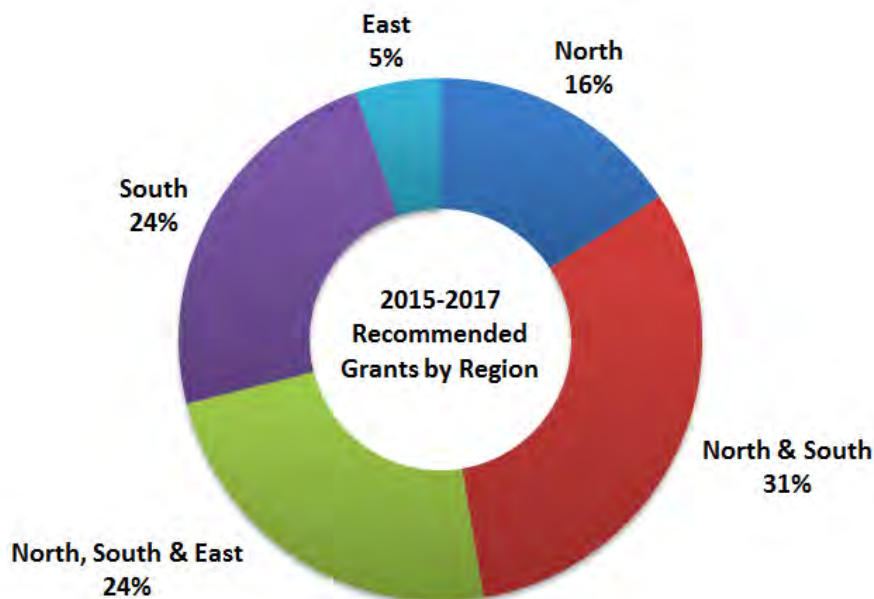
Grants staff will be available to offer feedback to agencies not selected for funding. Applicants may contact grants staff to request a feedback appointment. Staff will be prepared to share with the applicants the strengths and weaknesses of the application as identified by readers.

V. FUNDING RECOMMENDATIONS

There are 13 (out of 35) agencies recommended for funding in the Community Support category; 20 (out of 51) agencies recommended for funding in the Targeted category; and 5 (out of 5) agencies recommended for funding in the Neighborhood Partnership category. Names of the agencies recommended for funding and a brief description of their requests are provided in the attached table.

RECOMMENDED GRANTS BY GEOGRAPHIC REGION

The agencies recommended for funding serve clients throughout the county with more than half of the recommended grants offering services in two or more regions of the county.



COMMUNITY SUPPORT GRANTS

More requests were received in the Community Support category than in previous years. This suggests that the intent of offering small grants as a way to reach out more broadly into the provider community continues to be successful. The Community Support recommendations include a wide variety of strategies for working with diverse groups of families.

Some examples from the Community Support requests include:

OUTCOME	EXAMPLE
Kindergarten Readiness	Summer Pre-K program for children with no prior ECE or preschool experience and year round parent/caregiver engagement activities
Improved Family Functioning	Workshops and recreational activities for Latino immigrant fathers and their children to enhance parent-child attachment
Basic Needs	Legal advocacy services to promote family stabilization in areas including income, health care access, housing, immigration status, and/or access to special education services
Nutrition & Prenatal/ Postpartum Health	Pregnancy and post-partum education including stress management for pregnant women and new mothers ages 11-24

TARGETED GRANTS

The 20 grants recommended for funding in the Targeted Grant category will address a broad range of needs and program areas within Result Area #1 of the 2013-2017 Strategic Plan (“Parents/primary caregivers provide stable, supportive and nurturing environments for children.”) Broadly, the outcome areas addressed by Targeted Grants are parenting education and support, family-centered Kindergarten readiness, and improved family functioning.

OUTCOME	NUMBER OF RECOMMENDED TARGETED GRANTS
Parenting	5
Kindergarten Readiness	5
Family Functioning	10

NEIGHBORHOOD PARTNERSHIP GRANTS

Only public library systems and park and recreation departments were eligible to apply for 2013-2015 Neighborhood Partnership grants. The five grants recommended for continuation funding in the 2015-2017 grant term will continue to expand access to Kindergarten readiness activities by offering them in three public library systems and three park and recreation departments. Participating organizations will receive consultation and training to support the use of family-centered, strength-based, and developmentally-specific practices in parent-child Kindergarten readiness activities. Grant funds will enable these organizations to enhance their ability to provide services in languages other than English and to serve families who are unable to participate in fee-based recreation programs.

VI. RECOMMENDATION

That the Commission review and approve the list of public agency and community-based organization grants presented in the attached funding recommendations summary.

VII. FISCAL IMPACT

The 2015-2017 fiscal impact will be \$3,425,000. The funds were allocated to the Community Grants program by the Commission at their regular meeting on December 13, 2012.

Submitted by:

Janis Burger
Chief Executive Officer

Janice Edwards
Community Grants Administrator

COMMUNITY GRANTS INITIATIVE 2015-17 GRANT RECOMMENDATIONS

COMMUNITY SUPPORT AWARDS				
	AGENCY	SERVICE AREA	FUNDING REQUEST	BRIEF DESCRIPTION OF PROGRAM REQUEST
1	Alameda County Public Health	South: 100%	\$17,830.00	Offer a peer-based parenting education program for new and expectant fathers.
2	Central American Refugee Committee	North: 100%	\$39,700.00	Offer a series of workshops and recreational activities to Latino immigrant fathers and their children to enhance parent-child attachment.
3	Children's Physical Therapy Oakland	North: 55% South: 15% East: 30%	\$27,868.00	Provide play-based developmental playgroups for families with children birth to 5 years of age identified as being at risk for developmental and social-emotional delays.
4	City Slicker Farms	North: 48% South: 47% East: 5%	\$40,000.00	Build food gardens at child care centers and provide mentorship and curriculum implementation to support children's positive social-emotional development.
5	East Bay Community Law Center	North: 100%	\$40,000.00	Offer, in partnership with UCSF Benioff Children's Hospital Oakland's Early Intervention Services, legal advocacy services to promote family stabilization in areas including income, health care access, housing, immigration status, and/or access to special education services for children with special needs.
6	Intertribal Friendship House	North: 75% South: 25%	\$40,000.00	Offer parenting education workshops and early literacy activities, and develop a Parent Resource Bank for Native American parents in order to increase parent knowledge of child development and school readiness.

COMMUNITY GRANTS INITIATIVE 2015-17 GRANT RECOMMENDATIONS (CONTINUED)

COMMUNITY SUPPORT AWARDS				
	AGENCY	SERVICE AREA	FUNDING REQUEST	BRIEF DESCRIPTION OF PROGRAM REQUEST
7	Lighthouse Community Charter School	North: 100%	\$27,398.30	Offer a summer Pre-K program for children with no prior early childhood education or preschool experience and provide year round parent/caregiver engagement activities.
8	Mujeres Unidas y Activas	North: 20% South: 80%	\$40,000.00	Provide culturally competent educational classes and support services focused on parenting skills, mental health, family violence prevention, and leadership development to Latina immigrant women.
9	Our Family Coalition	North: 88% South: 10% East: 2%	\$40,000.00	Provide parenting education workshops, information, referrals, support groups, parent-child activities, community-building events, and parent leadership trainings to LGBTQ families.
10	REACH Ashland Youth Center	North: 1% South: 98% East: 1%	\$40,000.00	Provide pregnancy and post-partum education including stress management and educational and employment plan development to pregnant women and new moms ages 11-24.
11	Safe Alternatives to Violent Environments	South: 100%	\$38,319.00	Provide parenting classes, children's activity classes, and mental health screenings to women and children who have been victims of domestic violence.
12	Superior Court of California, County of Alameda	North: 34% South: 33% East: 33%	\$40,000.00	Offer subsidized supervised parent-child visitation to low-income parents who are involved in legal matters related to child physical or sexual abuse, neglect, or domestic violence.
13	Tri-Valley Haven for Women	North: 1% South: 1% East: 98%	\$40,000.00	Provide parenting classes at domestic violence and homeless shelters and individual parenting support sessions in the community.

COMMUNITY GRANTS INITIATIVE 2015-17 GRANT RECOMMENDATIONS (CONTINUED)

TARGETED AWARDS				
	AGENCY	SERVICE AREA	FUNDING REQUEST	BRIEF DESCRIPTION OF PROGRAM REQUEST
1	4C's of Alameda County	South: 100%	\$120,000.00	Provide parenting education and support for Spanish-speaking families, offer Family Read Nights, take-home activities, and ASQ screenings, and link families to community services.
2	Abode Services	North: 5% South: 80% East: 15%	\$120,000.00	Provide ASQ screenings, parenting education/support groups, and home visits to families in emergency shelter, supportive housing, and scattered site housing.
3	Alta Bates Summit Foundation (ABSF)	North: 55% South: 35% East: 10%	\$119,798.00	Offer parent and grandparent education classes, playgroups, and individualized developmental support services to families whose children have been discharged from the NICU.
4	Asian Community Mental Health Services	North: 75% South: 25%	\$120,000.00	Support immigrant Chinese, Vietnamese, and Burmese parents and their children by providing developmental playgroups, parenting support services, ASQ and depression screenings, and links to community resources.
5	Asian Health Services	North: 32% South: 68%	\$120,000.00	Support Asian and Pacific Islander families through linguistically and culturally appropriate prenatal classes, labor coach support, and parenting workshops.
6	Bananas, Inc.	North: 100%	\$120,000.00	Provide parenting education in English, Spanish, Vietnamese, and Chinese through on-going workshops, classes, and a fathers' support group.
7	Bay Area Hispano Institute for Advancement, Inc. (BAHIA)/Centro VIDA	South: 100%	\$120,000.00	Provide a Saturday bilingual preschool program and facilitate Spanish parenting education sessions that support child development and school readiness.
8	Bay Area Parent Leadership Action Network (PLAN)	North: 100%	\$120,000.00	Provide Parents Ready for School trainings and host Early Childhood Family Fun Learning days to increase the ability of low-income parents to support their children's transition to and success in public schools.

COMMUNITY GRANTS INITIATIVE 2015-17 GRANT RECOMMENDATIONS (CONTINUED)

TARGETED AWARDS				
	AGENCY	SERVICE AREA	FUNDING REQUEST	BRIEF DESCRIPTION OF PROGRAM REQUEST
9	Brighter Beginnings	North: 90% South: 10%	\$120,000.00	Offer fatherhood program in English and Spanish with a focus on improving fathers' knowledge of child development and increasing their knowledge and use of community resources.
10	CALICO Center (Child Abuse, Listening, Interviewing and Coordination Center)	North: 35% South: 50% East: 15%	\$120,000.00	Offer mental-health interventions to families in crisis and at risk of child maltreatment through onsite and follow-up support.
11	CEID	North: 45% South: 35% East: 20%	\$120,000.00	Provide community and center-based workshops, family support activities, and home visits for families with children who are deaf or hard of hearing.
12	Children's Hospital Oakland - Parent-Infant Program	South: 100%	\$120,000.00	Provide intervention through play to young children from Spanish-speaking families who are exhibiting and/or are at high risk for developmental and social-emotional delays.
13	City of Fremont, Youth and Family Services	South: 100%	\$119,942.00	Offer parenting classes and one-on-one parenting consultation to Mandarin, Dari, and Spanish-speaking immigrant families.
14	East Bay Agency for Children	North: 100%	\$120,000.00	Provide early literacy, socialization, school readiness, parenting education, and transition to Kindergarten services to non-English speaking Mam and Latino families.
15	Jewish Family and Children's Services of the East Bay	North: 28% South: 72%	\$120,000.00	Provide family support and mental health treatment services to mothers and young children at one residential recovery program, two emergency shelters, and one safe house.
16	Lawrence Hall Of Science	North: 90% South: 10%	\$119,956.00	Provide play-based science classes, professional development workshops, and parenting education that promote school readiness and literacy for families attending playgroups at Children's Hospital and Lotus Bloom Family Resource Center.

COMMUNITY GRANTS INITIATIVE 2015-17 GRANT RECOMMENDATIONS (CONTINUED)

TARGETED AWARDS				
	AGENCY	SERVICE AREA	FUNDING REQUEST	BRIEF DESCRIPTION OF PROGRAM REQUEST
17	Luna Dance Institute	North: 90% South: 10%	\$120,000.00	Provide relationship-based dance classes to support parent-child attachment at residential treatment centers, social service agencies, ECE centers, and public libraries.
18	Raising A Reader, San Francisco And Alameda Counties	North: 70% South: 27.5% East: 2.5%	\$120,000.00	Offer community based workshops and literacy events in English, Spanish, and Chinese to parents and train a cohort of Literacy Champions in early literacy development and family engagement.
19	Ruby's Place	North: 51% South: 45% East: 4%	\$120,000.00	Provide ASQ screenings, mental health services, and parenting education to families that have experienced trauma, domestic violence, and/or homelessness.
20	Through the Looking Glass	North: 85% South: 10% East: 5%	\$120,000.00	Provide home-based infant/early childhood preventive mental health, family support and parenting education, developmental services and disability adaptations/ resources for children and/or parents/caregivers with disability, medical or developmental issues.

COMMUNITY GRANTS INITIATIVE 2015-17 GRANT RECOMMENDATIONS (CONTINUED)

NEIGHBORHOOD PARTNERSHIP AWARDS			
AGENCY	SERVICE AREA	FUNDING REQUEST	BRIEF DESCRIPTION OF PROGRAM REQUEST
1 Alameda County Library	South: 100%	\$86,000.00	Offer parent-child storytime/playgroups in Spanish and promote early literacy activities that families can do at home.
2 City of Oakland, Office of Parks and Recreation	North: 90% South: 10%	\$129,576.00	Provide bilingual Spanish/English parent-child playgroups which include field trips and movement and developmental activities that prepare children and families for structured school environments.
3 Hayward Area Recreation and Park District	South: 100%	\$120,656.00	Offer bilingual Spanish/English Pre-K classes and parenting education classes.
4 Livermore Area Recreation and Park District	East: 100%	\$55,000.00	Offer bilingual Spanish/English early learning program for Latino families.
5 San Leandro Public Library	North: 10% South: 90%	\$95,496.00	In partnership with the San Leandro Recreation Department, offer bilingual Spanish/English storytimes and parent-child playgroups and promote early literacy activities that families can do at home.